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Weak Decays of Heavy Mesons

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Abstract

The weak decays of heavy mesons - bound states of a quark and an anti-quark, at least one of which carries heavy flavour c or b - enable us to probe the validity of the standard model of elementary particle interactions and determine several parameters of this model.

I explore the possibility of using heavy meson decays as probes for flavour changing neutral transitions (FCNC) $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$. In the standard model, these are the most frequent flavour changing neutral transitions among the quarks with charge $2/3e_0$ and have enhanced sensitivity to conjectured physics beyond the standard model. In hadron decays, a flavour changing neutral transition can be severely overshadowed by long distance contributions. I calculate the probabilities for the relevant heavy meson decays within the standard model and explore their sensitivity to different scenarios of physics beyond the standard model. The $B_c \rightarrow B_u^*\gamma$ decay is proposed as the most suitable case study of the $c \rightarrow u\gamma$ transition. Using the Isgur-Scora-Grinstein-Wise model, I predict the branching ratio for this decay to be of the order of 10^{-8} . Its detection at a higher rate would signal new physics. Weak decays of charm mesons to a light meson and a photon or a charged lepton pair are also studied for this purpose. Their probabilities are found to be dominated by the long distance contributions and raise our hopes that they may be detected soon. A window of opportunity to probe the $c \rightarrow ul^+l^-$ transition is found in the decay $D \rightarrow \pi l^+l^-$, at the kinematical region of high di-lepton mass $\sqrt{(p_{l^+} + p_{l^-})^2}$. In order to study the charm meson decays, I adapt a hybrid model which combines heavy quark effective theory and chiral perturbation theory. By predicting the nonleptonic decay rates, I demonstrate for the applicability of the model. I also propose a mechanism to incorporate the long distance contributions in a manifestly gauge invariant way.

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Chapter 1

Introduction

The present understanding of elementary particle interactions is based on the quantum field theory. The form of the interactions among the quarks and leptons on one side, and the bosonic carriers of the interaction on the other, arises by imposing the invariance under the space-time dependent symmetry group. The present knowledge contained in the standard model of electro-weak and strong interactions is based on the $U(1)_Y$ transformations according to the particle's hypercharge, $SU(2)_L$ transformations of weak isospin left-handed doublets and $SU(3)_c$ transformations in the color space of quarks [1]. The masses of the leptons, quarks and weak gauge bosons arise by spontaneous symmetry breaking which keeps the equations of motion, but not the vacuum, invariant under the symmetry group. The Higgs mechanism of spontaneous symmetry breaking introduces an additional scalar weak doublet with a nonzero vacuum expectation value, invariant only under the subgroup $U(1)_{EM}$, but not on the whole group $SU(2)_L \times U(1)_Y$. The physical fluctuations around this vacuum are represented by the Higgs boson which has not been detected yet. The standard model constructed in this way is renormalizable, meaning that all the infinities arising from the theory can be removed in a physically sensible way by redefining the free parameters of the theory.

The quark fields in the $SU(2)_L$ doublets are not the mass eigenstates in general. The quarks of a given charge are rotated from the weak to the mass eigenstate basis by means of the unitary matrix. The coupling between a quark with charge $-1/3 e_0$, a quark with charge $2/3 e_0$ and a W boson is given by the unitary Cabibbo Kobayashi Maskawa (CKM) mixing matrix. This matrix can be parameterized in terms of three real and one imaginary parameter and the nonzero value of the imaginary parameter offers the possibility to account for the charge-parity (CP) violation in the standard model [2]. The electromagnetic and the neutral weak currents are rendered flavour diagonal and there are no flavour changing neutral currents at the three level.

The standard model interactions of elementary particles have been extensively tested in accelerator facilities and agree well with the data measured up to the energies available at present. Three generations of quarks and leptons are experimentally established, together with the corresponding gauge bosons. The only elementary building block lacking experimental detection is the Higgs boson. Among the free parameters of the model¹, the value

¹The free parameters of the standard model are masses of the elementary particles, CKM mixing angles

of the imaginary phase in the CKM matrix is the most uncertain, while the Higgs mass is still unknown. At present, the value of the imaginary parameter in the CKM matrix is experimentally constrained by the CP conserving processes and the CP violating kaon decays. If the forthcoming measurements of the CP violation in B meson decays will confirm this value, the CP violation is indeed due to the imaginary phase in the CKM matrix [2].

In spite of the many experimental successes of the standard model in describing the elementary particle interactions, it is widely believed that it is not the ultimate fundamental theory. One of the main reasons for this is that it accounts only for electromagnetic, weak and strong interactions. The fourth fundamental interaction - gravity - has not been quantized in the same way as the other three and has not been included in the standard model. Even without the failure to account for gravity, which is extremely weak among the elementary particles anyway, the model fails to meet several aesthetics wishes. It does not unify the other three fundamental interactions. The Higgs mechanism of electroweak symmetry breaking has no dynamical explanation, it is imposed and renders all the masses of the elementary particles as free parameters.

Additional unsatisfactory property from the aesthetic point of view is connected with the fact that some values of the free parameters are much smaller than expected by considering the symmetries of the model. The first example of this kind is the Higgs boson mass. While the masses of fermions and gauge bosons are not invariant under the local $SU(2)_L \times U(1)_Y$ transformation and are naturally of the order of the $SU(2)_L \times U(1)_Y$ breaking scale $v = 250$ GeV, the Higgs mass term respects the whole symmetry group $SU(3)_c \times SU(2)_L \times U(1)_Y$ and would naturally be much larger than v . Precision measurements of the electroweak parameters constrain the Higgs mass through its effects in radiative corrections to be $m_H < 450$ GeV at the 95 % confidence level [3] and the standard model does not offer any explanation as to why the Higgs mass is so small. The second example is given by the neutrino masses. In the so called minimal standard model, neutrinos are imposed to have zero masses, although this is not required by any of the gauge symmetries. Without the gauge symmetry preserving the masses of the neutrinos, it has been suspected that the neutrinos have tiny masses. This has indeed been confirmed recently in the very convincing data on the oscillations of the atmospheric neutrinos [4]. The massive neutrinos can be accounted for by a slight extension of the minimal model, but the neutrino mass parameters have to be assigned unnaturally small values in this case. Another parameter, which has to be assigned an exceedingly small value required by the data on the neutron dipole moment, is connected to the CP violation in the strong interactions [5]. This kind of aesthetic reasoning does not exclude the standard model by itself, but it may point to possible new symmetries behind it.

Potentially more serious threats come from the inability of the model to satisfy all the cosmological bounds coming from the unique high energy experiment in the early universe. For example, it does not explain inflation - a period of exponential expansion in the early stage of the universe which solves many of the cosmological problems.

These and many other reasons call for a physics beyond the standard model. If a scenario of new physics explains the mechanism of electroweak symmetry breaking, it has to reside at an energy scale not far beyond 1 TeV. The scenarios of new physics in general predict a set of new particles in addition to those present in the standard model. The experimental

and coupling constants for strong, electromagnetic and weak interactions

challenge of finding the new physics follows two main directions. In direct searches the idea is to produce the new particles and detect them directly. This may take some time if the states are set at several hundred GeV. A complementary idea is to measure the effects of the new particles in the processes where they enter as the intermediate virtual states. These effects are expected to be relatively small in the processes that can occur in the standard model at the tree level. The effects, arising from the presence of intermediate new states, are expected to be relatively more significant in the rare processes that occur only at the loop level in the standard model. Up to now, no significant signal for the physics beyond the standard model has been seen at the available experimental facilities ².

In the present work I explore the possibility of probing the standard model and the physics beyond it, in processes induced by the flavour changing neutral currents (FCNC). These are currents that change the flavor, but not the charge of the quark, and occur only through electro-weak loops in the standard model. Loop processes are sub-leading in the perturbative expansion, they are rare and therefore relatively more sensitive for the possible new physics. In the case of transitions among the down-like $2/3 e_0$ charged quarks d , s and b , the up-like $-1/3 e_0$ charged quarks u , c and t run in the loop, and vice versa. If the masses of the intermediate quarks were equal, their contributions would cancel due to the unitarity of the CKM matrix. This is known as the Glashow, Iliopoulos and Maiani mechanism [6] and indicates that FCNC processes are suppressed even at the loop level, if the masses of the intermediate quarks are not very different. As a result, the intermediate quarks of higher masses give higher rates to FCNC processes as long as their mixing with the external quarks is not highly suppressed. Up to now, only the transitions $s \rightarrow d$ and $b \rightarrow s$ have been experimentally established. The transition between two quarks of the same charge and different flavour has to be accompanied by the emission of particles with zero net charge: photon, gluon or lepton-antilepton pair.

Due to the confinement of the strong interaction in quantum chromodynamics (QCD) quarks can not be observed as free particles. They are confined to hadrons and the FCNC quark decays like $b \rightarrow s\gamma$ are probed in the corresponding hadron decays. A hadron decay of interest is induced by the FCNC quark decay, but it may also be induced by a different mechanism. Two mechanisms leading to the same initial and final states can not be distinguished by the basic principles of quantum mechanics. The mechanism, which can potentially overshadow the quark decay of interest, is called the long distance mechanism and involves the intermediate hadrons which propagate over relatively large distances. The intermediate hadrons propagate almost on shell, the strong interaction is in the nonperturbative regime and the reliable theoretical treatment of the long distance contribution from first principles is very difficult at present, if not impossible. The part induced by the FCNC quark decay is called the short distance contribution and is theoretically under better control. It involves the quark decay via the electroweak loop at short distances, the states in the loop are highly virtual and they allow for the perturbative treatment. The only nonperturbative physics entering in the short distance contribution is due to the hadronization of the initial and final quarks into the initial and final hadrons, respectively. The critical aspect of probing the

²The recent announcement of the nonzero neutrino masses [4] may be regarded as the signal of the new physics since the neutrinos are massless in the minimal standard model. Although unnatural, it is extremely easy to account for the tiny neutrino masses by the slight extension of the minimal model.

FCNC interactions in the hadron decays is obviously related with our ability to disentangle the FCNC decay of interest from the long distance dynamics.

Until recently, only the $s \rightarrow d$ flavour changing neutral transition has been experimentally established. Given the fact that the branching ratio for the $K_L^0 \rightarrow \mu^+ \mu^-$ decay was measured to be only of the order of 10^{-9} , Glashow, Iliopoulos and Maiani predicted the existence of the charm quark [6]. At that time, the charm quark was the missing block of the two $SU(2)_L$ doublets and with all the quarks paired in the weak doublets, the $s\bar{d} \rightarrow \mu^+ \mu^-$ amplitude automatically vanishes at the tree level. The possibility of probing the short distance process $s \rightarrow d l \bar{l}$ (l denotes a lepton) in $K \rightarrow \pi l \bar{l}$ decays has also been under intense experimental and theoretical investigation. The $s \rightarrow d l \bar{l}$ occurs via the W box and γ , Z penguin diagrams with the largest contribution arising from the intermediate top quark. The amplitude for the process is proportional to $V_{ts}^* V_{td} m_t^2$ and is small due to the relevant CKM matrix elements. The $K^+ \rightarrow \pi^+ l^+ l^-$ decay is dominated by the long distance contribution and can not serve as a probe for the $s \rightarrow d l^+ l^-$ decay. The long distance contribution in this channel arises via W exchange $u\bar{s} \rightarrow u\bar{d}$ which induces the $K^+ \rightarrow \pi^+$ transition, followed by the photon emission from K^+ or π^+ and photon conversion to $l^+ l^-$. This mechanism gives the branching ratio of the order of 10^{-7} [7, 8, 9] and has been experimentally confirmed by the detection of this channel [3]. This disturbing long distance contribution is absent in the CP violating $K_L \rightarrow \pi^0 l^+ l^-$ decay which can not proceed through CP conserving one-photon exchange [9]. Due to the fact that K_L is not a pure CP odd state, there actually remains a small one-photon exchange contribution and this decay remains in the list of long distance polluted modes. The prediction for the branching ratio at the level 10^{-11} is two orders of magnitude smaller than the present experimental limit [10]. The processes with the neutrino final states $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ and $K_L \rightarrow \pi^0 \nu \bar{\nu}$ are almost completely determined by the transition $s \rightarrow d \nu \bar{\nu}$ at short distances, especially the CP violating $K_L \rightarrow \pi^0 \nu \bar{\nu}$ decay. The golden plated decay $K_L \rightarrow \pi^0 \nu \bar{\nu}$ is predicted at the branching ratio $(3.1 \pm 1.3) \times 10^{-11}$ [10], with the uncertainty coming from the present uncertainties of the CP violating parameters in the CKM matrix, while the theoretical uncertainty is of the order of 1%. The challenging experimental investigation puts the upper limit 10^{-6} on its branching fraction at present [11], but expects to be sensitive to the branching fractions 10^{-11} in the future. Theoretically a bit more uncertain decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ is predicted at a branching ratio $(0.82 \pm 0.32) \times 10^{-10}$ [10] which is to be compared with $4.2^{+9.7}_{-3.5} \times 10^{-10}$ based on the recent observation of one event in this channel [12].

Recently, CLEO and ALEPH observed the $b \rightarrow s \gamma$ transition [13]. The standard model amplitude for the $b \rightarrow s \gamma$ decay is proportional to $V_{tb}^* V_{ts} m_t^2$, it is enhanced due to the large top mass and not so CKM suppressed as the $s \rightarrow d$ transition. The long distance background to this decay arises via W exchange in $b \rightarrow s c \bar{c}$ channel, the $c \bar{c}$ hadronizes to a virtual J/ψ and finally converts to a real photon. The magnitude of this long distance contribution can not be calculated from first principles at present, it varies from model to model [14, 15, 16] and can be as large as 20 % compared to the short distance part of the $b \rightarrow s \gamma$ rate. By applying the lower cut on the photon energy, the CLEO analysis [17] picks out the photons coming only from $b \rightarrow s$, and not from $b \rightarrow c$ decays, giving the inclusive rate $Br(B \rightarrow X_s \gamma) = (3.15 \pm 0.35 \pm 0.32 \pm 0.26) \times 10^{-4}$ with uncertainties arising from statistics, systematics and model dependence, respectively. The possibility to

measure the decay $b \rightarrow s\gamma$ inclusively is rather unique among the FCNC decays. It is especially welcome since the theoretical prediction for inclusive decay is largely free of the hadronization uncertainties giving $Br(B \rightarrow X_s\gamma) = (3.28 \pm 0.22 \pm 0.25) \times 10^{-4}$ [18] with errors arising from the uncertainty in the renormalization scale and the standard model parameters, respectively. The exclusive mode requires the knowledge of the form factors that describe the hadronization of the initial b and final s quarks. The predictions for the $B \rightarrow K^*\gamma$ rate vary between 6% to 40% of the inclusive mode, compared to the measured fractional rate of $(18 \pm 6)\%$ [18]. The comparison of the standard model predictions and measured rates in these channels gives the stringent bounds on the new models and their parameter space.

The remaining $b \rightarrow d$ transition in the down-like sector is proportional to $V_{tb}^*V_{td}m_t^2$, it is CKM suppressed and has not been detected yet. The inclusive measurement of $b \rightarrow d\gamma$ is very difficult due to the large $b \rightarrow s\gamma$ background and the channels $B \rightarrow \rho\gamma$ and $B \rightarrow \omega\gamma$ are investigated instead.

The FCNC transitions among the up-like quarks are especially rare in the standard model due to the small masses of the intermediate down-like quarks and only the upper experimental limits for this processes are available at present [3]. The peculiar feature of the t quark is that it decays via $t \rightarrow bW^+$ before it has time to form a bound state. The $t \rightarrow c$ is more interesting than the $t \rightarrow u$ transition due to the more favorable CKM factors and has been studied in the channels $t \rightarrow c\gamma$, $t \rightarrow cZ$, $t \rightarrow cg$, $t \rightarrow cW^+W^-$ and $e^+e^- \rightarrow \bar{c}t, c\bar{t}$ [19]. In the standard model, all the FCNC top quark decays are extremely rare with the branching ratios smaller than 10^{-12} and any observation of these decays at current or planned accelerators would signal physics beyond the standard model. The $t \rightarrow c$ rates are especially sensitive to the models in which the tree level coupling $t\bar{c}H_0$ is proportional to the quark masses m_t and m_c . In such models [20] the $t \rightarrow c$ rates are severely enhanced over the standard model predictions and would be observable in the near future.

In the present work I study the transitions among the c and u quarks $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ which are the most frequent flavour changing neutral transitions among the up-like quarks in the standard model.

The $c \rightarrow u\gamma$ decay has the unique property that the one loop electroweak amplitude experiences a huge enhancement after the effects of strong interactions are incorporated [21, 22]. The one loop electroweak amplitude arises due to the diagrams in Fig. 1.1, it is proportional to $\sum_{q=d,s,b} V_{cq}^*V_{uq}m_q^2/m_W^2$ and gives the branching ratio of the order of 10^{-17} . The branching ratio is enhanced by three orders of magnitude when the strong interactions are incorporated in the leading logarithmic approximation, it is enhanced by another six orders of magnitude when the strong corrections are incorporated at two-loops, while further enhancement is not expected [22]. The resulting $c \rightarrow u\gamma$ branching ratio 10^{-8} in the standard model is still relatively small and is on the verge of the experimental sensitivity of the planned accelerators. It can be used as an efficient probe for models which could enhance the rate for this transition in comparison with the standard model rate. For this purpose the sensitivity of the $c \rightarrow u\gamma$ rate to several scenarios of physics beyond the standard model are reviewed in this work: the models with the extended Higgs sector, the minimal and non-minimal supersymmetric standard model, standard model with an extension of the fourth generation

and left-right symmetric models. In order to observe the effects of new physics in the corresponding hadron decays, one has to be able to disentangle the short distance $c \rightarrow u\gamma$ contribution from the long distance background and this presents the main generic problem in rare charm hadron decays. In the present work, I calculate the standard model predictions for the short and long distance contributions in the relevant meson decays. I discuss briefly also the relevant baryon decays. In addition, I explore the sensitivity of the hadron decay rates to different scenarios of physics beyond the standard model.

The meson decays of interest have the flavour content $c\bar{q} \rightarrow u\bar{q}\gamma$ where $c\bar{q}$ is a pseudoscalar and $u\bar{q}$ is a vector meson (the pseudoscalar final state is forbidden by the angular momentum conservation and transverse polarization of the photon) and q is of any flavor u, d, s, c or b . The short distance contribution is due to $c \rightarrow u\gamma$ decay and the \bar{q} is merely a spectator. In addition there are two types of long distance contributions. The most serious background presents the long distance weak annihilation contribution illustrated in Fig. 1.2. Here the transition between the initial and final meson is induced by the W exchange and the photon is emitted from the initial or the final state meson: “ s ” channel W exchange $c\bar{q} \rightarrow u\bar{q}$ for $q = d, s$ or b is illustrated in Fig. 1.2a and is proportional to $V_{cq}^* V_{uq}$; “ t ” channel W exchange $c\bar{u} \rightarrow d\bar{d}$ is illustrated in Fig. 1.2b and presents the background for $c\bar{u} \rightarrow u\bar{u}\gamma$ decay when the final meson is mixture of $u\bar{u}$ and $d\bar{d}$ states. The second is the long distance penguin contribution sketched in Fig. 1.3. Here the W exchange induces the $c \rightarrow udd, us\bar{s}$ transition, $d\bar{d}$ and $s\bar{s}$ hadronize to intermediate ρ^0, ω, ϕ mesons and finally convert to a real photon.

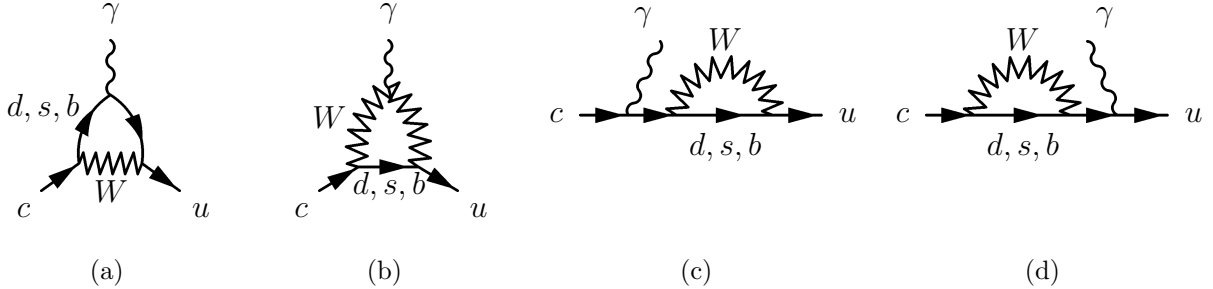
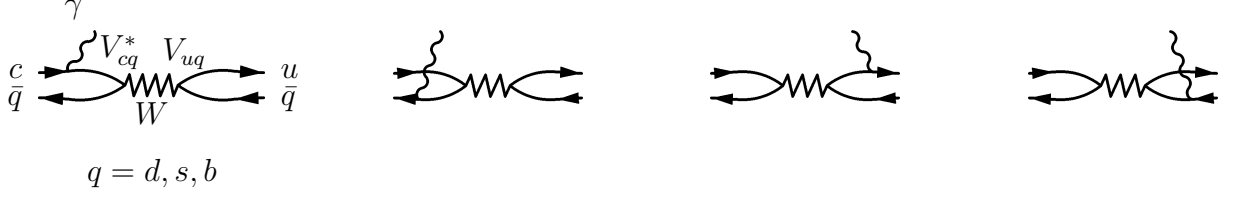


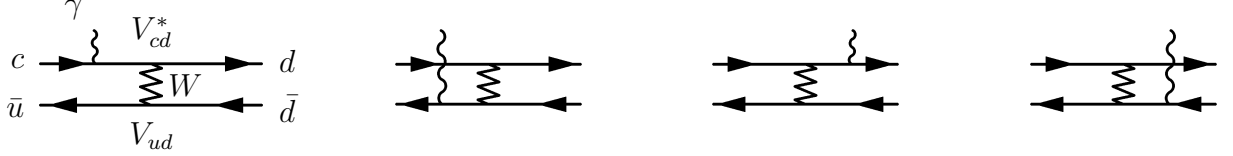
Figure 1.1: The diagrams for the $c \rightarrow u\gamma$ decay at the lowest order in the electro-weak theory. Unitary gauge is used.

Experimentally, the most promising channels $c\bar{q} \rightarrow u\bar{q}\gamma$ are those where q is the light quark u, d or s , namely $D^0 \rightarrow \rho^0\gamma$, $D^+ \rightarrow \rho^+\gamma$, $D^0 \rightarrow \omega\gamma$ and $D_s^+ \rightarrow K^{*+}\gamma$ decays. These decays have been studied phenomenologically [21] and the first two also by using the QCD sum rules [23]. In the present work, a consistent theoretical framework based on heavy quark and chiral symmetry is developed to study these decays [24, 25, 26]. They are shown to be dominated by the long distance weak annihilation contributions giving the branching ratios of the order of 10^{-5} [24, 25] and even the most extreme enhancements arising from the possible new physics would hardly be visible in these decays. The experimental upper bounds are at the 10^{-4} level at present [27], so these decays may be detected soon.

As the most suitable probe to study the $c \rightarrow u\gamma$ transition I propose the beauty conserving decay $B_c \rightarrow B_u^*\gamma$ [28, 29, 30, 31]. In this decay, the potentially dangerous long distance weak annihilation contribution is suppressed due to the small CKM factor $V_{cb}^* V_{ub}$. Contrary to



(a) The long distance weak annihilation contribution to the meson decays with the flavour structure $c\bar{q} \rightarrow u\bar{q}\gamma$ and $q = d, s, b$.



(b) The long distance weak annihilation contribution to the meson decays with the flavour structure $c\bar{u} \rightarrow d\bar{d}\gamma$. This mechanism presents background for $c\bar{u} \rightarrow u\bar{u}\gamma$ decay when the final state is mixture of $u\bar{u}$ and $d\bar{d}$ states.

Figure 1.2: The long distance weak annihilation contribution to the weak radiative decays of mesons.

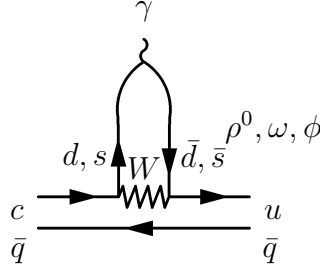


Figure 1.3: The long distance penguin contribution to the meson decays with the flavour structure $c\bar{q} \rightarrow u\bar{q}\gamma$. The intermediate quark pairs $d\bar{d}$ and $s\bar{s}$ hadronize to the neutral vector mesons ρ^0, ω, ϕ and finally convert to a photon.

long distance dominated charmed meson decays, the short and long distance contributions in $B_c \rightarrow B_u^* \gamma$ decay are found to be of comparable size, giving the branching ratio of the order of 10^{-8} in the standard model [28, 29, 30, 31]. The rate for this channel is sensitive to any possible enhancements of $c \rightarrow u\gamma$ coming from the physics beyond the standard model. The $B_c \rightarrow B_u^* \gamma$ decay opens a new window for future experiments and its detection at a branching ratio well above 10^{-8} would signal new physics. Such effects could be detected at LHC where 2.1×10^8 mesons B_c with $p_T > 20$ GeV/ c will be produced at integrated luminosity 100 fb^{-1} . We have used the Isgur-Scora-Grinstein-Wise constituent quark model [32] to account for the nonperturbative strong dynamics within the mesons [28]. Later, the short distance contribution has been re-examined using the QCD sum rules method [33].

The baryon decays $cq_1q_2 \rightarrow uq_1q_2\gamma$ are also examined and are found to be less suitable to probe the $c \rightarrow u\gamma$ transition.

The kinematics of the three body decay $c \rightarrow ul^+l^-$ with $l = e, \mu$ offers more information than the kinematics of the two body decay $c \rightarrow u\gamma$. In addition to the total rate, one can measure also the dependence of the differential rate on the invariant di-lepton mass $m_{ll} = \sqrt{(p_{l^+} + p_{l^-})^2}$ and the angle between the u quark and one of the leptons. The leading term in the one loop electroweak amplitude has logarithmic dependence on the intermediate quark masses $\sum_{q=d,s,b} V_{cq}^* V_{uq} \ln(m_q^2/m_W^2)$, it is not strongly GIM suppressed and gives a branching ratio of the order of 10^{-9} [34]. The QCD corrections have not been calculated yet, but are not expected to be sizable. The relevant meson decays have the flavour content $c\bar{q} \rightarrow u\bar{q}l^+l^-$ where $c\bar{q}$ forms a pseudoscalar and $u\bar{q}$ forms a pseudoscalar or vector meson. Experimentally the most promising are the charmed meson decays with $q = u, d$ or s and here the first theoretical study of the short and the long distance contributions to these decays is presented³. For this purpose a framework, which combines the heavy quark and chiral symmetry, is developed and applied to all charmed meson decays to a light pseudoscalar or vector meson and a lepton-antilepton pair [34, 35]. All decays are found to be dominated by the long distance contributions arising due to the mechanism illustrated in Figs. 1.2 and 1.3 where the real photon is replaced by the virtual photon and converts to a lepton pair. The predicted rates indicate that some channels may be detected soon. The only charmed meson decay channel, in which the short distance transition $c \rightarrow ul^+l^-$ is not overshadowed by the long distance dynamics, is found to be $D \rightarrow \pi l^+l^-$ at high m_{ll} . This is due to the fact that the long distance part is increased at the resonances $m_{ll} = m_\rho, m_\phi, m_\phi$ and dies out at higher m_{ll} . In $D \rightarrow \pi l^+l^-$ decays the kinematical upper bound $m_{ll}^{max} = m_D - m_\pi$ is as high as possible and the region above resonances, nonexistent in other decays, is dominated by the short distance $c \rightarrow ul^+l^-$ process [29]. Another place to observe $c \rightarrow ul^+l^-$ is the $B_c \rightarrow B_u l^+l^-$ decay in the region of m_{ll} below the resonances, since the long distance weak annihilation contribution is CKM suppressed by the factor $V_{cb}^* V_{ub}$ in this channel.

Although the long distance dominated charmed meson decays may not be used as probes for the flavour changing neutral processes, it is important to understanding their dynamics. Similar long distance contributions present the background to extract short distance transitions $b \rightarrow s$ and $s \rightarrow d$ in B and K meson decays. The theoretical and experimental investigation of the long distance dominated charmed meson decays serves as the controlled laboratory for nonperturbative strong dynamics which would help in understanding the similar B and K meson decays.

Motivated by the preceding discussion, the main subject of the dissertation is the study of specific weak decays of heavy mesons - bound states of quark and antiquark containing at least one heavy quark c or b . The main problem here is to account for the strong interaction of quarks. The strong interactions are described by quantum chromodynamics and are understood in principle. In practice, the strong coupling is not small at low energies, perturbative expansion is meaningless and the nonperturbative regime of strong interaction presents a problem which has not been solved in its entirety, nor is it ever likely to be. Rather, what is available is a variety of theoretical approaches and techniques, appropriate to a variety of specific problems with varying levels of reliability. There are a few situations in which one can do rigorous and predictive analyses, and many in which what can be said

³Only the long distance contribution to the channel $D^+ \rightarrow \pi^+ l^+ l^-$ has been studied up to now [115].

is more imprecise and model dependent. Often one can not measure what one can compute reliably, nor compute reliably what one can measure. While approaches which are based directly on QCD are clearly preferred, more model dependent methods are often all that is available and thus have an important role to play as well.

The theoretical methods to study hadron spectroscopy and their decays fall roughly into four categories. The numerical calculation of path integrals on discretized space-time is used in lattice QCD [36]. This method is based directly on QCD and will improve with the availability of ever more powerful computers. At present it is most successfully applied to the spectroscopy of hadrons. The calculation of the quantities, in which several hadrons have to be fitted on a lattice at the same time, is still numerically too demanding since the coarse lattice can not resolve dynamics at short distances. Apart from the numerical, this approach also has some fundamental problems. Since the calculations are performed in the Euclidean space time, the lattice QCD can not study complex quantities like strong scattering phases. The QCD sum rules approach [37] uses the analytical continuation to the perturbative QCD kinematical region, where quantities are evaluated perturbatively. The third class of models is represented by different kinds of constituent quark models which are only inspired, but not derived, from QCD.

To study the charmed meson decays I will exploit the fourth possibility represented by effective field theories which follow rigorously from QCD and are popular in the study of heavy meson decays. In addition to true symmetries of quantum chromodynamics, in this approach also the approximate and spontaneously broken symmetries are used. The Lagrangian is expressed in terms of hadronic fields instead of in terms of the quark fields. The most general Lagrangian invariant under given symmetries has, in general, infinitely many terms, each weighted by an unknown free parameter. Effective field theory has predictive power only if different terms present different orders in some small expansion parameter which is not a strong coupling constant, in this case. While this technique is rigorous in principle, it can be used only in the kinematical regions where the additional symmetries are really valid. The predicted quantities depend on several free parameters and successful application should reproduce more data than there are free parameters.

I will study the relevant charmed meson weak decays by using the effective field theory which makes use of chiral and heavy quark symmetries. Chiral symmetry is the symmetry of QCD in the limit of massless quarks and holds to a good approximation for light u , d and s quarks [38]. It corresponds to global rotations in the three-dimensional flavour space of left and right handed quarks. It is spontaneously broken and the corresponding Goldstone bosons are represented by the octet of light pseudoscalar mesons. The chiral perturbation theory is an effective field theory with the systematic perturbative expansion in orders of energy of light pseudoscalar mesons [38]. It converges when these energies are smaller than the energy of the chiral breaking scale which is of the order of 1 GeV. Heavy quark symmetry is the symmetry of QCD in the limit of infinitely heavy quarks and can be applied to heavy quarks c and b [39, 40]. In this limit the dynamics depends only on the velocity of the heavy quark and is independent of its flavour and direction of the spin, so the heavy quark symmetry corresponds to the rotations in the heavy quark spin and flavor space. Heavy quark effective field theory presents the systematic expansion in powers of inverse mass of the heavy quark [39, 40].

Both symmetries can be combined to study the processes involving heavy and light mesons in the kinematical region where the latter have small energy [41]. The most general Lagrangian invariant under both symmetries describes the strong interactions among the heavy and light mesons. It is expressed as the perturbative expansion in the energy of the light mesons and inverse power of heavy quark mass. The number of free parameters of this effective field theory increases at higher orders in the perturbative expansion. Eventually one has to compromise between a loss of the predictive power due to large number of free parameters and large uncertainties due to the low order of the perturbative expansion. The electromagnetic interactions are introduced by gauging the symmetries of the Lagrangian. Since the interchange of the heavy and light quarks is not the symmetry of the Lagrangian, the weak interaction among the heavy and light quarks can not be obtained by gauging. In the present approach, the weak current involving the heavy and light quark is written as the most general expression that is left-handed anti-triplet under chiral transformation and is linear in heavy meson fields. Before applying this approach to decays of interest, the model is applied to charmed meson semileptonic decays [42] where its free parameters are fitted. The applicability of the model is approved for in the reasonable predictions for the charmed meson nonleptonic rates [43] where the factorization approximation is systematically used. The approach is then adapted to study the decays $D \rightarrow V\gamma$, $D \rightarrow Vl^+l^-$ and $D \rightarrow Pl^+l^-$ where V and P denote the light vector and pseudoscalar mesons, respectively. Due to presence of the real or virtual photon in the final state, the amplitudes have to be invariant under the electro-magnetic gauge transformation. I propose a general mechanism to incorporate the long distance contributions in a manifestly gauge invariant way.

The current chapter briefly illuminates the present status of the field and introduces the motivation for the problems that I study. The standard model predictions for $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ decays at short distances are presented in Chapter 2. The sensitivity of the $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ rates on different scenarios of physics beyond the standard model are discussed as well. These include the models with the extended Higgs sector, the minimal and non-minimal supersymmetric standard model, standard model with an extension of the fourth generation and left-right symmetric models. The general framework for long distance contributions in hadron decays of interest is presented in Chapter 3. The specific hadronic decays, which are interesting as probes for flavour changing neutral $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ transitions, are discussed in Chapter 4 and 5. The $B_c \rightarrow B_u^*\gamma$ decay is proposed in Chapter 4 as the most suitable channel to probe the $c \rightarrow u\gamma$ transition. In Chapter 5, the charmed meson decays are studied using the effective field theory which combines heavy quark effective theory and chiral perturbation theory. After the presentation of the model and its applications to the semileptonic and nonleptonic charm meson decays, the decays to a light meson and a photon or lepton-antilepton pair are studied. The conclusions are gathered in Chapter 6.

Chapter 2

Flavour changing neutral transitions among c and u quarks at short distances

The flavour changing neutral transitions among c and u quarks are studied in this chapter. These processes have enhanced sensitivities to different scenarios of physics beyond the standard model and present an interesting probe for the standard model of the elementary particle interactions. The standard model predictions will be given in the first section. In the second section different scenarios of physics beyond the standard model will be studied. These include the models with the extended Higgs sector, the minimal and non-minimal supersymmetric standard model, standard model with an extension of the fourth generation and left-right symmetric models.

The examples of the most interesting flavour changing neutral transitions among c and u quarks are $c \rightarrow u\gamma$, $c \rightarrow ul^+l^-$, $c \rightarrow u \text{ gluon}$, $c \rightarrow u\nu\bar{\nu}$, $c\bar{u} \rightarrow l^+l^-$, $c\bar{u} \rightarrow \nu\bar{\nu}$ and $c\bar{u} \leftrightarrow \bar{c}u$. Here l denotes a charged lepton e or μ , while τ is too heavy to be produced in the charm quark decay. I will concentrate on the channels with a photon or a charged lepton pair in the final state due to the experimental difficulties connected with the observation of the neutrino and gluon. Neutrinos freely pass the detectors, while gluons hadronize due to the confinement and the corresponding decays would hardly give a distinctive experimental signature. The standard model prediction for $c \rightarrow u\gamma$ decay will be given in Section 1.1, while the predictions for $c \rightarrow ul^+l^-$ and $c\bar{u} \rightarrow l^+l^-$ decays will be given in Section 1.2. The sensitivity of these channels to possible scenarios of new physics will be explored in Section 2.

I will not study the $c\bar{u} \rightarrow \bar{c}u$ transition responsible for $D^0 - \bar{D}^0$ mixing, since this has been extensively studied elsewhere, among others in [44]. The experimental upper bound on $D^0 - \bar{D}^0$ mixing will be used to constrain the parameter space for the scenarios beyond the standard model and indirectly enter the predictions for the decays of interest here.

The weak interactions of quarks are experimentally explored in the corresponding decays of the hadronic states and the relevant hadron decays to probe the transitions among the c and u quarks will be studied in Chapters 3, 4 and 5. The predictions for the hadronic channels will unavoidably encounter the uncertainties connected with the nonperturbative

regime of the strong interactions. The main subject of this chapter are processes at the quark level. These can be calculated using the perturbative expansion in electro-weak and strong coupling constants in a well defined way.

2.1 The standard model predictions

2.1.1 The $c \rightarrow u\gamma$ decay

The general form of the amplitude for the decay $q_1 \rightarrow q_2\gamma$, arising from the theory with the left-handed charged current interactions and invariant under the electromagnetic gauge transformation, is derived in Appendix B

$$\mathcal{A}[q_1(p) \rightarrow q_2\gamma(q, \epsilon)] \propto \bar{u}_1(p-q)\sigma_{\mu\nu}[m_1(1+\gamma_5) + m_2(1-\gamma_5)]u_1(p) \epsilon^\mu q^\nu .$$

The mass of the quark u is safely neglected compared to the mass of the quark c and the amplitude is conveniently written as

$$\mathcal{A}(c \rightarrow u\gamma) = -\frac{G_F}{\sqrt{2}} \frac{e_0}{4\pi^2} c_7^{eff} m_c \epsilon^\mu \bar{u}_u(p-q) i q^\nu \sigma_{\mu\nu} (1+\gamma_5) u_c(p) . \quad (2.1)$$

In the above expression and throughout the whole work I systematically use the units in which $c = \hbar = 1$ and $[m] = [p] = [E] = 1/[l]$. The $c \rightarrow u\gamma$ rate is given in terms of a single coefficient c_7^{eff} , which is calculated in the subsequent subsections¹. The name indicates that c_7^{eff} matches the Willson coefficient $c_7(\mu)$ in the leading logarithmic approximation. In the first step, the effects of strong interactions are neglected and the amplitude is evaluated in the leading order of the standard model electroweak Lagrangian. The calculation at the one loop-electroweak order gives $|c_7^{eff}| = (2.4 \pm 2) \cdot 10^{-7}$. The strong interactions drastically increase the $c \rightarrow u\gamma$ rate. They are first taken into account in the leading logarithmic approximation, where amplitude is evaluated to all orders in $\alpha_s \log m_c/m_W$ giving $|c_7^{eff}| = (8 \pm 3) \cdot 10^{-6}$ [21, 22]. In the next step, all other contributions of the order of α_s are added to the amplitude and the value of c_7^{eff} rises to $|c_7^{eff}| = (4.7 \pm 1.0) \cdot 10^{-3}$ [22]. Further increase is not expected. The values of c_7^{eff} in subsequent approximations and the corresponding branching ratios for $c \rightarrow u\gamma$ are gathered in Table 2.1. There is obviously huge enhancement due to the strong interactions and it is interesting to see how this comes about in three subsections to follow.

One loop electroweak amplitude

The lowest order diagrams for the $c \rightarrow u\gamma$ decay in the unitary gauge are displayed in Fig. 1.1 and the strong interaction are neglected. The amplitude has the form (2.1), so one has to work at least to the second order in the external momenta and one can ignore all the terms

¹ The way c_7^{eff} is defined in (2.1), it also includes the CKM factors. In general, all the coefficients c_i defined in this chapter include the CKM factors. In the next chapter I will use the coefficients C_1 and C_2 which will not include the CKM factors.

$c \rightarrow u\gamma$		$Br(c \rightarrow u\gamma)$
one loop electroweak diagrams	$c_7^{eff} = (-2.4 \pm 2) \cdot 10^{-7}$	$(3.5_{-3.4}^{+7.5}) \cdot 10^{-17}$
leading logarithmic approximation	$ c_7^{eff} = (8 \pm 3) \cdot 10^{-6}$	$(3.9 \pm 2) \cdot 10^{-14}$
two-loop diagrams	$c_7^{eff} = -(1.5 + 4.4i)[1 \pm 0.2]10^{-3}$	$(1.3 \pm 0.6) \cdot 10^{-8}$
$c \rightarrow ul^+l^-$		$Br(c \rightarrow ul^+l^-)$
one loop electroweak diagrams	$c_9^{eff} = 0.24_{-0.06}^{+0.1}$	$(1.7_{-0.7}^{+0.1}) \cdot 10^{-9}$

Table 2.1: The standard model predictions for $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ decays. Coefficients c_7^{eff} and c_9^{eff} are defined via (2.1, 2.6) and (2.24), respectively. The coefficient c_7^{eff} and the corresponding $c \rightarrow u\gamma$ branching ratios are given in three subsequent approximations related to the strong interactions.

that can not be reduced to the Dirac form given in (2.1). Using the Gordon decomposition, the amplitude (2.1) can be decomposed to

$$\mathcal{A}(c \rightarrow u\gamma) = -\frac{G_F}{\sqrt{2}} \frac{e_0}{4\pi^2} c_7^{eff} m_c \bar{u}_u(p-q)(1+\gamma_5)[2p\epsilon - m_c \not{\epsilon}] u_c(p)$$

and only the terms of the form $p\epsilon$ are evaluated. There is no need to calculate the divergent diagrams in Figs. 1.1c and 1.1d since they are all proportional to $\bar{u}_u \not{\epsilon}(1+\gamma_5)u_c$ and will be canceled by the terms of similar form coming from the diagrams in Figs. 1.1a and 1.1b. The exchange of a particular intermediate quark in Figs. 1.1a and 1.1b renders a finite and an infinite part of the form $p\epsilon$ in the amplitude. The infinite terms are independent on the mass of the intermediate quark m_q and their sum vanishes due to the unitarity of the CKM matrix $\sum_{q=d,s,b} V_{cq}^* V_{uq} = 0$. The amplitude is then given by the finite terms. The calculation [45] at the second order in the external momenta and to all orders in the internal quark masses gives

$$c_7^{eff} = \sum_{q=d,s,b} V_{cq}^* V_{uq} F_2(x_q)/2 \quad \text{with} \quad x_q = m_q^2/m_W^2 \quad \text{and}$$

$$F_2(x) = Q \left[\frac{x^3 - 5x^2 - 2x}{4(x-1)^3} + \frac{3x^2 \ln x}{2(x-1)^4} \right] - \left[\frac{2x^3 + 5x^2 - x}{4(x-1)^3} - \frac{3x^3 \ln x}{2(x-1)^4} \right]. \quad (2.2)$$

Here $Q = -1/3$ is the charge of the intermediate quark (the minus sign in front of the second parenthesis was noticed recently in [46]; it was mistakenly taken as plus sign in [21] and [22]). In the case of the intermediate d , s and b quarks, x_q is small and $F_2(x_q) \simeq -5x_q/12$ gives

$$c_7^{eff} \simeq -\frac{5}{24} \sum_{q=d,s,b} V_{cq}^* V_{uq} \frac{m_q^2}{m_W^2}. \quad (2.3)$$

The amplitude is strongly GIM suppressed at this order: the contribution of d and s quarks are small due to the small masses m_d and m_s ; the mass m_b is relatively larger but the contribution of b quark is suppressed by the small $V_{cb}^* V_{ub}$ factor. The contributions of different intermediate quarks q to $F_2(x_q)$ and $c_7^{eff} = \sum V_{cq}^* V_{uq} F_2(x_q)/2$ (2.2) are presented in Table

2.2 for $|V_{cb}^*V_{ub}| \simeq (1.3 \pm 0.4) \cdot 10^{-4}$, $V_{cs}^*V_{us} \simeq -V_{cd}^*V_{ud} \simeq 0.22$, $m_d = 11$ MeV, $m_s = 140 \pm 30$ MeV, $m_b = 5$ GeV [3] and give

$$c_7^{eff} = (-2.4 \pm 2) \cdot 10^{-7}, \quad (2.4)$$

where the uncertainty is due mainly to the unknown relative phase of $V_{cb}^*V_{ub}$ and $V_{cs}^*V_{us}$. As a result, the $c \rightarrow u\gamma$ branching ratio is unobservably small at this order

$$\begin{aligned} Br(c \rightarrow u\gamma) &= \frac{\Gamma(c \rightarrow u\gamma)}{\Gamma(D^0)} = 6 \left| \frac{e_0 c_7^{eff}}{2\pi} \right|^2 \frac{\Gamma(c \rightarrow de^+\nu_e)}{|V_{cd}|^2 \Gamma(D^0)} \\ &= 6 \left| \frac{e_0 c_7^{eff}}{2\pi} \right|^2 \frac{G_F^2 m_c^5}{192\pi^3 \Gamma(D^0)} \sim (3.5_{-3.4}^{+7.5}) \cdot 10^{-17}, \end{aligned} \quad (2.5)$$

where $m_c = 1.25$ GeV is taken.

It is instructive to compare the decay $c \rightarrow u\gamma$ with the decay $b \rightarrow s\gamma$ this order. As can be seen from Table 2.2, the top quark completely dominates among intermediate quarks u , c , t ($m_u = 3.25$ MeV, $m_c = 1.25$ GeV and $m_t = 174$ GeV are taken) and the corresponding coefficient $c_7^{eff} = 7.6 \cdot 10^{-3}$ is not so GIM suppressed.

$c \rightarrow u\gamma$			$b \rightarrow s\gamma$		
q	$F_2(x_q)$	$V_{cq}^* V_{uq} F_2(x_q)/2$	q	$F_2(x_q)$	$V_{qb} V_{qs}^* F_2(x_q)/2$
d	$-7.9 \cdot 10^{-9}$	$8.4 \cdot 10^{-10}$	u	$2.3 \cdot 10^{-9}$	$-8.3 \cdot 10^{-13}$
s	$-1.3 \cdot 10^{-6}$	$-1.4 \cdot 10^{-7}$	c	$1.4 \cdot 10^{-4}$	$-2.7 \cdot 10^{-6}$
b	$-1.6 \cdot 10^{-3}$	$-1.0 \cdot 10^{-7}$	t	0.39	$7.6 \cdot 10^{-3}$

Table 2.2: Comparison of $c \rightarrow u\gamma$ and $b \rightarrow s\gamma$ decays at the one-loop electroweak order: the contributions arising from different intermediate quarks to F_2 and c_7^{eff} (2.2) are shown.

The effects of strong interaction in the leading logarithmic approximation

The perturbative QCD corrections are included by adding the gluons in all possible ways to the diagrams in Fig. 1.1. In this section I sketch the first step in this direction - the effects of strong interaction are included to all orders in $\alpha_s \ln m_c/m_W$ in the leading logarithmic approximation.

At this point, it is convenient to take into account that the external particles in $c \rightarrow u\gamma$ decay have momentum of the order of m_c and we can get rid of the degrees of freedom with much higher masses. At low energies, the $c \rightarrow u\gamma$ decay (2.1) is effectively induced by the local Lagrangian

$$\mathcal{L}^{c \rightarrow u\gamma}(x) = -\frac{4G_F}{\sqrt{2}} c_7^{eff} O_7(x) \quad \text{with} \quad O_7(x) = \frac{e_0}{32\pi^2} m_c \bar{u}(x) \sigma_{\mu\nu} (1 + \gamma_5) c(x) F^{\mu\nu}(x) \quad (2.6)$$

and c_7^{eff} is given in (2.2) at the one-loop electroweak order.

One type of QCD corrections to the effective Lagrangian $\mathcal{L}^{c \rightarrow u\gamma}$ (2.6) at the order α_s are incorporated by adding the gluon exchanges as shown in Fig. 2.1. For simplification,

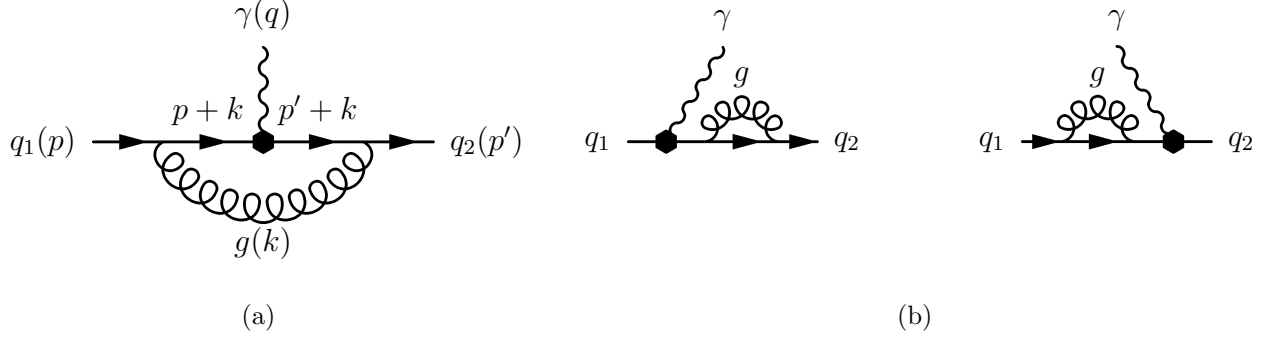


Figure 2.1: Strong corrections to the effective operator O_7 (2.6) at the order of α_s . The action of the operator O_7 (2.6) is denoted by the hexagon. Only the diagrams which contribute to the anomalous dimension γ_{77} are presented here.

other QCD corrections at this order will be discussed later. The transition O_7 accompanied by the one gluon exchange in Fig. 2.1 induces the effective operator O_7^{loop} , which is calculated in Appendix A.2 and is infinite. The low energy operator O_7 is renormalized at some renormalization scale μ by adding the counter-terms, which cancel the divergences: $O_7 \rightarrow O_7(\mu) = O_7 + O_7^{CT}(\mu)$. After the cancellation of the divergences, the amplitude for the diagrams in Fig. 2.1, calculated from $O_7(\mu)$, turns out to depend on the renormalization scale μ via $\alpha_s \ln(E/\mu)$ and E is the typical energy of the external particles. The low energy effective operator $O_7(\mu)$ is matched to the operator given by the full theory at the energy scale $\mu = m_W$, while the energies of the external particles are of the order of m_c . The factor $\alpha_s \ln m_c/m_W$ is not small and arises since we have calculated the amplitude from the effective operator $O_7(m_W)$. Needless to say that $O_7(m_c)$ would be more suitable for the calculation, since the one-loop strong corrections arising from the gluons with the virtualities from m_W down to m_c are proportional to $\alpha_s \ln m_c/m_c$ and therefore vanish. As the bare Lagrangian $\mathcal{L} = -4G_F/\sqrt{2} c_7(\mu) O_7(\mu)$ does not depend on the renormalization scale, the running of the coefficient $c_7(\mu)$ is introduced in such a way as to cancel the μ dependence of $O_7(\mu)$. In Appendix A.1 this condition is used to determine the running of $c_7(\mu)$ from the diagrams in Fig. 2.1, giving

$$\left[\mu \frac{d}{d\mu} - \gamma_{77}(\mu)\right] c_7(\mu) = 0 \quad \text{with} \quad \gamma_{77}(\mu) = \frac{16}{3} \frac{g_s(\mu)^2}{8\pi^2} \quad (2.7)$$

originally calculated in [47]. The effective operator O_7 is matched at $\mu = m_W$ with the operator given by the full theory at the one loop electroweak order, so $c_7(\mu = m_W)$ is given by (2.2). The evolution of c_7 down to $\mu = m_c$ is obtained by solving Eq. (2.7). Defining the running of the strong coupling g_s via the coefficient $\beta = \mu dg_s/d\mu$, the solution to (2.7) is given by

$$c_7(\mu) = \exp \left[\int_{g_s(m_W)}^{g_s(\mu)} dg_s \frac{\gamma_{77}(g_s)}{\beta(g_s)} \right] c_7(m_W) \quad (2.8)$$

with $\beta_0 = 11 - 2n_f/3$. The number of active quark flavours n_f changes from five to four at

$\mu = m_b$. Defining $\gamma_{77} = (g_s^2/8\pi^2)b_{77}$ with $b_{77} = 16/3$ this integrates to

$$c_7(\mu) = \left[\frac{\alpha_s(m_W)}{\alpha_s(\mu)} \right]^{\frac{b_{77}}{\beta_0}} c_7(m_W) \quad \text{or} \quad c_7(m_c) = \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{16}{23}} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{\frac{16}{25}} c_7(m_W) \quad (2.9)$$

and $c_7(m_W)$ is given by (2.2, 2.4). If diagrams in Fig. 2.1 presented the only QCD correction to O_7 at this order, then the Lagrangian (2.6) would present the effective Lagrangian for $c \rightarrow u\gamma$ decay within the described approximation. The suitable renormalization scale is $\mu = m_c$ and the $c \rightarrow u\gamma$ amplitude (2.1) would be given by the coefficient $c_7^{eff} = c_7(m_c)$ (2.9). If we renormalized the Lagrangian at $\mu = m_W$ instead, we would have to sum the contributions to all orders in $\alpha_s \ln(m_c/m_W)$ in order to get the same result. For this reason this approximation is called the leading logarithmic approximation. The idea to incorporate the effects of highly virtual particles in low energy phenomena into coefficients rather than in the operators was introduced by K.G. Willson [48] and the corresponding coefficients are called the Willson coefficients.

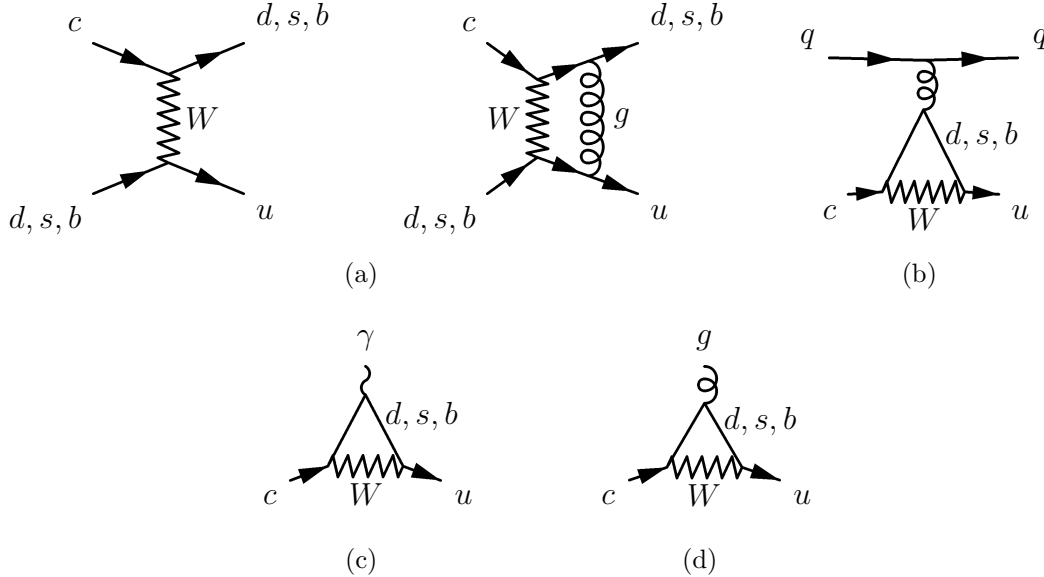


Figure 2.2: The diagrams that give rise to the effective operators $O_{1..8}$ (2.10). Only some typical representatives of the classes are shown.

This was, however, overly simplified in order to illustrate the idea behind the leading logarithmic approximation. In addition to O_7 , there are several effective local operators which mix among themselves when they are evolved from $\mu = m_W$ down to some lower scale [22, 49]. The current-current operators $O_{1,2}$ arise from the diagrams Fig. 2.2a, the QCD-penguin operators $O_{3,4,5,6}$ arise from the diagram Fig. 2.2b and the magnetic penguin operators O_7 and O_8 arise from the diagrams Fig. 2.2c and 2.2d, respectively (only some

typical representatives of the classes are presented in Fig. 2.2)²

$$\begin{aligned}
O_1^q &= 4(\bar{u}_\alpha \gamma_\mu P_L q_\alpha) (\bar{q}_\beta \gamma^\mu P_L c_\beta) , & q = d, s, b \\
O_2^q &= 4(\bar{u}_\alpha \gamma_\mu P_L c_\alpha) (\bar{q}_\beta \gamma^\mu P_L q_\beta) , & q = d, s, b \\
O_3 &= (\bar{u}_\alpha \gamma_\mu P_L c_\alpha) \sum_q (\bar{q}_\beta \gamma^\mu P_L q_\beta) , \\
O_4 &= (\bar{u}_\alpha \gamma_\mu P_L c_\beta) \sum_q (\bar{q}_\beta \gamma^\mu P_L q_\alpha) , \\
O_5 &= (\bar{u}_\alpha \gamma_\mu P_L c_\alpha) \sum_q (\bar{q}_\beta \gamma^\mu P_R q_\beta) , \\
O_6 &= (\bar{u}_\alpha \gamma_\mu P_L c_\beta) \sum_q (\bar{q}_\beta \gamma^\mu P_R q_\alpha) , \\
O_7 &= e_0 \frac{1}{16\pi^2} m_c (\bar{u}_\alpha \sigma_{\mu\nu} P_R c_\alpha) F^{\mu\nu} , \\
O_8 &= g \frac{1}{16\pi^2} m_c (\bar{u}_\alpha \sigma_{\mu\nu} T_{\alpha\beta}^a P_R c_\beta) G^{a\mu\nu}
\end{aligned} \tag{2.10}$$

with $P_{R,L} = (1 \pm \gamma_5)/2$ and color indices α, β . The effective bare Lagrangian for the $c \rightarrow u$ transition is represented by the sum of all these effective operators, multiplied by the corresponding Willson coefficients

$$\mathcal{L} = -\frac{4G_F}{\sqrt{2}} \left(\sum_{q=d,s,(b)} \frac{1}{4} [c_1^q(\mu) O_1^q(\mu) + c_2^q(\mu) O_2^q(\mu)] + \sum_{i=3,\dots,8} c_i(\mu) O_i(\mu) \right) . \tag{2.11}$$

The b quark in the first sum is active only in the region $\mu > m_b$.

In order to calculate the $c \rightarrow u\gamma$ amplitude (2.1, 2.6) in the leading logarithmic approximation we have to evaluate $c_7^{eff} = c_7(m_c)$. The evolution of the coefficients $c_i(\mu)$ is obtained by imposing the μ -dependences of $c_i(\mu)$ and $O_i(\mu)$ to cancel. The running can be expressed in terms of the anomalous dimension matrix γ , which is determined by the explicit renormalization of the operators $O_i(\mu)$

$$[\delta_{ji} \mu \frac{d}{d\mu} - \gamma_{ij}(\mu)] c_i(\mu) = 0 . \tag{2.12}$$

The general idea of mixing is illustrated on the example of mixing between the operators $O_1(\mu)$ and $O_2(\mu)$ in Appendix A, where matrix elements γ_{ij} for $i, j = 1, 2$ are calculated. The operators $O_{1-6,8}$, which are of zero-th order in electromagnetic coupling e , can mix with O_7 , which is of the first order in e , only if the mixing diagrams involve an additional electromagnetic vertex. The operator O_7 , for example, mixes strongly with operator O_1 through the diagrams in Fig. 2.3 and the detailed calculation of γ_{17} is presented in [50]. Other entries in the matrix $\gamma = (g_s^2/8\pi^2)b$ have been calculated in series of papers (see the references in Chapter 2 of [49]) and depend on the number of the active quark flavors n_f

²In comparison to [22] and [49] I change the definitions of $O_1(\mu)$ and $O_2(\mu)$ in the way it is frequently used for the study of the nonleptonic decays.

and the charge of the quarks, but not on the quark masses [22]³

$$b = \frac{1}{2} \begin{pmatrix} -2 & 6 & -\frac{2}{9} & \frac{2}{3} & -\frac{2}{9} & \frac{2}{3} & 8Q_1 + \frac{16}{27}Q_2 & \frac{70}{27} \\ 6 & -2 & 0 & 0 & 0 & 0 & 0 & 3 \\ 0 & 0 & -\frac{22}{9} & \frac{22}{3} & -\frac{4}{9} & \frac{4}{3} & \frac{464}{27}Q_2 & \frac{140}{27} + 3n_f \\ 0 & 0 & 6 - \frac{2}{9}n_f & -2 + \frac{2}{3}n_f & -\frac{2}{9}n_f & \frac{2}{3}n_f & 8\bar{Q} + \frac{16}{27}n_f Q_2 & 6 + \frac{70}{27}n_f \\ 0 & 0 & 0 & 0 & 2 & -6 & -\frac{32}{3}Q_2 & -\frac{14}{3} - 3n_f \\ 0 & 0 & -\frac{2}{9}n_f & \frac{2}{3}n_f & -\frac{2}{9}n_f & -16 + \frac{2}{3}n_f & -8\bar{Q} + \frac{16}{27}n_f Q_2 & -4 - \frac{119}{27}n_f \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{32}{3}Q_2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{32}{3}Q_2 & \frac{28}{3} \end{pmatrix}. \quad (2.13)$$

with $Q_1 = -1/3$, $Q_2 = 2/3$ and $\bar{Q} = 2/3$ for $c \rightarrow u\gamma$ decay⁴.

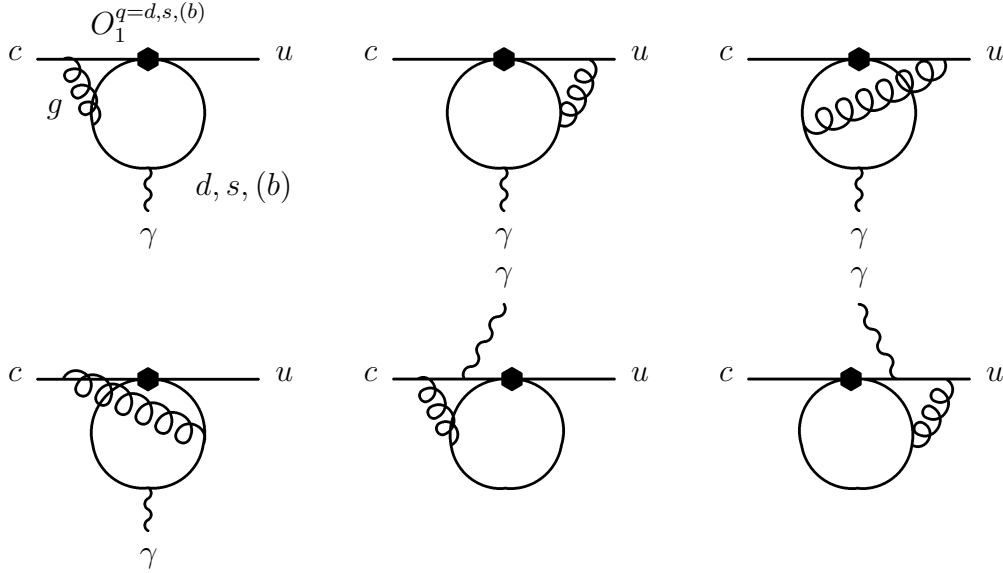


Figure 2.3: The dominant contribution to the decay $c \rightarrow u\gamma$ at the order α_s comes from the diagrams above when the functional dependence on the intermediate quark masses d , s and b is taken into account. The hexagon denotes the action of the operator $O_1^{q=d,s,(b)}$ (2.10) evaluated at the renormalization scale m_c .

The evolution of c_i from μ_0 to μ is given by the solution to Eq. (2.12)

$$c_i(\mu) = (M D M^{-1})_{ij} c_j(\mu_0), \quad (2.14)$$

where M is the orthogonal matrix $b^T = M b^{diag} M^{-1}$ that diagonalizes b^T and D is the diagonal matrix given by the eigenvalues of b^{diag}

$$D = \left(\left[\frac{\alpha_s(\mu_0)}{\alpha_s(\mu)} \right]^{b_1^{diag}/\beta_0}, \dots, \left[\frac{\alpha_s(\mu_0)}{\alpha_s(\mu)} \right]^{b_8^{diag}/\beta_0} \right).$$

³Note that I have changed the definition of $O_1 \leftrightarrow O_2$ compared to [22], so the matrix looks different.

⁴In the case of $b \rightarrow s\gamma$ decay $Q_1 = 2/3$, $Q_2 = -1/3$ and $\bar{Q} = 1/3$ have to be taken.

The operators $O_i(\mu)$ are renormalized at $\mu = m_W$ and the corresponding coefficients $c_i(m_W)$ are given by the electroweak theory. Coefficient $c_1^q(m_W) = V_{cq}^* V_{uq}$ is given by the current-current interaction, $c_7(m_W)$ is proportional to $\sum V_{cq}^* V_{uq} m_q^2/m_W^2$ (2.2) and $c_8(m_W)$ is also found to be proportional to m_q^2/m_W^2 [49]. All the remaining coefficients are equal to zero in the absence of the strong interactions, $c_{2-6}(m_W) = 0$.

The evolution of $c_i(\mu)$ from $\mu = m_W$ to m_c is performed in two steps as the number of active quark flavors changes from $n_f = 5$ to 4 at $\mu = m_b$. The evolution (2.14) from $\mu = m_W$ to m_b gives $c_i(m_b)$ that are proportional to $c_j(m_W)$. At $\mu = m_W$ only $c_1^q(m_W) = V_{cq}^* V_{uq}$ is seizable, while the others are equal to zero or proportional to m_q^2/m_W^2 and therefore negligible. The evolution from $\mu = m_W$ to m_b with $n_f = 5$ active flavors gives [22]

$$c_{1,2}^q(m_b) = \frac{1}{2} V_{cq}^* V_{uq} \left(\left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} \pm \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \right), \quad c_{3-8}(m_b) \propto \sum_{q=d,s,b} V_{cq}^* V_{uq} = 0. \quad (2.15)$$

The coefficient $c_7^{eff} = c_7(m_c)$, which is responsible for the $c \rightarrow u\gamma$ decay in the leading logarithmic approximation, is given by evolving (2.15) down to $\mu = m_c$ with $n_f = 4$ active flavors [22]

$$c_7^{eff} = c_7(m_c) = \sum_{q=d,s} \sum_{i=1}^8 \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{d_i} [a_i c_1^q(m_b) + b_i c_2^q(m_b)]$$

and using $V_{cd}^* V_{ud} + V_{cs}^* V_{us} = -V_{cb}^* V_{ub}$

$$c_7^{eff} = c_7(m_c) = -\frac{1}{2} V_{cb}^* V_{ub} \sum_{i=1}^8 \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{d_i} \left\{ a_i \left(\left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} - \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \right) + b_i \left(\left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} + \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \right) \right\}. \quad (2.16)$$

The coefficients a_i , b_i and d_i are rational numbers and are listed in [22]. The proportionality to $V_{cb}^* V_{ub}$ (2.16) arises due to the independence of the anomalous dimension matrix (2.13) on the quark masses m_d , m_s . In the evolution from $\mu = m_b$ down to m_c , the contributions from intermediate d and s quarks differ only in the CKM factors $V_{cd}^* V_{ud}$ and $V_{cs}^* V_{us}$ (2.15), respectively. Their sum is proportional to $V_{cd}^* V_{ud} + V_{cs}^* V_{us} = -V_{cb}^* V_{ub}$ and therefore small. Taking $|V_{cb}^* V_{ub}| \simeq (1.3 \pm 0.4) \cdot 10^{-4}$, $m_b = 5$ GeV, $m_c = 1.5$ GeV and $\alpha_s(m_Z) = 0.12$ the authors of [22] get

$$|c_7^{eff}| = |c_7(m_c)| = 0.060 |V_{cb}^* V_{ub}| \simeq (8 \pm 3) \cdot 10^{-6}. \quad (2.17)$$

The strong corrections at two-loop level

Although the leading logarithmic result (2.17) is much larger than the purely electroweak contribution (2.4), there remains a strong cancellation between s and d loops whose CKM factors are similar in magnitude but have opposite signs. This renders suppression by $V_{cb}^* V_{ub}$ in the leading logarithmic result (2.17). The cancellation is circumvented when the functional

dependence of the amplitude on the s and d quark masses becomes substantial. This happens when the amplitude is calculated at the order of α_s together with its dependence on the internal quark masses. In Ref. [22] this has been done for the dominant contributions to $c \rightarrow u\gamma$ decay at the order of α_s . These are induced by the operators $O_{1,2}^q(m_c)$ (2.10) - the only operators whose corresponding coefficients $c_{1,2}(m_c)$ are not suppressed by $V_{cb}^*V_{ub}$ (like it is the case for $c_7(m_c)$ (2.17)). The evolution of $c_{1,2}(m_b)$ (2.15) down to $\mu = m_c$ is given by (2.14) with $n_f = 4$

$$c_{1,2}^q(m_c) = \frac{1}{2}V_{cq}^*V_{uq} \left(\left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{25}} \pm \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{25}} \right) \quad (2.18)$$

and the terms of the order of $V_{cb}^*V_{ub}$ are neglected. The $c \rightarrow u\gamma$ diagrams induced by $O_1^q(m_c)$ are shown in Fig. 2.3, while the similar diagrams with $O_2^q(m_c)$ insertions vanish due to their color structure. The coefficient c_7^{eff} , that represents the magnitude of $c \rightarrow u\gamma$ transition at this order, is obtained by the explicit evaluation of the two-loop diagrams in Fig. 2.3 giving [22]

$$\begin{aligned} c_7^{eff} &= \frac{\alpha_s(m_c)}{4\pi} \left[c_2^s(m_c) f\left(\frac{m_s^2}{m_c^2}\right) + c_2^d(m_c) f\left(\frac{m_d^2}{m_c^2}\right) \right] + \mathcal{O}(V_{ub}^*V_{cb}) \\ &= V_{cs}^*V_{us} \frac{\alpha_s(m_c)}{8\pi} \left[f\left(\frac{m_s^2}{m_c^2}\right) - f\left(\frac{m_d^2}{m_c^2}\right) \right] \\ &\quad \times \left(\left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{25}} + \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{25}} \right) + \mathcal{O}(V_{ub}^*V_{cb}) . \end{aligned} \quad (2.19)$$

The function f was extracted [22] from an analogous computation performed for the $b \rightarrow s\gamma$ decay [51]

$$\begin{aligned} f(z) &= -\frac{1}{243} \{ 576\pi^2 z^{3/2} + [3672 - 288\pi^2 - 1296\zeta(3) + (1944 - 324\pi^2)L + 108L^2 + 36L^3]z \\ &\quad + [324 - 576\pi^2 + (1728 - 216\pi^2)L + 324L^2 + 36L^3]z^2 + [1296 - 12\pi^2 + 1776L - 2052L^2]z^3 \} \\ &\quad - \frac{4\pi i}{81} \{ [144 - 6\pi^2 + 18L + 18L^2]z + [-54 - 6\pi^2 + 108L + 18L^2]z^2 + [116 - 96L]z^3 \} \\ &\quad + \mathcal{O}(z^4 L^4) \end{aligned}$$

with $L = \ln z$ and $\zeta(3) \simeq 1.20$. The imaginary part of the function f arises from the part of the integration over the loop momenta when d or s quarks are on-shell. The function is renormalization scheme independent. All scheme dependent terms undergo the GIM mechanism and only affect the $\mathcal{O}(V_{ub}^*V_{cb})$ part of (2.19). Using $m_s = 140 \pm 30$ MeV, $m_d = 11$ MeV and $m_c = 1.25$ GeV Eq. (2.19) gives

$$f\left(\frac{m_s^2}{m_c^2}\right) - f\left(\frac{m_d^2}{m_c^2}\right) = -(0.24 + 0.68i)[1 \pm 0.2] \quad (2.20)$$

and together with (2.19) the authors of [22] get

$$c_7^{eff} = -(1.5 + 4.4i)[1 \pm 0.2]10^{-3} . \quad (2.21)$$

The corresponding branching ratio at this order is given by (2.5)

$$Br(c \rightarrow u\gamma) = (1.3 \pm 0.6) \cdot 10^{-8} . \quad (2.22)$$

The amplitude at the order of α_s (2.21) is more than two orders of magnitude larger than the leading logarithmic result (2.17) and four orders of magnitude larger than the electroweak result (2.4). The form of Eq. (2.19) ensures that no further enhancement of the $c \rightarrow u\gamma$ rate is expected at even higher orders of strong interaction [22]: the amplitude of $c \rightarrow u\gamma$ must be of order eG_F ; any $\Delta S = 0$ charm decay must be Cabibbo suppressed by $V_{cs}^* V_{us}$; the suppression by m_s^2/m_c^2 is rather mild as can be seen from (2.20). The possibilities of finding large contributions by considering higher orders in perturbation theory have been therefore exhausted.

The standard model predictions for $c \rightarrow u\gamma$ in three subsequent approximations are gathered in Table 2.1.

2.1.2 The $c \rightarrow ul^+l^-$ decay in the standard model

The diagrams for the $c \rightarrow ul^+l^-$ decay at the lowest order in the electro-weak theory are presented in Fig. 2.4. In order to calculate the $c \rightarrow ul^+l^-$ rate, the amplitudes for $c \rightarrow u\gamma^*$, $c \rightarrow uZ^*$ and W box diagrams⁵ have to be evaluated and the general forms are derived in Appendix B

$$\begin{aligned} \mathcal{A}[c \rightarrow u\gamma^*(q, \epsilon)] &= \epsilon^\mu \bar{u}_u [A i m_c q^\nu \sigma_{\mu\nu} (1 + \gamma_5) + B (q^2 \gamma_\mu - q_\mu \not{q}) (1 - \gamma_5)] u_c , \\ \mathcal{A}[c \rightarrow uZ^*] &= C \bar{u}_u \gamma_\mu (1 - \gamma_5) u_c \epsilon^\mu , \\ \mathcal{A}^{box} &= D \bar{u}_u \gamma_\mu (1 - \gamma_5) u_c \bar{u}_l \gamma^\mu (1 - \gamma_5) u_l . \end{aligned} \quad (2.23)$$

The Lorentz invariant coefficients A , B , C and D have been calculated to all orders in the internal quark masses for analogous $s \rightarrow d$ diagrams in [45]. In the case of $c \rightarrow u$ transition the coefficients are expressed in terms of the masses m_d , m_s and m_b and the terms proportional to m_q^2/m_W^2 can be safely neglected. The leading contribution comes from the term $\ln m_q^2/m_W^2$, which appears in the coefficient B and arises due to the virtual photon emission from the intermediate quark in Fig. 2.4a. Note that the coefficient B does not contribute in the case of the real photon and the $c \rightarrow u\gamma$ amplitude is proportional only to m_q^2/m_W^2 (which is much smaller than $|\ln m_q^2/m_W^2|$ responsible for $c \rightarrow ul^+l^-$ decay). Neglecting the terms proportional to m_q^2/m_W^2 , the effective local Lagrangian that induces the $c \rightarrow ul^+l^-$ transition is given by

$$\begin{aligned} \mathcal{L}^{c \rightarrow ul^+l^-} &= -4 \frac{G_F}{\sqrt{2}} c_9^{eff} O_9 \quad \text{with} \\ O_9 &= \frac{e_0^2}{32\pi^2} \bar{u}_\alpha \gamma_\mu (1 - \gamma_5) c_\alpha \bar{l} \gamma^\mu l \quad \text{and} \quad c_9^{eff} = \frac{2}{9} \sum_{q=d,s,b} V_{cq}^* V_{uq} \ln \frac{m_q^2}{m_W^2} . \end{aligned} \quad (2.24)$$

In this approximation, the standard model prediction for the $c \rightarrow ul^+l^-$ rate depends on a single coefficient c_9^{eff} (the name indicates that c_9^{eff} matches the Willson coefficient $c_9(\mu)$ in

⁵Superscript * denotes a virtual particle.

the leading logarithmic approximation). Taking $m_d = 11 \pm 5$ MeV and $m_s = 140 \pm 30$ MeV [3] this gives [34, 35]

$$c_9^{eff} = 0.24^{+0.01}_{-0.06} \quad (2.25)$$

and with $m_c = 1.25$ GeV

$$\begin{aligned} Br(c \rightarrow ul^+l^-) &= \frac{\Gamma(c \rightarrow ul^+l^-)}{\Gamma(D^0)} = 2 \left| \frac{e_0^2 c_9^{eff}}{16\pi^2} \right|^2 \frac{\Gamma(c \rightarrow de^+\nu_e)}{|V_{cd}|^2 \Gamma(D^0)} \\ &= 2 \left| \frac{e_0^2 c_9^{eff}}{16\pi^2} \right|^2 \frac{G_F^2 m_c^5}{192\pi^3 \Gamma(D^0)} \sim (1.7^{+0.1}_{-0.7}) \cdot 10^{-9} . \end{aligned} \quad (2.26)$$

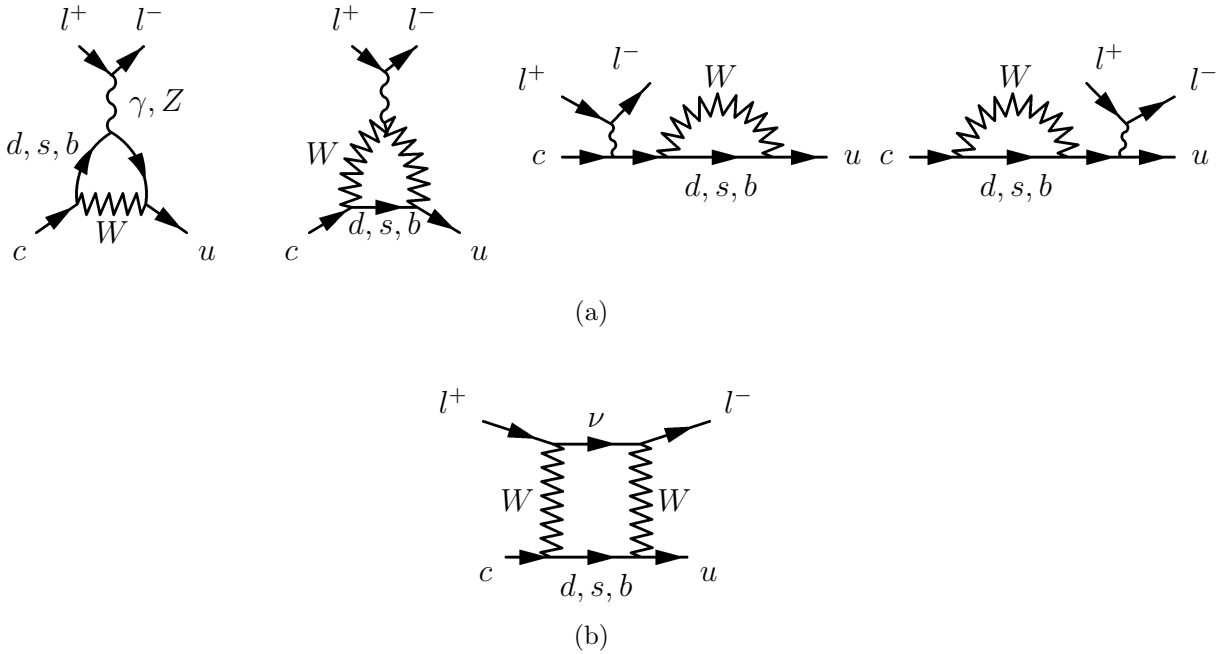


Figure 2.4: The diagrams for $c \rightarrow ul^+l^-$ decay at the lowest order in the electro-weak theory. Unitary gauge is used.

The effects of strong interactions in $c \rightarrow ul^+l^-$ decay have not been studied in detail yet. The form of effective Lagrangian (2.24) ensures that the effects of the strong interactions could not drastically enhance the $c \rightarrow ul^+l^-$ rate. The amplitude for $c \rightarrow ul^+l^-$ must be of the order of $e^2 G_F$ and so by a factor e suppressed compared to $c \rightarrow u\gamma$ amplitude. Any $\Delta S = 0$ charm decay must be also Cabibbo suppressed by $V_{cs}^* V_{us}$. The factor $\ln m_q^2/m_W^2$ is of the order of unity and is not expected to be drastically affected by the strong interactions. This is in contrast to $c \rightarrow u\gamma$ decay, where the QCD corrections drastically enhance a tiny factor m_q^2/m_W^2 present in the one-loop electroweak amplitude.

The short distance $c\bar{u} \rightarrow l^+l^-$ contribution to $D^0 \rightarrow l^+l^-$ decay

The transition $c\bar{u} \rightarrow l^+l^-$ can be experimentally studied only in the decay $D^0 \rightarrow l^+l^-$ of the pseudoscalar state D^0 , which is stable against the strong and electromagnetic decays. Although the hadronic decays will be the main concern of the following chapters, I briefly discuss the $D^0 \rightarrow l^+l^-$ decay here since this decay will be considered in various scenarios of physics beyond the standard model in the next section. The short distance contribution is induced by the transition $c\bar{u} \rightarrow l^+l^-$ and all the nonperturbative strong effects are incorporated into a single parameter f_D , describing how c and \bar{u} quarks are bounded to the meson D^0

$$\langle 0 | \bar{u} \gamma^\mu \gamma_5 c | D(p) \rangle \equiv i f_D p^\mu . \quad (2.27)$$

The general form of the $c\bar{u} \rightarrow l^+l^-$ amplitude is given by the expressions in (2.23) with \bar{u}_u replaced by \bar{v}_u . Due to the kinematic structure in $D^0 \rightarrow l^+l^-$ decay only the W box diagram in Fig. 2.4b contributes and the corresponding amplitude is proportional to a very small factor $\sum V_{cq}^* V_{uq} m_q^2 / m_W^2$ (in the $c \rightarrow ul^+l^-$ decay we have entirely neglected the box diagram contribution in comparison with the virtual photon emission from the intermediate quark giving $\sum V_{cq}^* V_{uq} \ln m_q^2 / m_W^2$). Additional suppression of the $D^0 \rightarrow l^+l^-$ rate comes from the helicity suppression: the W bosons in the box couple only to left-handed lepton and left-handed anti-lepton, while the conservation of angular momentum in $D^0 \rightarrow l^-l^+$ requires one of them to be left and the other to be right-handed. The resulting short distance branching ratio is suppressed by $(m_l/m_D)^2 (m_q/m_W)^4$ and is of the order of 10^{-19} . Although the long distance contributions enhance the branching ratio up to about $3 \cdot 10^{-15}$ [52] this decay is beyond the present experimental sensitivity at 10^{-6} [3]. For this reason I will not study this decay in Chapters 3, 4 and 5 and I will concentrate only on the meson decays induced by the flavour changing neutral transitions $c \rightarrow ul^-l^-$ and $c \rightarrow u\gamma$.

2.2 Beyond the standard model

2.2.1 Models with extended Higgs sector

In multi-Higgs doublet models, the new sources of flavour changing neutral interactions arise via the interactions of quarks with the new physical Higgses. The typical effects that arise from adding one or more scalar doublets to the standard model can be inferred by studying the two Higgs doublet model. The Yukawa part of the standard model Lagrangian with one Higgs doublet

$$\mathcal{L}_Y = \bar{Q}'_L \lambda^u \tilde{\Phi} U'_R + \bar{Q}'_L \lambda^d \Phi D'_R + \bar{\Psi}'_L \lambda^l \Phi l'_R + h.c. \quad (2.28)$$

is replaced by

$$\mathcal{L}_Y = \bar{Q}'_L (\lambda_1^u \tilde{\Phi}_1 + \lambda_2^u \tilde{\Phi}_2) U'_R + \bar{Q}'_L (\lambda_1^d \Phi_1 + \lambda_2^d \Phi_2) D'_R + \bar{\Psi}'_L (\lambda_1^l \Phi_1 + \lambda_2^l \Phi_2) l'_R + h.c. \quad (2.29)$$

in the case of two Higgs doublets

$$\Phi_1 = \begin{bmatrix} \phi_1^+ \\ \frac{v_1 + H_1 + iA_2}{\sqrt{2}} \end{bmatrix} \quad \text{and} \quad \Phi_2 = \begin{bmatrix} \phi_2^+ \\ \frac{v_2 + H_2 + iA_2}{\sqrt{2}} \end{bmatrix} .$$

Here $Q'_L = (U'_L, D'_L)$, $\psi'_L = (\nu'_L, l'_L)$, U' , D' , ν' and l' are triplets in the good weak isospin bases denoted by prime. The $\lambda^{u,d,l}$ and $\lambda_{1,2}^{u,d,l}$ are general 3×3 complex matrices. The $SU(2)_L \times U(1)_Y$ symmetry is spontaneously broken by the nonzero vacuum expectation values of the lower components $\langle \Phi_1 \rangle = (0, v_1/\sqrt{2})$ and $\langle \Phi_2 \rangle = (0, v_2/\sqrt{2})$ and the $U(1)_{em}$ symmetry is preserved⁶. After breaking the symmetry spontaneously five physical Higgs fields are present in the Lagrangian: positively and negatively charged scalars H^+ and H^- ; two neutral scalars H^0 and h^0 with different masses and a neutral pseudoscalar A^0 , as discussed in Appendix C in detail. The masses for the quarks are contained in

$$\mathcal{L}_M = \bar{U}'_L \Gamma^u U'_R + \bar{D}'_L \Gamma^d D'_R + h.c. \quad \text{with} \quad \Gamma^u = (\lambda_1^u v_1 + \lambda_2^u v_2)/\sqrt{2} \\ \Gamma^d = (\lambda_1^d v_1 + \lambda_2^d v_2)/\sqrt{2}. \quad (2.30)$$

The mass matrices in the weak isospin basis $\Gamma^{u,d}$ are diagonalized via a bi-unitary transformation, but $\lambda_1^{u,d}$ and $\lambda_2^{u,d}$ do not get diagonalized at the same time. The Lagrangian (2.29) therefore induces the tree level flavour changing neutral couplings (FCN) with the neutral physical Higgs bosons. This is in contrast to the standard model with one Higgs doublet, where the bi-unitary transformation diagonalizes the mass matrices $\lambda^{u,d} v/\sqrt{2}$ and the matrices $\lambda^{u,d}$ at the same time and there are no flavour changing couplings with neutral Higgs bosons in (2.28). The flavour changing neutral couplings in the two Higgs doublet model have to be suppressed in order to satisfy the experimental evidence for the smallness of FCNC's. One way to achieve this is by introducing the very large Higgs boson masses, which could lead to the violation of the unitarity and I will not consider the scenario where the mass of the Higgses are much greater than 1 TeV. Natural suppression of the flavour changing neutral processes and lepton-flavour violating processes can be achieved also by imposing an “ad hoc” discrete symmetry [53], which forbids this processes at the tree level.

- In the so called **Model I**, the invariance under $\Phi_1 \rightarrow -\Phi_1$, $\Phi_2 \rightarrow \Phi_2$, $D_R \rightarrow -D_R$, $U_R \rightarrow -U_R$, $l_R \rightarrow \pm l_R$ is imposed and λ_2^u , λ_2^d vanish. The $Q = 2/3$ and $Q = -1/3$ quarks couple to a single Higgs doublet and no FCN transition can occur at the tree level.
- In **Model II** the invariance under $\Phi_1 \rightarrow -\Phi_1$, $\Phi_2 \rightarrow \Phi_2$, $D_R \rightarrow -D_R$, $U_R \rightarrow U_R$, $l_R \rightarrow \pm l_R$ is imposed and λ_1^u , λ_2^d vanish. The $Q = 2/3$ and $Q = -1/3$ quarks couple to the different Higgs doublets and tree level FCNC transitions are absent⁷.
- In **Model III** no ad hoc discrete symmetry is imposed and (2.29) induces FCN couplings with the neutral Higgses at the tree level. In this case the magnitude of the FCNC couplings have to be arranged so that the prediction of this model do not contradict the measurements. The experimental data on the processes $K^0 \leftrightarrow \bar{K}^0$ and $K^0 \rightarrow \mu^+ \mu^-$ severely constrain the tree level Higgs coupling with the light s and d quarks. Other FCN couplings, especially those containing heavy quarks, are not so

⁶The v_1 and v_2 are in general two complex numbers with different phases. In order to simplify the discussion the complex phases are taken to be equal, since there is no experimental data to constrain the phase difference at present.

⁷This scenario naturally arises in supersymmetric models as discussed in the next section.

severely constrained by the experimental data. An example of Model III was proposed by Cheng and Sher [20], where for the magnitude of $q_i - q_i - H$ couplings is given by

$$\xi_{i,j} \sim \frac{\sqrt{m_i m_j}}{v} \Delta_{ij} \quad (2.31)$$

with the coefficients Δ_{ij} of the order of one. This model naturally suppresses the FCN transitions among the light quarks (as required by the data in the down quark sector) and leaves the possibility for the bigger FCN couplings among heavy quarks, especially among t and c quarks (the $t \leftrightarrow c$ transitions have been extensively studied in [19]). This Cheng-Sher ansatz (2.31) naturally arises in the models with the Fritzsch type of the Yukawa couplings [20].

In any case, the tree level FCN couplings in the two Higgs doublet model are free parameters until they are constrained by the experimental data. The Models I and II are expected to induce only small modifications to $c \leftrightarrow u$ transition, as they arise only at the loop level. I consider only the Model III with the tree level $c - u - H$ couplings, where the leading new contributions to the transitions $c \rightarrow ul^+l^-$, $c \rightarrow u\gamma$ and $D^0 \rightarrow l^+l^-$ arise via the diagrams in Figs. 2.5a, 2.5b and 2.5c, respectively.

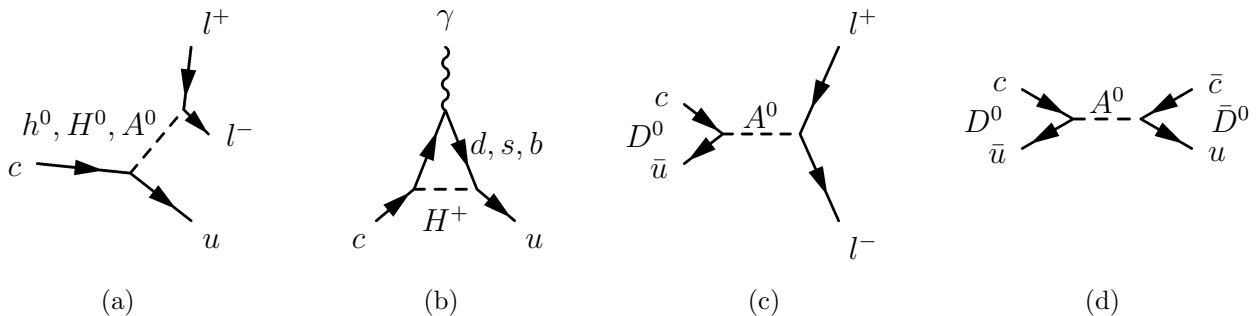


Figure 2.5: Two Higgs doublet model: scenario III with flavour changing neutral couplings at tree level. Physical Higgs bosons in this model are neutral scalars H^0 and h^0 , neutral pseudoscalar A^0 and charged scalars H^\pm . In Fig. (b) the photon can be emitted from any charged line.

The process $c \rightarrow u\gamma$ was studied in [54] and the leading contribution comes from the intermediate b quark and charged Higgs. The upper limits on the $c - b - H^+$ and $b - u - H^-$ couplings were obtained from $b \rightarrow c\tau\nu_\tau$ and $b \rightarrow ul\nu_l$ decays, respectively, and the corresponding contribution is unobservably small

$$Br(c \rightarrow u\gamma)^H < 1.8 \cdot 10^{-11} .$$

One would expect that the effects of these couplings would be much more significant in the $c \rightarrow ul^+l^-$ decay, which occurs through the exchange of the scalar or pseudoscalar Higgs at the tree level. It turns out, however, that the smallness of the $l - l - H$ coupling m_l/v renders even the $c \rightarrow u\mu^+\mu^-$ rate quite small. The upper limit on the coupling ξ_{cu}

among c , u and the pseudoscalar Higgs A^0 is obtained when the experimental upper bound on $\Delta m_D < 1.6 \cdot 10^{-13}$ GeV [3] is saturated by the mechanism presented on Fig. 2.5d. The standard model prediction for Δm_D , discussed in Appendix D, is namely three order of magnitudes smaller than the present experimental upper bound and there is a large discovery window for new effects in this region. In the factorization approximation, the mechanism on Fig. 2.5d renders Δm_D equal to [55]

$$\Delta m_D^{A^0} \simeq \frac{5}{12} \frac{\xi_{cu}^2}{m_{A^0}^2} f_D^2 m_D$$

and $\xi_{cu} \lesssim 7 \cdot 10^{-4}$ is obtained for $m_{A^0} = 300$ GeV and $f_D = 0.2$ GeV. In terms of the relation (2.31), this corresponds to the upper bound $\Delta_{cu} \lesssim 2$ of the order of unity for $m_c = 1.25$ GeV and $m_u = 3.25$ MeV, as expected. I use the upper bound on the $c - u - A^0$ coupling ξ_{cu} to predict the $c \rightarrow u\mu^+\mu^-$ and $D^0 \rightarrow \mu^+\mu^-$ rates corresponding to the mechanisms shown in Figs. 2.5a and 2.5c, respectively. The $D^0 \rightarrow \mu^+\mu^-$ branching ratio is bounded at

$$Br(D^0 \rightarrow \mu^+\mu^-)^{A^0} = \frac{f_D^2 m_D^3}{8\pi\Gamma(D^0)} \left(\frac{\xi_{cu} m_\mu}{vm_{A^0}^2} \right)^2 \lesssim 6 \cdot 10^{-14} ,$$

which is about an order of magnitude larger than the standard model prediction but it is far below the present experimental sensitivity at the level of 10^{-6} [3]. The process $c \rightarrow u\mu^+\mu^-$ shown in Fig. 2.5a is mediated by a pseudoscalar and two scalar Higgses, which have different masses and couplings to c and u quarks discussed in Appendix C. For the reasons of simplicity the masses and couplings are taken to be equal and all three neutral Higgses contribute to $c \rightarrow u\mu^+\mu^-$ an equal amount

$$Br(c \rightarrow u\mu^+\mu^-)^H = \frac{9m_c^5}{768\pi^3\Gamma(D^0)} \left(\frac{\xi_{cu} m_\mu}{vm_H^2} \right)^2 \lesssim 6.5 \cdot 10^{-15} ,$$

which is much smaller than the standard model prediction $1.7 \cdot 10^{-9}$ (2.26). I conclude by stressing that the $c - u - H$ coupling in model III is severely constrained by the experimental upper bound on Δm_D , which renders the new contributions to $c \rightarrow u\mu^+\mu^-$ and $D^0 \rightarrow \mu^+\mu^-$ insignificant.

The general model with tree level FCN couplings can violate also the lepton number at the tree level, as can be seen from (2.29). My predictions above are based on the lepton number conserving $H - l - l$ couplings given by m_l/v . The FCN transitions accompanied with lepton number violation in Model III have been studied in [56]. The authors of [56] have obtained rather mild bounds on the $c - u - H$ and $l - l' - H$ couplings from the data on the charm meson leptonic and semileptonic decays, since they have not taken into account the upper bound on the $c - u - H$ coupling coming from Δm_D .

2.2.2 Supersymmetric models

Supersymmetry ⁸ is a symmetry between fermions and bosons. In a supersymmetric model each fermion of given quantum numbers with respect to the gauge group has a bosonic

⁸The general discussion on supersymmetry is taken from the reviews on this subject listed in [57].

superpartner with equal mass and quantum numbers; and vice versa. As we look at the list of the fermions and bosons in the standard model, we notice that none of them can be superpartner to the other. In the minimal supersymmetric standard model (MSSM) the minimal number of particles is added in order to supersymmetrize the standard model. None of the superpartners of the standard model particles have not been observed yet, so it is anticipated that they all have higher masses. As the mass of a particle and its superpartner are not equal, supersymmetry must be broken in any realistic supersymmetric model. One might wonder if there is a good reason why all of the superpartners of the standard model particles are heavy enough to have avoided discovery so far. The reason is that all the particles in MSSM, which have been observed so far, would be necessarily massless if the symmetry $SU(2)_L \times U(1)_Y$ was not broken. On the other hand, the mass terms for the Higgs and all the superpartners respect the full symmetry $SU(3) \times SU(2)_L \times U(1)_Y$ and have naturally higher masses.

One of the motivations to study supersymmetry is a hope that the hierarchy problem can be solved. In the standard model the Higgs mass depends quadratically on the ultraviolet cut off for the radiative corrections and is expected to be large. Yet, it is experimentally constrained through its radiative effects to be less than $m_H < 450$ GeV at the 95 % confidence level [3]. In the MSSM with exact supersymmetry, the loop corrections to the Higgs boson mass vanish, which can be considered as a natural explanation of the small Higgs mass in the broken MSSM. The second nice feature of MSSM is that it contains just the right proportions of the new particles, so the three gauge couplings corresponding to $SU(3)$, $SU(2)_L$ and $U(1)_Y$ groups run with energy a bit differently and they seem to meet at the grand unification scale at about 10^{16} GeV. From the aesthetic theoretical point of view supersymmetry is attractive as it presents the only possible non-trivial unification⁹ of the internal and space-time symmetries compatible with quantum field theory [58].

Let me now turn to the flavour changing neutral (FCN) currents in the supersymmetric models, in particular to the FCN currents among the c and u quarks. We shall see, that the FCN transitions in MSSM occur at the rates comparable to those in the standard model. In MSSM these rates turn out to be small due to the Super GIM mechanism which resembles the GIM mechanism in the standard model. The FCN transitions can however be severely enhanced over the standard model predictions in some non-minimal versions of the supersymmetric models [59].

There are no tree level FCN couplings in MSSM. This is true in spite of the fact that MSSM necessarily contains two Higgs doublets and one might expect the tree level FCN couplings with Higgs, as discussed in Section 2.2.1. The absence of these couplings is connected with the supersymmetric nature of the theory, which requires the super-potential to be an analytic function of the fields and can depend on the Higgs fields only through Φ and not through $\tilde{\Phi}$. The $\bar{Q}_L \tilde{\Phi} U_R$ term, for example, is forbidden and two different scalar doublets Φ_u and Φ_d are needed to give the masses to $Q = 2/3$ and $Q = -1/3$ quarks¹⁰. Field Φ_u

⁹The supersymmetry group is not the direct product of the internal and space-time symmetry groups.

¹⁰Two different scalar doublets of different hypercharge are needed also for the reasons of anomaly cancellations.

couples only to quarks with charge $2/3$, while Φ_d couples only to quarks with charge $-1/3$

$$\mathcal{L}_Y = \bar{Q}'_L \lambda^u \Phi_u U'_R + \bar{Q}'_L \lambda^d \Phi_d D'_R + h.c. . \quad (2.32)$$

The MSSM presents an example of Model II discussed in Section 2.2.1 and has no tree level FNC Higgs couplings.

Among the FCN interactions at the loop level, there are the standard model contributions, where the standard model particles run in the loop. In MSSM there are other particles that can run in the loop and couple to the external quarks thereby inducing new contributions to FCN processes. One possibility comes from the intermediate Higgs fields Φ_u and Φ_d that couple to the external quarks via the usual Yukawa type interaction and a typical representative of such process is shown in Fig. 2.5b. This kind of diagrams were discussed in Section 2.2.1 and were shown to have small effects on the FCN rates. In a supersymmetric theory the quarks do not couple only to the gauge bosons and Higgses, but also to their supersymmetric partners (the supersymmetric partner of a given standard model particle will be denoted by tilde). The corresponding vertices are the supersymmetrized version of the standard model vertices with the same strength and with two particles replaced by their superpartners, as shown schematically in Fig. 2.6. Among the new quark interactions in MSSM, the only strong interaction is given by the quark-squark-gluino vertex. This vertex induces the dominant contributions to the FCN processes in the supersymmetric models. The corresponding diagram for the $c \rightarrow u\gamma$ decay is shown in Fig. 2.7. In Fig. 2.9a, this diagram is redrawn in the good weak isospin quarks basis, which is more instructive for further discussion. In the weak isospin basis, the gauge interactions are diagonal, while the mass terms are nondiagonal and couple left and right handed quarks. For the purpose of further discussion let me first derive the convenient expressions for the quark mass matrices in the weak isospin basis. The mass and the weak eigenstates are denoted by $U^T = (u, c, t)$, $D^T = (d, s, b)$ and $U'^T = (u', c', t')$, $D'^T = (d', s', b')$, respectively, and the quark mass term is given by

$$\mathcal{L}_M = \bar{U}'_L \Gamma^u U'_R + \bar{D}'_L \Gamma^d D'_R + h.c. .$$

We can choose to work in the basis where $\Gamma^u = \Gamma_D^u$ is diagonal by performing the unitary transformations on $Q'_L = (U'_L, D'_L)$ and U'_R . It is not possible to do the same for Γ^d since we have already chosen a particular form of Q_L to diagonalize Γ^u . In this case $U' = U$, while D' is related to the mass eigenstate D via the unitary transformation $D_{L,R} = A_{L,R} D'_{L,R}$. We note also that $A_L^\dagger = V^{CKM}$ since $j_W^\mu = \bar{U}'_L \gamma^\mu D'_L = \bar{U}_L \gamma^\mu A_L^{\dagger} D_L \equiv \bar{U}_L \gamma^\mu V^{CKM} D_L$. So the quark mass matrices in the weak isospin basis are given by

$$\Gamma^u = \Gamma_D^u , \quad \Gamma^d = V^{CKM} \Gamma_D^d A_R . \quad (2.33)$$

In order to get acquainted with the weak isospin quark basis, it is instructive to see how the standard model $c \rightarrow u\gamma$ amplitude of the form $m_c \sum_{d,s,b} V_{ci}^* V_{ui} m_i^2$ (2.1, 2.3) naturally arises in this basis. In the standard model the $c \rightarrow u\gamma$ transition couples the left-handed u quark and the right handed c quark, as shown in Appendix B for $m_u \rightarrow 0$. In the weak isospin bases the amplitude is expanded in orders of the mass vertices inserted in the diagram. An odd number of mass insertions is necessary to couple $u_L - c_R$ and the first order in this

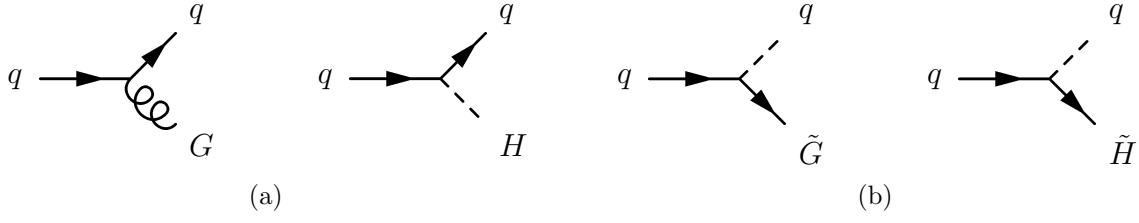


Figure 2.6: Quark interactions in minimal supersymmetric standard model. In Fig. (a) the standard model interactions are shown, while in Fig. (b) their supersymmetric counterparts are added. The q , G and H denote quark, gauge boson and Higgs, respectively. The \tilde{q} , \tilde{G} and \tilde{H} denote their superpartners. Solid, wavy and dashed lines denote spin 1/2, 1 and 0 particles, respectively.

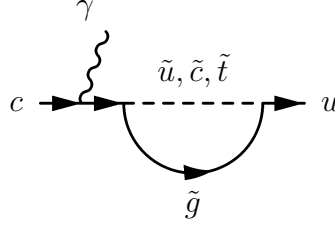


Figure 2.7: Dominant diagram for the $c \rightarrow u\gamma$ decay in the minimal supersymmetric standard model (in addition to the standard model diagrams). The photon can be emitted from any of the charged lines.

expansion vanishes. The lowest non-vanishing order is shown in Fig. 2.8 with three mass insertions. By using (2.33)

$$m_c \Gamma_{1i}^d \Gamma_{i2}^{d\dagger} = m_c (V^{CKM} \Gamma_D^d A_R A_R^\dagger \Gamma_D^{d\dagger} V^{CKM\dagger})_{12} = m_c \sum_{d,s,b} V_{ci}^* V_{ui} m_i^2 \quad (2.34)$$

and the same form of the $c \rightarrow u\gamma$ amplitude as in the good mass basis (2.1, 2.3) is obtained.

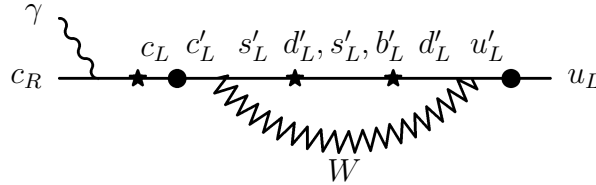


Figure 2.8: The $c \rightarrow u\gamma$ process in the standard model at the lowest order of the mass insertion expansion. Quarks with primes are in the weak isospin basis, while those without primes are in the mass eigenstate basis. The crosses denote the mass insertion, while the dots denote the unitary transformation among weak and the mass basis. The photon can be emitted from any charged line.

The dominant $c \rightarrow u\gamma$ diagrams in MSSM are shown in Fig. 2.9a. The crosses represent the squark mass matrix $\Gamma^{\tilde{u}_L}$ for the \tilde{U}'_L squarks or the matrix $\Gamma^{\tilde{u}_L \tilde{u}_R}$ responsible for the

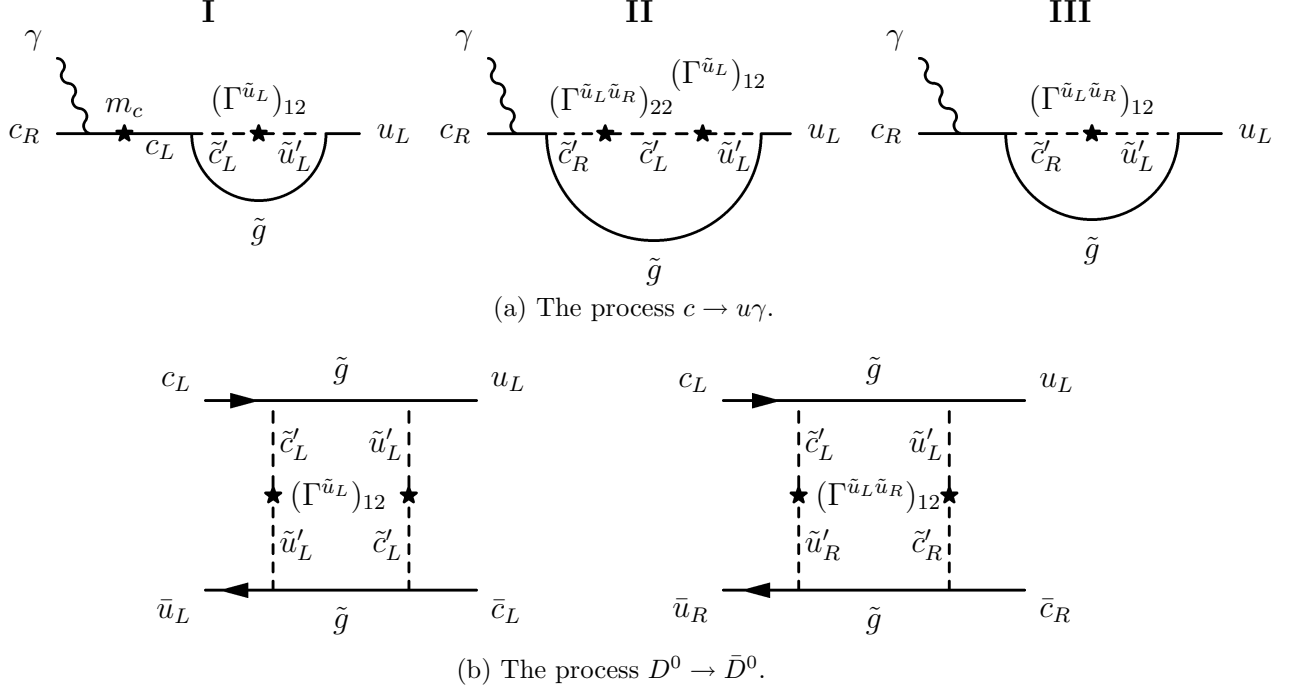


Figure 2.9: The dominant contributions to the processes (a) $c \rightarrow u\gamma$ and (b) $D^0 \rightarrow \bar{D}^0$ in the supersymmetric models. The crosses denote the quark or squark mass insertions $(\Gamma^{\tilde{u}_L})_{12}$ and $(\Gamma^{\tilde{u}_L \tilde{u}_R})_{12}$ - the lowest order in the mass insertion expansion is given. States with primes are in the weak isospin basis, while those without primes are in the mass eigenstate basis. I choose to work in the basis where the mass and weak eigenstates for the up-like quarks match (2.33). The mass matrix for the up-like squarks has to be non-diagonal (the mass and the weak eigenstates for the up-like squarks have to be different) in order to get the flavour changing neutral transition.

$\tilde{U}'_L - \tilde{U}'_R$ squark mixing¹¹. In order to get a flavour changing neutral transition among c and u quarks, these matrices must have a nonzero matrix element $\{12\}$ in the weak eigenstate bases. The main subject to follow is therefore to determine the **squark mass matrices** in different supersymmetric scenarios.

- In the model with the **exact supersymmetry**, the masses of squarks are equal to the masses of quarks. The mass term for the squarks in the weak eigenstate basis

$$\mathcal{L}_M = \tilde{U}_L'^{\dagger} \Gamma^{u\dagger} \Gamma^u \tilde{U}'_L + \tilde{U}_R'^{\dagger} \Gamma^{u\dagger} \Gamma^u \tilde{U}'_R + \tilde{D}_L'^{\dagger} \Gamma^{d\dagger} \Gamma^d \tilde{D}'_L + \tilde{D}_R'^{\dagger} \Gamma^{d\dagger} \Gamma^d \tilde{D}'_R + \dots \quad (2.35)$$

is diagonal due to (2.33). In addition there is no $\tilde{U}'_L - \tilde{U}'_R$ mixing and no FCN contribution can arise until the supersymmetry is broken.

- In any realistic model supersymmetry has to be broken. It has been found that it is almost impossible to break the supersymmetry spontaneously by giving the non-zero

¹¹The \tilde{q}_L and \tilde{q}_R are two different scalar particles.

vacuum expectation value to one of the scalar fields present in the MSSM [57]. Supersymmetry is broken spontaneously by a nonzero vacuum expectation value of some fields not present in MSSM, but in a “hidden” sector at higher energies. In the “low” energy MSSM sector this mechanism is effectively described by the Lagrangian \mathcal{L}_{soft} , which is added to the supersymmetric part of the Lagrangian and explicitly breaks the supersymmetry. In order to keep the theory renormalizable, \mathcal{L}_{soft} can contain only the so-called soft breaking terms. Among the soft terms there are the squark mass terms and $\tilde{q}_L - \tilde{q}_R$ mixing terms with completely general couplings. Such **general softly broken supersymmetric model**¹² could render huge contributions to FCN processes and we can not say much about the predictions of the model until we find some organizing principle for the values of the soft breaking couplings. This is achieved by invoking some dynamical model of supersymmetry breaking in the “hidden” sector, which gives the values of all the soft breaking terms in terms of few parameters of the dynamical model.

- I discuss the squark mass matrices in the models where the supersymmetry is broken via the **gauge mediation**. In addition to the MSSM particles the model contains also the scalar particles S in the “hidden” sector. These scalars have the nonzero vacuum expectation value and do not couple to any of the MSSM particles directly. There are additional messenger fermions and scalars, that couple to the scalar particles S and also to the gauge bosons and the gauginos of the MSSM. In this way the messenger particles communicate the supersymmetry breaking from the “hidden” sector through the flavour blind gauge interactions to MSSM.

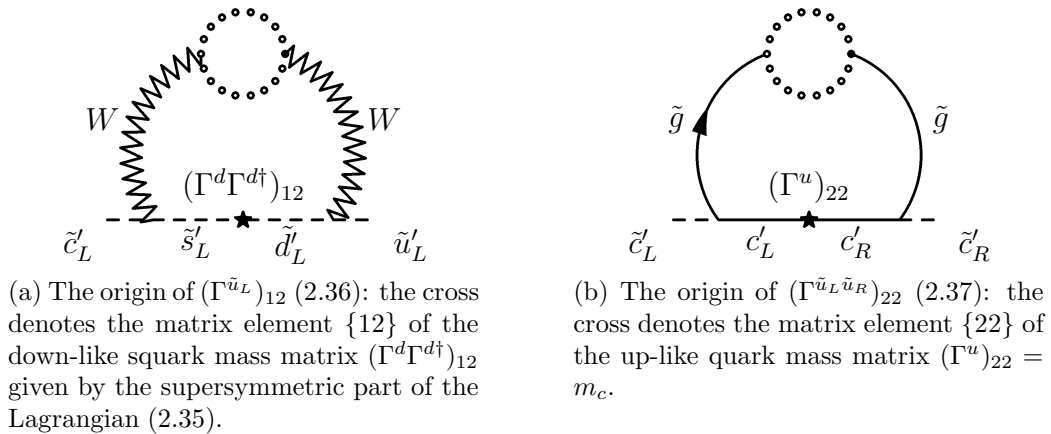


Figure 2.10: The mechanism that gives rise to the squark mass matrices in the gauge-mediated supersymmetric models. The dotted lines denote the messenger particles which communicate the supersymmetry breaking from the hidden sector via the flavour blind gauge interactions to the MSSM.

The nondiagonal squark mass terms $\Gamma^{\tilde{u}_L}$, in particular, arise via the loop diagram in Fig. 2.10a. The dotted lines denote the messenger particles, while others are the

¹²A general softly broken minimal supersymmetric standard model has more than 100 free parameters.

ordinary MSSM particles. The cross denotes the matrix element $\{12\}$ of the down-like squark mass matrix given by the supersymmetric part of the Lagrangian (2.35)

$$(\Gamma^{\tilde{u}_L})_{12} \propto (\Gamma^d \Gamma^{d\dagger})_{12} = (V^{CKM} \Gamma_D^d A_R A_R^\dagger \Gamma_D^{d\dagger} V^{CKM\dagger})_{12} = \sum_{d,s,b} V_{ci}^* V_{ui} m_i^2 \quad (2.36)$$

and the proportionality coefficient turns out to be of the order of one [60].

The diagonal squark $\tilde{U}'_L - \tilde{U}'_R$ mixing terms $\Gamma^{\tilde{u}_L \tilde{u}_R}$ arise among others via the loop diagram in Fig. 2.10b. The cross denotes the matrix element $\{22\}$ of the up-like quark mass matrix and

$$(\Gamma^{\tilde{u}_L \tilde{u}_R})_{22} \propto (\Gamma^u)_{22} = m_c \quad (2.37)$$

with the proportionality coefficient of the order of one [60]. It turns out that one can not generate any off diagonal term

$$(\Gamma^{\tilde{u}_L \tilde{u}_R})_{12} = 0 \quad (2.38)$$

and amplitude for the diagram *III* in Fig. 2.9a vanishes.

The mass matrix elements $(\Gamma^{\tilde{u}_L})_{12}$ (2.36) and $(\Gamma^{\tilde{u}_L \tilde{u}_R})_{22}$ (2.37) enter the $c \rightarrow u\gamma$ amplitude at the crosses in diagrams *I* and *II* of Fig. 2.9a giving

$$A(c \rightarrow u\gamma)^{MSSM} \propto m_c \sum_{d,s,b} V_{ci}^* V_{ui} m_i^2. \quad (2.39)$$

The resulting $c \rightarrow u\gamma$ amplitude has the same form as the standard model one-loop electroweak amplitude given in (2.1, 2.3, 2.34). In MSSM with this specific scenario of the supersymmetry breaking, the flavour changing neutral currents are subject to the similar GIM suppression as in the standard model (Super GIM). The FCN rates among the up-like quarks in MSSM are small as they depend on the down-like quark masses and are comparable to those in the standard model. Due to the uncertainties of the theoretical predictions concerning the additional long distance effects and due to the experimental difficulties connected with measuring the small rates, the MSSM effects would hardly be observable in the $c \rightarrow u$ transitions in the near future.

- There is a lot to be said for the minimal realization of certain symmetry. It has the most stringent constraints and therefore the most predictive power. Nevertheless it would be to dogmatic to consider such a minimal ansatz only - in particular if that would prompt us to overlook potentially promising areas where new physics could emerge. The FCN transitions $c \rightarrow u$ have been found to be significantly affected in various **non-minimal supersymmetric models** [59]. The non-minimal models contain additional particles not present in MSSM (the MSSM contains a minimal set of particles that supersymmetrizes the standard model). In order to get FCNC of a significant strength in the charm sector, the authors of [59] have constructed models¹³

¹³A model where the squark mass matrix $\Gamma^{\tilde{u}_L}$ is not proportional to $|\Gamma_D^d|^2$ is obtained, for example, by adding to MSSM with Higgs doublets Φ_u and Φ_d another pair of Higgs doublets Φ'_u and Φ'_d . The Φ_u and Φ'_u give masses to the up-like quarks and Φ_d and Φ'_d give masses to the down-like quarks [59]. A realistic example of such kind is provided by the supersymmetric version of the model based on $SU(5)$ symmetry.

where the squark mass matrix $\Gamma^{\tilde{u}_L}$ is not proportional to $|\Gamma_D^d|^2$ or not proportional to V^{CKM} (in contrast to (2.36)) and/or where non-diagonal terms of $\Gamma^{\tilde{u}_L\tilde{u}_R}$ are not equal to zero (in contrast to (2.38)). In the end the authors of [59] have treated the matrix elements $(\Gamma^{\tilde{u}_L})_{12}$ and $(\Gamma^{\tilde{u}_L\tilde{u}_R})_{12}$ as free parameters. These two parameters enter at the place of the crosses in the $c \rightarrow u\gamma$ diagrams in Fig. 2.9a as well as the $D^0 - \bar{D}^0$ diagrams in Fig. 2.9b. By saturating the upper experimental upper bound on Δm_D via the mechanism in Fig. 2.9b, they have obtained¹⁴ the upper bounds on $(\Gamma^{\tilde{u}_L})_{12}$ and $(\Gamma^{\tilde{u}_L\tilde{u}_R})_{12}$ and predicted the upper bounds on the $c \rightarrow u\gamma$ rate. With the present experimental upper bound $\Delta m_D < 1.6 \cdot 10^{-13}$ [3] I get

$$(\Gamma^{\tilde{u}_L})_{12} \leq 72 \text{ GeV} \quad \text{and} \quad (\Gamma^{\tilde{u}_L\tilde{u}_R})_{12} \leq 260 \text{ GeV} . \quad (2.40)$$

These squark mass matrix elements, arising from the non-minimal supersymmetric model, induce the $c \rightarrow u\gamma$ decay through the mechanism in Fig. 2.9a

$$Br(c \rightarrow u\gamma)_{\text{non-minimal SUSY}}^{\text{eff}} \leq 1.2 \cdot 10^{-5} \quad (2.41)$$

with the dominant contribution coming from the diagram *III*. The bound on the branching ratio can be translated to the bound on the coefficient c_7^{eff} responsible for the magnitude of the effective Lagrangian (2.6)

$$|c_7^{\text{eff}}|_{\text{non-minimal SUSY}}^{\text{eff}} \leq 0.14 . \quad (2.42)$$

In the non-minimal supersymmetric model, the $c \rightarrow u\gamma$ branching ratio can be enhanced up to three orders of magnitude compared to the standard model prediction $(1.3 \pm 0.6) \cdot 10^{-8}$ (2.22). The main reason for this enhancement can be traced back the fact that the proportionality factor m_q^2/m_W^2 in the standard model and m_q^2/m_H^2 in two Higgs doublet model is replaced by the factor $m_{\tilde{q}}^2/m_{\tilde{q}}^2$ in the supersymmetric model (m_q , $m_{\tilde{q}}$ and $m_{\tilde{g}}$ denote the masses of quark, squark and gluino in the loop).

The $c \rightarrow ul^+l^-$ and $D^0 \rightarrow l^+l^-$ decays rates also depend on the matrix elements bounded by (2.40) in the non-minimal supersymmetric model. The upper bounds for the $c \rightarrow ul^+l^-$ and $D^0 \rightarrow l^+l^-$ decays rates in this model have been studied in [59] and were found to be smaller than the corresponding standard model rates, so there is no hope for SUSY manifestation the leptonic channels.

2.2.3 Fourth-generation signatures

The rate of the flavor changing transitions in the up-quark sector increases as the masses of the down-like quarks increase (2.2). In a three generation model m_d , m_s and m_b are small and the corresponding FCN transitions among the up-like quarks are rare. These processes are naturally sensitive to a possible presence of the heavy quark \hat{b} with charge $Q = -1/3$ belonging to the fourth generation¹⁵. In this respect, the FCN transitions among the down-like quarks are much less sensitive to the presence of \hat{t} , as its effects could not be easily

¹⁴The squark and gluino masses are taken to be of the order of 100 GeV.

¹⁵If a fourth generation exists, its neutrino must be heavy enough not to contradict the LEP result on the invisible width $Z \rightarrow \bar{\nu}\nu$.

distinguished from those of the heavy top quark. The effects of a heavy quark \hat{b} in the observables $c \rightarrow u\gamma$, $c \rightarrow ul^+l^-$, $D^0 \rightarrow \mu^+\mu^-$ and Δm_D have been studied in [61]; the effects in Δm_D and $c \rightarrow u\gamma$ have been studied also in [55, 62]. The expressions for the amplitudes in the four generation model can be generalized from the results in the three generation model presented in Section 2.1, but the approximation $m_{\hat{b}}^2/m_W^2 \ll 1$ can not be used in this case. The rates are proportional to $|V_{c\hat{b}}^* V_{u\hat{b}}|^2$ and increase with increasing $m_{\hat{b}}$. I have updated the results of [61] by taking into account the new experimental limits $m_{\hat{b}} > 128$ GeV [3] and

$$|V_{c\hat{b}}| < 0.5, \quad |V_{u\hat{b}}| < 0.08 \quad \Rightarrow \quad |V_{c\hat{b}}^* V_{u\hat{b}}| < 0.04, \quad (2.43)$$

which arise by applying the unitary condition to the four-dimensional CKM matrix

$$\sum_{q=d,s,b,\hat{b}} |V_{cq}|^2 = \sum_{q=d,s,b,\hat{b}} |V_{uq}|^2 = 1.$$

For this purpose one has to use the directly measured values of V_{cq} and V_{uq} , presented in Eq. (11.16) of [3], and not the values obtained by applying the unitarity of the three-dimensional CKM matrix.

The present experimental upper bound on $\Delta m_D < 1.6 \cdot 10^{-13}$ GeV [3] gives stronger constraint on $|V_{c\hat{b}}^* V_{u\hat{b}}|$ than the unitarity condition. If the experimental upper bound is saturated by the \hat{b} quark contribution, in which case the standard model contribution of the order of $10^{-17} - 10^{-16}$ can be safely neglected, we have

$$|V_{c\hat{b}}^* V_{u\hat{b}}| < 0.015 \quad \text{for} \quad m_{\hat{b}} = 250 \text{ GeV}. \quad (2.44)$$

This bound renders the following \hat{b} quark contributions to the branching ratios [61]

$$\begin{aligned} Br(D^0 \rightarrow \mu^+\mu^-)^{\hat{b}} &\leq 1 \cdot 10^{-10}, \\ Br(c \rightarrow ul^+l^-)^{\hat{b}} &\leq 8 \cdot 10^{-10}, \\ Br(c \rightarrow u\gamma)^{\hat{b}} &\leq 5 \cdot 10^{-7} \quad (c_7^{eff} \leq 2.8 \cdot 10^{-2}), \end{aligned} \quad (2.45)$$

where the $D^0 \rightarrow \mu^+\mu^-$ and $c \rightarrow ul^+l^-$ decays are treated at the one-loop electroweak order and the $c \rightarrow u\gamma$ decay is treated at the leading logarithmic QCD approximation [61]. The \hat{b} quark has an unobservably small effect on the $c \rightarrow ul^+l^-$ rate. The effects of fourth generation could be observable in $D^0 \rightarrow \mu^+\mu^-$ and $c \rightarrow u\gamma$ decays when the experiments reach the corresponding sensitivity: the branching ratio of first can be enhanced as much as three orders of magnitudes, while the branching ratio of the second can be enhanced by more than an order of magnitude.

2.2.4 Left-right symmetric models

Finally, let me briefly comment on the left-right symmetric models, which have also been studied [63] in the connection with the FCN transitions in the charm sector. The left-right symmetric models are based on the $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_Y$ group and contain the gauge boson W_R , which couples to the right handed currents of $SU(2)_R$.

In the *ordinary left-right symmetric model*, the W_R couples the right-handed up-like quark with the right-handed down-like quark. The parameters of this scenario are severely constrained by the present experimental data and its effects to the $c \rightarrow u$ transitions are small [63].

More significant effects could arise from the so-called *flipped left-right symmetric model* [63], where the W_R couples the right-handed up-like quark with an exotic quark. The exotic quarks arise via the breaking of some unified group (for example E_6 [63]) to the group $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_Y$. The usual right-handed down-like quarks are $SU(2)_R$ singlets in this case. The $c \rightarrow u$ transitions are driven via the loop diagrams, where the W_R boson and the exotic quark run in the loop. The parameters that enter the amplitude (the W_R mass, the masses and mixings of exotic quarks) are not strongly constrained by the experimental data on the FCN transitions among down-like quarks. They are most severely constrained by the experimental upper bound on Δm_D . By saturating this bound via the W_R exchange mechanism, the following upper bounds on the effects of this scenario are obtained [63]

$$\begin{aligned} Br(D^0 \rightarrow \mu^+ \mu^-)^R &< 10^{-10} , \\ B(c \rightarrow u \gamma)^R &< 10^{-9} . \end{aligned}$$

This mechanism is hardly observable in $D^0 \rightarrow \mu^+ \mu^-$ decay and it is unobservable in $c \rightarrow u \gamma$ decay.

Chapter 3

Short and long distance contributions to $|\Delta c| = 1$ meson decays

The flavour changing neutral $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ decays were proposed as the interesting probes for possible physics beyond the standard model in the previous chapter. These quark processes have to be experimentally explored in the hadron processes. A hadron decay of interest is induced by the quark decay $c \rightarrow u\gamma(l^+l^-)$ at short distances and the corresponding part is called the short distance contribution. In addition, there are other mechanisms that can induce the same hadron decay and these give rise to the so-called long distance contribution. The dominant long distance contribution is due to the W exchange between four quarks accompanied by the emission of the real photon γ or virtual photon $\gamma^* \rightarrow l^+l^-$. A certain hadronic decay can serve as a probe for the $c \rightarrow u\gamma(l^+l^-)$ transition at short distances only if the long distance contribution does not dominate over the short distance contribution in this decay. In this chapter, I present the general tools for short and long distance contributions to the exclusive hadron decays. First the various contributions to the hadron decays of interest are schematically sketched and the general expressions for the amplitudes are given. In the second section the short distance amplitudes are given. In the third section, the formal framework for treating the long distance contributions is presented. For this purpose the W exchange among four quarks is expressed in the form of the effective non-leptonic weak Lagrangian that already accounts for the strong interactions among quarks at short distances.

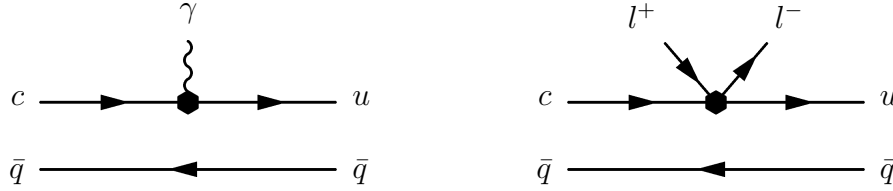
The $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ are rare weak decays and can be experimentally searched for in the decays of the hadronic states that can decay only weakly. The strong and the electromagnetic channels would overshadow the weak channels in the experiment otherwise. The meson states with no strong or electromagnetic decay channels are the pseudoscalar bound states of a quark and an anti-quark with two different flavours.

Different contributions to the meson decays

The transition $c \rightarrow u\gamma$ can be experimentally probed in meson decays with the flavour content $c\bar{q} \rightarrow u\bar{q}\gamma$. Among these, the radiative two body exclusive decay channels of $c\bar{q}$ pseudoscalar states P are the most suitable. The flavour q can be chosen among u, d, s, c

or b so that the long distance contribution is as small as possible. For the case of the real and transversely polarized photon in the final state $u\bar{q}$ must be a vector meson V ¹. Let me first illustrate various contributions to $P \rightarrow V\gamma$ decays with the categorization similar to the one used in [15, 16, 21, 23, 24, 25, 28]).

The short distance contribution is induced by the $c \rightarrow u\gamma$ decay and \bar{q} is merely a spectator. It is illustrated in Fig. 3.1a, where the hexagon denotes the action of the effective local Lagrangian $\mathcal{L}^{c \rightarrow u\gamma}$ (2.6) with c_7^{eff} , given by (2.21) in the standard model.



(a) The short distance contribution arising from the transition $c \rightarrow u\gamma$. The hexagon denotes the action of the effective local Lagrangian $\mathcal{L}^{c \rightarrow u\gamma}$ (2.6) with c_7^{eff} , given by (2.21) in the standard model.

(b) The short distance contribution arising from the transition $c \rightarrow ul^+l^-$. The hexagon denotes the action of the effective Lagrangian $\mathcal{L}^{c \rightarrow ul^+l^-}$ (2.24) with c_9^{eff} , given by (2.25) in the standard model.

Figure 3.1: The sketch of the short distance contributions to the meson decays (a) $M_1 \rightarrow M_2\gamma$ and (b) $M_1 \rightarrow M_2l^+l^-$.

There are two types of long distance contributions. The most serious is **the long distance weak annihilation contribution** illustrated in Fig. 1.2. The transition between the initial and final meson is induced by the W exchange and the photon is emitted from the initial or the final meson. There are three cases of interest. (i) The quark $q = d, s$ or b : The W exchange $c\bar{q} \rightarrow u\bar{q}$ in the “ s ” channel is illustrated in Fig. 1.2a and is proportional to $V_{cq}^*V_{uq}$. Since $|V_{cd}^*V_{ud}| \simeq |V_{cs}^*V_{us}| \simeq 0.22$ is relatively large, this contribution is found to be dominant in $D_s^+ \rightarrow K^+\gamma$ and $D^+ \rightarrow \rho^+\gamma$ decays studied in Chapter 5. Due to the smallness of $V_{cb}^*V_{ub}$, the long distance weak annihilation contribution is much smaller in $B_c \rightarrow B_u^*\gamma$ decay studied in Chapter 4. (ii) The quark $q = u$: The W exchange $c\bar{u} \rightarrow d\bar{d}$ in the “ t ” channel is illustrated in Fig. 1.2b and is proportional to a relatively large factor $V_{cd}^*V_{ud}$. This mechanism turns out to be dominant in $c\bar{u} \rightarrow u\bar{u}\gamma$ decays $D^0 \rightarrow \rho^0\gamma$ and $D^0 \rightarrow \omega\gamma$ since the final mesons ρ^0 and ω are the mixtures of the $u\bar{u}$ and $d\bar{d}$ states. (iii) The quark $q = c$: This case is not of interest since $c\bar{c}$ states can decay electromagnetically or strongly.

The second is **the long distance penguin contribution** sketched in Fig. 1.3. The W exchange induces the $c \rightarrow u\bar{d}\bar{d}$ or $c \rightarrow u\bar{s}\bar{s}$ transition and the $\bar{d}\bar{d}$, $\bar{s}\bar{s}$ quark pairs subsequently annihilate to a real photon. The quark structure of this contribution is similar

¹The angular momentum of the $P \rightarrow M\gamma$ final state must be $|\vec{J}| = 0$. If M is a pseudoscalar state, the orbital momentum of the final state must be $|\vec{L}| = 1$. In the center of mass frame, the component of the orbital momentum along the momentum of the final particles vanishes. This means that the component of the photon spin along its momentum must also vanish. The final M state is accompanied by a purely longitudinal photon, which is possible only if the photon is virtual.

to the short distance contribution in Fig. 1.1a, but the two contributions incorporate two different regimes of the strong interactions. The short distance contribution in Fig. 1.1 involves only the perturbative strong interactions among the quarks and we have explicitly accounted only for the one gluon exchanges in Section 2.1.1. In addition there are the non-perturbative strong interactions among quarks and these are especially effective among $\bar{d}d$ and $\bar{s}s$ quark-antiquark pairs in Fig. 1.1a as these can hadronize to the virtual ρ^0 , ω and ϕ mesons and finally convert to a real photon. This mechanism is incorporated into the long distance penguin contribution in Fig. 1.3 and is reasonably approximated by summing over the virtual vector mesons ρ^0 , ω and ϕ . This approximation is based on the vector meson dominance hypothesis according to which the electromagnetic interactions of hadrons are mediated via the neutral vector mesons. The idea was proposed long time ago [65] and still proves to be useful in describing the electromagnetic interactions of hadrons at low energies (more recent review is given in [66] and references therein). Let me note at this point that the long distance penguin contribution given in Fig. 1.3 turns out to be relatively small since it vanishes in the exact $SU(3)$ flavour limit. This is analogous to the GIM suppression in the similar diagram on Fig. 1.1a.

The $c \rightarrow ul^+l^-$ transition can be experimentally probed in meson decays with the flavour content $c\bar{q} \rightarrow u\bar{q}l^+l^-$. The three body exclusive decay channels of the pseudoscalar states $P \sim c\bar{q}$ are the most appropriate. The final state $M \sim u\bar{q}$ can be a pseudoscalar P' or vector V meson².

The short distance contribution induced by the $c \rightarrow ul^+l^-$ decay is sketched in Fig. 3.1b and the hexagon denotes the action of the effective Lagrangian $\mathcal{L}^{c \rightarrow ul^+l^-}$ (2.24) with c_9^{eff} equal to (2.25) in the standard model.

The long distance contributions to $P \rightarrow Ml^+l^-$ can be divided to the long distance penguin and weak annihilation contributions. They arise via the mechanism illustrated in Figs. 1.2 and 1.3 where the real photon is replaced by the virtual photon and converts to a charged lepton pair.

Different contributions to the baryon decays

The transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ can be searched for in the baryon decays with the flavour content $cq_1q_2 \rightarrow uq_1q_2\gamma$ and $cq_1q_2 \rightarrow uq_1q_2l^+l^-$, respectively. Only the baryon decays where the long distance contributions do not dominate over the short distance contributions are suitable for this purpose in the question is if any baryon decays of this kind exist. The short distance part is sketched in Fig. 3.2a. The long distance weak annihilation part via W exchange in “ t ” channel is shown in Fig. 3.2b. The disturbing long distance weak annihilation contribution is absent only when all valence quarks have equal charge. The least exotic decay to look for $c \rightarrow u\gamma$ transition, which is not expected to be dominated by the long distance contributions, is $cuu \rightarrow uuu\gamma$ decay $\Sigma_c^{++} \rightarrow \Delta^{++}\gamma$. Unfortunately Σ_c^{++} can decay strongly and the decay channel $\Sigma_c^{++} \rightarrow \Lambda_c^+\pi^+$ shown in Fig. 3.2c completely overshadows the weak decay channel of interest. We are left only with more exotic decays $\Xi_{cc}^{++} \rightarrow \Sigma_c^{++}\gamma$ ($ccu \rightarrow cuu\gamma$) and $\Omega_{ccc}^{++} \rightarrow \Xi_{cc}^{++}\gamma$ ($ccc \rightarrow ccu\gamma$), which are expected to be

² In the case of $P \rightarrow Ml^+l^-$ decay, the pseudoscalar state $M = P'$ is allowed since the virtual photon in $P \rightarrow P'\gamma^* \rightarrow P'l^+l^-$ can be purely longitudinal.

suitable as probes for the $c \rightarrow u\gamma$ transition [29, 64]. As these two decays are too exotic for the experimental investigation at present, I stop the discussion on the baryon decays at this point and consider only meson decays in what follows.

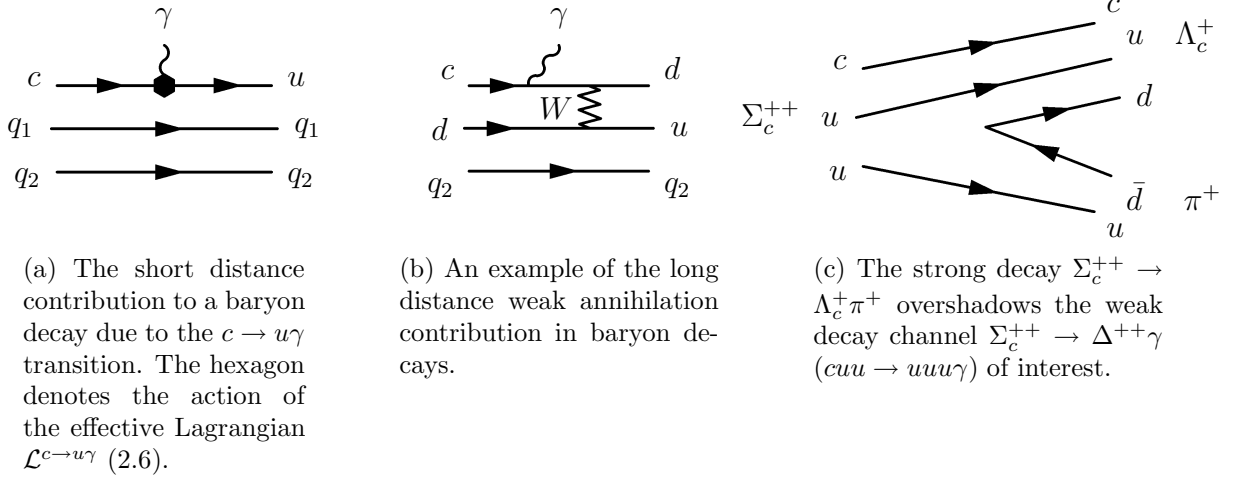


Figure 3.2: The sketch of (a) the short distance and (b) the long distance contributions to the baryon decays.

3.1 General expressions for the amplitudes

In this section the general forms of the amplitudes for the decays $P \rightarrow V\gamma$, $P \rightarrow Vl^+l^-$ and $P \rightarrow P'l^+l^-$ are presented. The amplitudes have to be invariant under the electromagnetic gauge transformation implemented by $\epsilon^\mu \rightarrow \epsilon^\mu + Cq^\mu$ for real or virtual photon with momentum q and polarization ϵ , where C is a general constant. The first principle calculation based on the standard model renders the gauge invariant amplitudes automatically. The gauge invariance may not be automatic when a model is used instead and one has to take special care to get the amplitudes of the form required by the gauge invariance.

The amplitudes for $P \rightarrow V\gamma$ and $P \rightarrow V\gamma^* \rightarrow Vl^+l^-$ decays

First I consider the decay $P(p) \rightarrow V(p', \epsilon')\gamma(q, \epsilon)$ with the real photon in the final state. The amplitude is linear in polarizations ϵ and ϵ' . Since V and γ are real, $\epsilon q = 0$ and $\epsilon' p' = 0$, and the general Lorentz invariant amplitude is given by

$$\mathcal{A}[P(p) \rightarrow V(p', \epsilon')\gamma(q, \epsilon)] = \epsilon_\mu^* \epsilon'_\nu [A_1 p^\mu p^\nu + A_2 g^{\mu\nu} + A_3 \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta]$$

with the Lorentz invariant form factors A_i . The electromagnetic gauge invariance imposes $A_2 = -A_1 p \cdot q$ and the amplitude can be generally written as

$$\mathcal{A}[P(p) \rightarrow V(p', \epsilon')\gamma(q, \epsilon)] = \epsilon_\mu^* \epsilon'_\nu [iA_{PV}(p^\mu q^\nu - g^{\mu\nu} p \cdot q) + A_{PC} \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta] . \quad (3.1)$$

Now I turn to the amplitude for $P \rightarrow V\gamma^* \rightarrow V l^+ l^-$ decay. In this case $\epsilon q \neq 0$ and

$$\mathcal{A}[P(p) \rightarrow V(p', \epsilon') \gamma^*(q, \epsilon)] = \epsilon_\mu^* \epsilon_\nu'^* [(A_1 p^\mu + A_4 q^\mu) q^\nu + A_2 g^{\mu\nu} + A_3 \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta] .$$

The gauge invariance forces $A_2 = -A_1 p \cdot q - q^2 A_4$ and $D \rightarrow V\gamma^*$ amplitude has a general form

$$\begin{aligned} \mathcal{A}[P(p) \rightarrow V(p', \epsilon') \gamma^*(q, \epsilon)] &= \\ &= \epsilon_\mu^* \epsilon_\nu'^* [i A_{PV} (p^\mu q^\nu - p \cdot q g^{\mu\nu}) - i A'_{PV} (q^\mu q^\nu - q^2 g^{\mu\nu}) + A_{PC} \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta] . \end{aligned} \quad (3.2)$$

The photon polarization ϵ_μ is replaced by $e_0 \bar{v}(p_+) \gamma^\mu u(p_-)/q^2$ in the amplitude for the charged lepton pair in the final state and the term proportional to q^μ does not contribute as $\bar{v} \not{q} u = 0$,

$$\begin{aligned} \mathcal{A}[P(p) \rightarrow V(p', \epsilon') l^+(p_+) l^-(p_-)] &= \\ &= \frac{e_0}{q^2} \bar{v}(p_+) \gamma_\mu u(p_-) \epsilon_\nu'^* [i A_{PV} (p^\mu q^\nu - g^{\mu\nu} p \cdot q) + i A'_{PV} q^2 g^{\mu\nu} + A_{PC} \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta] . \end{aligned} \quad (3.3)$$

The orbital momentum of the $P \rightarrow V\gamma^*$ final state can be $L = 0, 1, 2$. The amplitudes (3.1) and (3.3) involve the parity conserving part given by A_{PC} ($V\gamma^*$ are in P-wave) and the parity violating part given by A_{PV} ($V\gamma^*$ are in S-wave or D-wave).

The amplitude for $P \rightarrow P'\gamma^* \rightarrow P' l^+ l^-$ decay

The general Lorentz decomposition of the amplitude for $P \rightarrow P'\gamma^*$ decay is given by

$$\mathcal{A}[P(p) \rightarrow P'(p') \gamma^*(q, \epsilon)] = \epsilon_\mu^* [B_1 (p + p')^\mu + B_2 q^\mu]$$

and the gauge invariance requires $B_1 = -q^2 B_2 / (m_P^2 - m_{P'}^2)$, so

$$\mathcal{A}[P(p) \rightarrow P'(p') \gamma^*(q, \epsilon)] = B_{PC} \epsilon_\mu^* [q^2 (p + p')^\mu - (m_P^2 - m_{P'}^2) q^\mu] . \quad (3.4)$$

In the case of the real photon in the final state, $q^2 = 0$ and $\epsilon q = 0$, and the amplitude vanishes. The photon polarization ϵ_μ is replaced by $e_0 \bar{v}(p_+) \gamma_\mu u(p_-)/q^2$ for the case of the lepton pair in the final state and the term proportional to q^μ does not contribute as $\bar{v} \not{q} u = 0$

$$\mathcal{A}[P(p) \rightarrow P'(p') l^+(p_+) l^-(p_-)] = 2e_0 B_{PC} \bar{v}(p_+) \gamma_\mu u(p_-) p^\mu . \quad (3.5)$$

The factor q^2 in (3.4) has canceled with photon propagator $1/q^2$ as it should in order to maintain the gauge invariance. The amplitude involves only one Lorentz invariant function B_{PC} . The orbital momentum of the $P \rightarrow P'\gamma^*$ final state is $L = 1$ and the parity is conserved in this process.

Now I turn to the discussion of the general theoretical tools for the calculation of the short and long distance parts of the amplitudes.

3.2 Short distance contributions

The short distance contributions to the hadronic decay $i \rightarrow f$ are induced by $c \rightarrow u\gamma$ or $c \rightarrow ul^+l^-$ quark decays and their amplitudes are given by

$$\mathcal{A}_{SD} = \langle f | : i\mathcal{L}^{c \rightarrow u\gamma} : | i \rangle \quad \text{or} \quad \mathcal{A}_{SD} = \langle f | : i\mathcal{L}^{c \rightarrow ul^+l^-} : | i \rangle . \quad (3.6)$$

The effective Lagrangian $\mathcal{L}^{c \rightarrow u\gamma}$ is given in (2.6) and depends on the coefficient c_7^{eff} , whose standard model value is given in (2.21). The value of c_7^{eff} alters in different scenarios of physics beyond the standard model. The effective Lagrangian $\mathcal{L}^{c \rightarrow ul^+l^-}$ as predicted by the standard model is given in (2.24) with the coefficient c_9^{eff} given in (2.25). Possible physics beyond the standard model can change the value of c_9^{eff} as well as the form of the effective Lagrangian $\mathcal{L}^{c \rightarrow ul^+l^-}$.

3.3 Long distance contributions

3.3.1 Effective nonleptonic weak Lagrangian

The dominant long distance contributions to the meson decays of interest are induced by the W exchange between four quark states, which is responsible for the transition between the initial and final meson states. In this section the hard gluon corrections to the tree level W exchange are incorporated. Then the resulting four-quark interaction is conveniently expressed as a product of two colour singlet quark currents, which has a suitable form to study the transitions among the colour-singlet meson states.

The low energy effective Lagrangian for the tree level W exchange between the charm and the three light quarks is given by

$$\mathcal{L}^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} O_1^{ij} , \quad O_1^{ij} = \bar{u}^\alpha \gamma^\mu (1 - \gamma_5) q_i^\alpha \bar{q}_j^\beta \gamma_\mu (1 - \gamma_5) c^\beta , \quad (3.7)$$

where $q_{i,j}$ represent the fields of d or s quarks.

Strong interactions affect this simple picture in a two-fold way. Hard gluon exchanges can be accounted for by the perturbative methods and renormalization-group techniques. They give rise to new effective weak vertices. Long-range strong confinement forces are responsible for the binding of quarks inside the asymptotic hadronic states. The basic tool in the calculation of the amplitudes among the hadron states is to separate the two regimes by means of the operator product expansion $\mathcal{L} \propto C_i(\mu) O_i(\mu)$ [48]. The strong corrections, arising from the exchange of the hard gluons with the virtualities between m_W and some hadronic scale μ , are incorporated into the Wilson coefficients $C_i(\mu)$ and these are independent on the particular decay channel. All the long-range QCD effects are incorporated in the hadronic matrix elements $\langle f | O_i(\mu) | i \rangle$ of local four-quark operators $O_i(\mu)$.

The strong one-loop corrections to the tree level Lagrangian (3.7) are shown in Fig. A.2 given in Appendix A. The diagrams have infinite amplitudes and the Lagrangian (3.7) needs counter-terms that renormalize the interactions at the scale μ . The renormalized Lagrangian

is calculated in Appendix A. It involves the operator O_1^{ij} (3.7) and the new operator

$$O_2^{ij} = \bar{q}_j^\alpha \gamma^\mu (1 - \gamma_5) q_i^\alpha \bar{u}^\beta \gamma_\mu (1 - \gamma_5) c^\beta \quad (3.8)$$

and depends on the renormalization scale μ . As the bare Lagrangian should not depend on μ , the operators $O_1(\mu)$ and $O_2(\mu)$ are multiplied by the μ -dependent coefficients $C_1(\mu)$ and $C_2(\mu)$ ³

$$\mathcal{L}_W^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} [C_1(\mu) O_1^{ij}(\mu) + C_2(\mu) O_2^{ij}(\mu)] . \quad (3.9)$$

The coefficients $C_i(\mu)$ are determined in Appendix A by imposing that the μ -dependencies of $C_i(\mu)$ and $O_i(\mu)$ cancel. In the leading logarithmic approximation, discussed in Section 2.1.1, the evolution is given by

$$[\delta_{ji} \mu \frac{d}{d\mu} - \frac{g^2}{8\pi^2} b_{ij}(\mu)] C_i(\mu) = 0 \quad \text{with} \quad b = \begin{pmatrix} -1 & 3 \\ 3 & -1 \end{pmatrix}$$

and the solution is given in Eq. (2.14). The boundary condition $C_1(m_W) = 1$ and $C_2(m_W) = 0$ is obtained from the tree level effective Lagrangian (3.7). In the following chapters I will study the D and the B_c meson decays and the suitable renormalization scales are $\mu = \mathcal{O}(m_c)$ and $\mu = \mathcal{O}(m_b)$, respectively. The evolution from $\mu = m_W$ to m_b with five active quark flavours and $m_b = 5$ GeV, $\alpha_s(m_Z) \simeq 0.12$ [3] gives

$$\begin{aligned} C_1(m_b) &= \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} + \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \simeq 1.1 , \\ C_2(m_b) &= \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} - \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \simeq -0.22 . \end{aligned} \quad (3.10)$$

Further evolution from $\mu = m_b$ to $\mu = m_c$ with four active quark flavours and $m_c = 1.25$ GeV gives

$$\begin{aligned} C_1(m_c) &= \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{\frac{6}{25}} + \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{-\frac{12}{25}} \simeq 1.21 , \\ C_2(m_c) &= \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{\frac{6}{23}} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{\frac{6}{25}} - \frac{1}{2} \left[\frac{\alpha_s(m_W)}{\alpha_s(m_b)} \right]^{-\frac{12}{23}} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{-\frac{12}{25}} \simeq -0.43 . \end{aligned} \quad (3.11)$$

In the next-to-leading logarithmic approximation the authors of [68] get the following values

$$\begin{aligned} C_1(m_b) &= 1.13 \pm 0.01 , & C_2(m_b) &= -0.285 \pm 0.015 , \\ C_1(m_c) &= 1.35 \pm 0.04 , & C_2(m_c) &= -0.63 \pm 0.05 \end{aligned} \quad (3.12)$$

³In contrast to the Wilson coefficients $c_1(\mu)$ and $c_2(\mu)$ used in the Chapter 2, the Wilson coefficients $C_1(\mu)$ and $C_2(\mu)$ defined here do not include the CKM factors.

for $\alpha_s(m_Z) = 0.118 \pm 0.003$, $m_b = 4.8$ GeV and $m_c = 1.4$ GeV.

Now I turn to the amplitude for a given hadronic decay $i \rightarrow f$

$$\mathcal{A} = \langle f | : e^{i \int d^4x [\mathcal{L}_W(x) + \mathcal{L}_{em}(x) + \mathcal{L}_{strong}(x)]} : | i \rangle . \quad (3.13)$$

The initial state i of interest is a pseudoscalar meson P . The relevant final state f is composed of two states f_1 and f_2 : in the case of $P \rightarrow V\gamma$ one of them is a vector meson and the other is a photon; in the case of $P \rightarrow M\gamma^* \rightarrow Ml^+l^-$ one of them is a vector or a pseudoscalar meson and the other is a virtual photon or lepton pair ⁴. The effective weak Lagrangian \mathcal{L}_W is given in (3.9) and all the strong interactions, which are not included into \mathcal{L}_W , are incorporated in the strong Lagrangian \mathcal{L}_{strong} . The electromagnetic Lagrangian \mathcal{L}_{em} is responsible for the emission of the real or virtual photon in $P \rightarrow V\gamma$ or $P \rightarrow M\gamma^*$ decays. I will omit \mathcal{L}_{em} and \mathcal{L}_{strong} in the matrix elements bellow, but their presence is always implicit. In the first order of the weak interactions, the amplitude is given by

$$\mathcal{A} = -i \frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} \{ C_1(\mu) \langle f_1 f_2 | : O_1^{ij}(\mu) : | i \rangle + C_2(\mu) \langle f_1 f_2 | : O_2^{ij}(\mu) : | i \rangle \} . \quad (3.14)$$

When μ is taken at the hadronic scale, the Wilson coefficients $C_{1,2}(\mu)$ incorporate the short-range QCD effects and the matrix elements incorporate the long-range nonperturbative QCD effects.

The time ordered product places all the annihilation operators to the right and all the creation operators to the left, so the amplitude (3.14) can be expressed as

$$\begin{aligned} \mathcal{A}_{LD} = & -i \frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} \{ C_1(\mu) \langle 0 | [a_{f_2}, \bar{u}^\alpha \Gamma^\mu q_i^\alpha] [a_{f_1}, \bar{q}_j^\beta] \Gamma_\mu [c^\beta, a_P^\dagger] \\ & + [a_{f_1}, \bar{u}^\alpha \Gamma^\mu q_i^\alpha] [a_{f_2}, \bar{q}_j^\beta] \Gamma_\mu [c^\beta, a_P^\dagger] + [a_{f_1} a_{f_2}, \bar{u}^\alpha \Gamma^\mu q_i^\alpha] [\bar{q}_j^\beta \Gamma_\mu c^\beta, a_P^\dagger] | 0 \rangle \\ & + C_2(\mu) \langle 0 | [a_{f_2}, \bar{u}^\beta \Gamma^\mu q_i^\alpha] [a_{f_1}, \bar{q}_j^\alpha] \Gamma_\mu [c^\beta, a_P^\dagger] \\ & + [a_{f_1}, \bar{u}^\beta \Gamma^\mu q_i^\alpha] [a_{f_2}, \bar{q}_j^\alpha] \Gamma_\mu [c^\beta, a_P^\dagger] + [a_{f_1} a_{f_2}, \bar{u}^\beta \Gamma^\mu q_i^\alpha] [\bar{q}_j^\alpha \Gamma_\mu c^\beta, a_P^\dagger] | 0 \rangle \} , \end{aligned} \quad (3.15)$$

where $\Gamma^\mu = \gamma^\mu(1 - \gamma_5)$ and the Fierz rearrangement was used for the part proportional to $C_2(\mu)$. The matrix elements involve the commutators of the quark and meson fields and are hardly calculable from the first principles.

3.3.2 Factorization approximation

The calculation of the matrix element in (3.15) is greatly simplified in the factorization approximation, where the sum $I = \sum_n |n\rangle\langle n|$ over all states is inserted between the first and the second commutator, and then all the intermediate states except for the vacuum state

⁴The emission of a real or virtual photon from the light quark can be successfully described through the emission of a neutral vector meson V^0 accompanied by the $V^0 \rightarrow \gamma$ conversion. In this framework f_1 and f_2 present mesons, one of them being transferred to a photon.

$|0\rangle\langle 0|$ are omitted

$$\begin{aligned} \mathcal{A}_{LD} = & -i \frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} \{ C_1(\mu) [\langle f_2 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_1 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle \\ & + \langle f_1 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_2 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle + \langle f_1 f_2 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle 0 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle] \\ & + C_2(\mu) [\langle f_2 | \bar{u}^\beta \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_1 | \bar{q}_j^\alpha \Gamma_\mu c^\beta | P \rangle \\ & + \langle f_1 | \bar{u}^\beta \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_2 | \bar{q}_j^\alpha \Gamma_\mu c^\beta | P \rangle + \langle f_1 f_2 | \bar{u}^\beta \Gamma^\mu q_i^\alpha | 0 \rangle \langle 0 | \bar{q}_j^\alpha \Gamma_\mu c^\beta | P \rangle] \}. \end{aligned} \quad (3.16)$$

The initial i and the final f_1, f_2 states are colour singlets and for any two colour-singlet states s_1, s_2

$$\langle s_2 | \bar{q}_i^\alpha \Gamma^\mu q_j^\beta | s_1 \rangle = \frac{1}{N_c} \sum_\gamma \langle s_2 | \bar{q}_i^\gamma \Gamma^\mu q_j^\gamma | s_1 \rangle \delta^{\alpha\beta}$$

for N_c colours, giving⁵

$$\begin{aligned} \mathcal{A}_{LD} = & -i \frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} \left(\{ C_1(\mu) + \frac{1}{N_c} C_2(\mu) \} [\langle f_2 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_1 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle \right. \\ & + \langle f_1 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_2 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle + \langle f_1 f_2 | \bar{u}^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle 0 | \bar{q}_j^\beta \Gamma_\mu c^\beta | P \rangle] \\ & + \{ C_2(\mu) + \frac{1}{N_c} C_1(\mu) \} [\langle f_2 | \bar{q}_j^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_1 | \bar{u}^\beta \Gamma_\mu c^\beta | P \rangle \\ & + \langle f_1 | \bar{q}_j^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle f_2 | \bar{u}^\beta \Gamma_\mu c^\beta | P \rangle + \langle f_1 f_2 | \bar{q}_j^\alpha \Gamma^\mu q_i^\alpha | 0 \rangle \langle 0 | \bar{u}^\beta \Gamma_\mu c^\beta | P \rangle] \}. \end{aligned} \quad (3.17)$$

The term proportional to $C_1 + C_2/N_c$ follows from (3.15, 3.16). The nonzero matrix elements in this term arise only if the currents $\bar{u} \Gamma^\mu q_i$ and $\bar{q}_j \Gamma_\mu c$ have the right flavour structure for a given $P \rightarrow f_1 f_2$ decay. In the opposite case, the matrix elements of currents $\bar{u} \Gamma^\mu c$ and $\bar{q}_j \Gamma_\mu q_i$ in the term proportional to $C_2 + C_1/N_c$ can contribute. The term proportional to $C_2 + C_1/N_c$ follows from (3.15, 3.16) if the Fierz rearrangement in (3.15) was performed on the term proportional to C_1 .

The renormalization scale dependence of the matrix elements $\langle f | O_i(\mu) | i \rangle$ and the Wilson coefficients $C_i(\mu)$ should cancel in the bare Lagrangian (3.14). The matrix elements of the currents (3.17), resulting from the factorization, are not scale dependent due to the Ward identities, as explicitly shown in Appendix A.1. The μ dependences of the matrix element and the Wilson coefficient can not cancel in the factorization approximation and this approximation can be at best correct at a single value of μ , the so-called factorization scale μ_F [67, 68]. In order to set a reasonable choice for μ_F , one has to be aware that the factorization approximation amounts to neglecting any interaction among the states that take part in the first and the second matrix element. In particular, the soft gluon exchanges with virtualities below μ among these states are neglected in this approximation (the hard gluon exchanges have been incorporated in the Wilson coefficients). Because the factorized hadronic matrix elements can only account for the interaction between quarks remaining in the same hadron, the Wilson coefficients should contain those gluon effects which redistribute the quarks. A suitable choice of μ_F is made when the soft gluons with virtualities below μ_F

⁵Beyond the factorization approximation also the matrix elements $\frac{1}{2} \langle f_1 f_2 | \bar{u}^\alpha \lambda_{\alpha\beta}^\mu \Gamma^\mu q_i^\beta \bar{q}_j^\gamma \lambda_{\gamma\delta}^\alpha \Gamma_\mu c^\delta | P \rangle$ and $\frac{1}{2} \langle f_1 f_2 | \bar{q}_j^\alpha \lambda_{\alpha\beta}^\mu \Gamma^\mu q_i^\beta \bar{u}^\gamma \lambda_{\gamma\delta}^\alpha \Gamma_\mu c^\delta | P \rangle$ enter at this stage. They arise via the relation $\lambda_{\alpha\beta}^\mu \lambda_{\gamma\delta}^\mu = -2/3 \delta_{\alpha\beta} \delta_{\gamma\delta} + 2 \delta_{\alpha\delta} \delta_{\beta\gamma}$.

are no longer very effective in rearranging the quarks grouped into the colour-singlet pairs, i.e. $\mu_F \sim \mathcal{O}(m_c)$ and $\mu_F \sim \mathcal{O}(m_b)$ for D and B meson decays, respectively [68]. At μ_F , the factorized amplitude (3.17) is expected to present a reasonable approximation and it is suitable to define μ -independent coefficients a_1 and a_2 for D and B meson decays [69]

$$a_1 = C_1(\mu_F) + \frac{1}{N_c}C_2(\mu_F) , \quad a_2 = C_2(\mu_F) + \frac{1}{N_c}C_1(\mu_F) . \quad (3.18)$$

With $N_c = 3$ and $C_{1,2}(m_{c,b})$ given in (3.12) this amounts to

$$\begin{aligned} a_1^b &= 1.03 \pm 0.01 , & a_2^b &= 0.091 \pm 0.015 , \\ a_1^c &= 1.14 \pm 0.04 , & a_2^c &= -0.18 \pm 0.05 . \end{aligned} \quad (3.19)$$

On the other hand, the coefficients a_1 and a_2 can be employed as free parameters in the study of the nonleptonic D and B decays based on the factorization and can be fitted from the experimental data. I denote the measured coefficients based on the factorized amplitudes by $a_{1,2}^{eff}$. The first extensive analysis of this kind was performed in [69]. More recent analysis give

$$\begin{aligned} (a_1^b)^{eff} &= 1.08 \pm 0.10 , & (a_2^b)^{eff} &= 0.21 \pm 0.06 , & [67, 68, 70, 71] \\ (a_1^c)^{eff} &= 1.2 \pm 0.1 , & (a_2^c)^{eff} &= -0.5 \pm 0.1 . & [72] \end{aligned} \quad (3.20)$$

The coefficients a_1^{eff} and a_2^{eff} are expected to match the predicted coefficients a_1 and a_2 (3.19, 3.20) if the factorization approximation is a good. The coefficients a_1 and a_1^{eff} indeed agree well. The discrepancy in the coefficients a_2 and a_2^{eff} indicates that nonfactorizable contributions must play an important role, especially in D decays which occur at the energies where many hadronic resonances are present. Formally, the measured coefficients a_1^{eff} and a_2^{eff} contain also the nonfactorizable part and can be generally parameterized in terms of $\epsilon_1(\mu_F)$ and $\epsilon_8(\mu_F)$ [68, 70]

$$a_1^{eff} = a_1[1 + \epsilon_1(\mu_F)] + C_2(\mu_F)\epsilon_8(\mu_F) , \quad a_2^{eff} = a_2[1 + \epsilon_1(\mu_F)] + C_1(\mu_F)\epsilon_8(\mu_F)$$

with $\epsilon_{1,8}(\mu_F) \rightarrow 0$ when the factorization is good at μ_F . The nonfactorizable parts $\epsilon_1(\mu_F)$ and $\epsilon_8(\mu_F)$ can be fitted from the experimental data. In $1/N_c$ expansion, only the quantity $\zeta = 1/N_c + \epsilon_8(\mu_F)$ parameterizes the nonfactorizable contributions in $a_{1,2}^{eff}$ [68, 70]⁶

$$a_1^{eff} = C_1(\mu_F) + \mathcal{O}(1/N_c^2) , \quad a_2^{eff} = C_2(\mu_F) + \zeta C_1(\mu_F) .$$

In the case of the exact factorization $\zeta = 1/N_c = 1/3$. The measured coefficient $(a_1^b)^{eff}$ (3.20) gives $\zeta^b = 0.45 \pm 0.05$ [68, 70] close to $1/3$. The coefficient $(a_2^c)^{eff}$ (3.20) gives a small value $\zeta^c = 0.09 \pm 0.1$ and indicates that the factorization should be accompanied by $N_c^{eff} = 1/\zeta \rightarrow \infty$ in D meson decays. Having this in mind, I will employ the effective coefficients $(a_{1,2}^{c,b})^{eff}$ given in (3.20), which take into account the factorizable and also some nonfactorizable contributions, and I drop the superscript eff from now on.

⁶The large N_c counting rules of QCD imply $C_1 = 1 + \mathcal{O}(1/N_c^2)$, $C_2 = \mathcal{O}(1/N_c)$, $\epsilon_1 = \mathcal{O}(1/N_c^2)$ and $\epsilon_8 = \mathcal{O}(1/N_c)$ [68, 70]

The factorized nonleptonic amplitude (3.17) is finally given by

$$\begin{aligned} \mathcal{A}(P \rightarrow f_1 f_2) = & -i \frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} \\ & \times \left(a_1 [\langle f_2 | \bar{u} \Gamma^\mu q_i | 0 \rangle \langle f_1 | \bar{q}_j \Gamma_\mu c | P \rangle + \langle f_1 | \bar{u} \Gamma^\mu q_i | 0 \rangle \langle f_2 | \bar{q}_j \Gamma_\mu c | P \rangle + \langle f_1 f_2 | \bar{u} \Gamma^\mu q_i | 0 \rangle \langle 0 | \bar{q}_j \Gamma_\mu c | P \rangle] \right. \\ & \left. + a_2 [\langle f_2 | \bar{q}_j \Gamma^\mu q_i | 0 \rangle \langle f_1 | \bar{u} \Gamma_\mu c | P \rangle + \langle f_1 | \bar{q}_j \Gamma^\mu q_i | 0 \rangle \langle f_2 | \bar{u} \Gamma_\mu c | P \rangle + \langle f_1 f_2 | \bar{q}_j \Gamma^\mu q_i | 0 \rangle \langle 0 | \bar{u} \Gamma_\mu c | P \rangle] \right) \end{aligned} \quad (3.21)$$

with $\Gamma^\mu = \gamma^\mu(1 - \gamma_5)$ and $a_{1,2}$ given in (3.20). This amplitude can be expressed in terms of **the weak nonleptonic effective Lagrangian**, which is a product of the colour singlet currents responsible for the transitions among the hadronic states

$$\mathcal{L}_{eff}^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} [a_1 \bar{u} \gamma^\mu (1 - \gamma_5) q_i \bar{q}_j \gamma_\mu (1 - \gamma_5) c + a_2 \bar{q}_j \gamma_\mu (1 - \gamma_5) q_i \bar{u} \gamma^\mu (1 - \gamma_5) c] , \quad (3.22)$$

where $q_{i,j}$ denotes the d or s quark fields. The amplitude for long distance contribution to the $P \rightarrow f_1 f_2$ decay can be therefore written as

$$\mathcal{A}_{LD} = \langle f_1 f_2 | : i \mathcal{L}_{eff}^{|\Delta c|=1} : | P \rangle . \quad (3.23)$$

3.3.3 Bremsstrahlung and gauge invariance

In this section I discuss the general features of the bremsstrahlung part of amplitude for $P \rightarrow V \gamma^*$ and $P \rightarrow P' \gamma$ decays, where γ^* denotes a virtual or real meson. In particular, I derive a procedure for the calculation of bremsstrahlung amplitude which automatically leads to the gauge invariant results. Bremsstrahlung contribution is a specific part of the **long distance weak annihilation contribution**. The long distance weak annihilation contribution is induced by the effective nonleptonic Lagrangian (3.22), where one of the currents has the flavour of the initial meson P , the other has the flavour of the final meson M and the photon is emitted before or after the weak vertex. The bremsstrahlung contribution has the following additional properties: (i) The probability for the emission of a photon from a meson is proportional to the net charge of the meson. The bremsstrahlung part of $P \rightarrow M \gamma^*$ amplitude is nonzero only when P and M are charged. (ii) The emission of the photon does not alter the quantum numbers of a meson.

The bremsstrahlung amplitude has to be invariant under the electromagnetic gauge transformation and this issue is studied in detail. The first principle calculation based on the standard model renders the gauge invariance automatically, but when an effective model is employed special care has to be taken in this respect. I derive a general effective Lagrangian, intuitively expressed in terms of the mesonic fields, and show that it leads to the gauge invariant amplitude. The resulting bremsstrahlung amplitude for $P \rightarrow V \gamma$ decays turns out to be equal zero. The bremsstrahlung amplitudes for $P \rightarrow V l^+ l^-$ and $P \rightarrow P' l^+ l^-$ decays depend on the shape of the corresponding electromagnetic form factors. A more profound effective Lagrangian will be employed when in the charm meson decays are studied in Chapter 5, leading to the same form of the bremsstrahlung amplitudes as derived in this section below⁷.

⁷In the hybrid model for the charm meson decays, which is employed in Chapter 5, the bremsstrahlung amplitudes for $D \rightarrow P l^+ l^-$ decays vanish in the limit $m_P^2 \ll m_D^2$. The bremsstrahlung amplitudes for $D \rightarrow V l^+ l^-$ decays vanish in the exact $SU(3)$ flavour limit.

I consider an effective Lagrangian for the weak decays $P \rightarrow V\gamma^*$ and $P \rightarrow P'\gamma^*$, which proceed via the W boson exchange in the “s” channel as shown in Fig. 1.2a. The strong corrections to the W exchange are neglected throughout this discussion for the reasons of clarity. Defining the decay constants f_P and g_V as

$$\langle P(p)|j_W^\mu|0\rangle = if_P p^\mu, \quad \langle V(p, \epsilon)|j_W^\mu|0\rangle = g_V \epsilon^\mu, \quad (3.24)$$

the weak current can be effectively expressed with the $P(x)$ and $V(x)$ fields as $j_W^\mu(x) = \frac{g_2}{2\sqrt{2}}[f_P \partial^\mu P(x) + g_V V^\mu(x)]$. The free and the weak part of the effective Lagrangian for P , V and P' fields is given by

$$\mathcal{L}_0 = \partial^\mu P^\dagger \partial_\mu P + \partial^\mu P'^\dagger \partial_\mu P' - \frac{1}{4} \vec{F}^{\mu\nu} \vec{F}_{\mu\nu} - \left[i \frac{g_2}{2\sqrt{2}} V_{CKM} W_\mu^\dagger (f_P \partial^\mu P + f_{P'} \partial^\mu P' + g_V V^\mu) + h.c. \right]$$

with

$$\vec{F}^{\mu\nu} = \partial^\mu \vec{V}^\nu - \partial^\nu \vec{V}^\mu + c \vec{V}^\mu \times \vec{V}^\nu, \quad \vec{V} = \begin{pmatrix} \frac{1}{\sqrt{2}}(V^\dagger + V) \\ \frac{1}{\sqrt{2}}i(V^\dagger - V) \\ V^0 \end{pmatrix}$$

and \vec{V} can be thought as a triplet of ρ meson fields. The corresponding Feynman rules are given in Fig. 3.3a.

The electromagnetic interactions of the pseudoscalars with the charge e are introduced by replacing the partial derivative by the covariant derivative $\partial^\mu P \rightarrow (\partial^\mu + ieA^\mu)P$. The interactions of the form $A^\mu A_\mu PP^\dagger$ are not of interest and will be omitted. The photon emission from the charged meson V is implemented by the transition $V \rightarrow VV^0$, contained in the $\vec{F}^{\mu\nu} \vec{F}_{\mu\nu}$ term, followed by the transition $V^0 \rightarrow \gamma$ via the vector meson dominance. The free and electro-weak part of the Lagrangian is then given by

$$\begin{aligned} \mathcal{L} = & \partial^\mu P^\dagger \partial_\mu P + \partial^\mu P'^\dagger \partial_\mu P' - \frac{1}{4} \vec{F}_\gamma^{\mu\nu} \vec{F}_{\gamma, \mu\nu} - \left[i \frac{g_2}{2\sqrt{2}} V_{CKM} W_\mu^\dagger (f_P \partial^\mu P + f_{P'} \partial^\mu P' + g_V V^\mu) + h.c. \right] + \\ & - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} + ieA^\mu (\partial_\mu P^\dagger P - P^\dagger \partial_\mu P) + e \frac{g_2}{2\sqrt{2}} V_{CKM} A^\mu W_\mu^\dagger (P + P^\dagger) \end{aligned} \quad (3.25)$$

with

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu, \quad \vec{F}_\gamma^{\mu\nu} = \partial^\mu \vec{V}^\nu - \partial^\nu \vec{V}^\mu + e \vec{V}^\mu \times \vec{V}^\nu, \quad \vec{V} = \begin{pmatrix} \frac{1}{\sqrt{2}}(V^\dagger + V) \\ \frac{1}{\sqrt{2}}i(V^\dagger - V) \\ \gamma \end{pmatrix}.$$

Similar effective Lagrangian, but only for the pseudoscalar field, has been considered in [8]. The Feynman rules for the electromagnetic interactions, given in the second line of the Lagrangian (3.25), are shown in Figs. 3.3b and 3.3c. The mesons are not elementary particles, they have an internal structure and I deliberately multiply the vertices by the unknown form factors $G_P^{\mu\nu}(q^2)$, $G_V^{\mu\nu}(q^2)$ and $G_{Pd}^{\mu\nu}(q^2)$ (the subscript d denotes a form factor connected with a direct γ - W - P vertex) subject to the condition

$$G_P^{\mu\nu}(0) = G_V^{\mu\nu}(0) = G_{Pd}^{\mu\nu}(0) = 1. \quad (3.26)$$

$$\begin{array}{ccc}
-i g_2 \frac{1}{2\sqrt{2}} f_P V_{CKM} p^\mu & -i g_2 \frac{1}{2\sqrt{2}} f_{P'} V_{CKM} p'^\mu & g_2 \frac{1}{2\sqrt{2}} g_V V_{CKM} \\
P(p) \text{ --- } \text{---} \text{---} \text{---} \text{---} W^\mu & W^\mu \text{ --- } \text{---} \text{---} \text{---} \text{---} P'(p') & W \text{ --- } \text{---} \text{---} \text{---} \text{---} V
\end{array}$$

(a)

$$\begin{array}{ccc}
-ie(p+p')_\nu G_P^{\mu\nu}(q^2) & ie g_2 \frac{1}{2\sqrt{2}} f_P V_{CKM} G_{Pd}^{\mu\nu}(q^2) & ie g_2 \frac{1}{2\sqrt{2}} f_{P'} V_{CKM} G_{Pd}^{\mu\nu}(q^2) \\
P^+(p) \text{ --- } \text{---} \text{---} \text{---} \text{---} P^+(p') & W^\nu \text{ --- } \text{---} \text{---} \text{---} \text{---} P^+(p) & P^+(p) \text{ --- } \text{---} \text{---} \text{---} \text{---} W^\nu \\
\gamma & & \\
q, \mu & q, \mu & q, \mu
\end{array}$$

(b)

$$ie G_V^{\mu\delta}(q^2) [g_{\delta\nu}(q-p')_\beta + g_{\nu\beta}(p+p')_\delta - g_{\delta\beta}(p+q)_\nu]$$

$$V_\beta^+(p) \text{ --- } \text{---} \text{---} \text{---} \text{---} V_\nu^+(p')$$

$$q, \mu$$

(c)

Figure 3.3: The Feynman rules given by the effective Lagrangian (3.25).

The form factors are expected to have either (i) the polar shape given by the propagator of a neutral vector meson V^0 , (ii) the flat shape or (iii) the linear combination of these

$$\begin{aligned}
G_{(i)}^{\mu\nu}(q^2) &= G_{(i)}(q^2) g^{\mu\nu} = g^{\mu\nu}, \quad G_{(ii)}^{\mu\nu}(q^2) = G_{(ii)}(q^2) \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_{V^0}^2} \right] = \frac{m_{V^0}^2}{m_{V^0}^2 - q^2} \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_{V^0}^2} \right], \\
G_{(iii)}^{\mu\nu}(q^2) &= K_1 g^{\mu\nu} + \sum_{i=2}^N K_i \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_{V_i^0}^2} \right] \frac{m_{V_i^0}^2}{m_{V_i^0}^2 - q^2} \quad \text{with} \quad \sum_{i=1}^N K_i = 1
\end{aligned} \tag{3.27}$$

for the photon with momentum q . Let me point out that for any of these shapes

$$q_\mu G^{\mu\nu}(q^2) = q^\nu. \tag{3.28}$$

The bremsstrahlung amplitude for the $\mathbf{P} \rightarrow \mathbf{P}' \gamma^*$ decay is given by the diagrams in Fig. 3.4 and can be calculated by applying the Feynman rules in Fig. 3.3

$$\begin{aligned}
|\mathcal{A}[P(p) \rightarrow P' \gamma^*(q, \epsilon)]| &= \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_P f_{P'} \\
&\times \epsilon_\mu^* \left[\frac{G_P^{\mu\nu}(q^2) m_{P'}^2 - G_{P'}^{\mu\nu}(q^2) m_P^2}{m_P^2 - m_{P'}^2} (p+p')_\nu + G_{Pd}^{\mu\nu}(q^2) p'_\nu + G_{P'd}^{\mu\nu}(q^2) p_\nu \right].
\end{aligned} \tag{3.29}$$

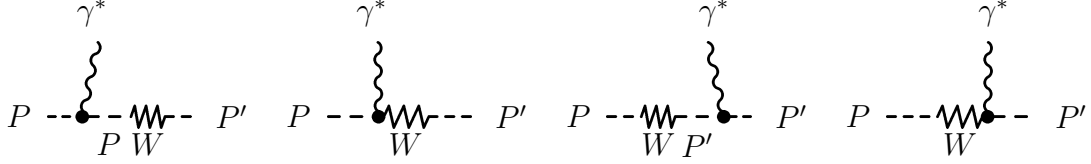


Figure 3.4: The bremsstrahlung diagrams for $P \rightarrow P'\gamma^*$ decay as given by the effective Lagrangian (3.25). The corresponding Feynman rules are given in Fig. 3.3.

The amplitude is invariant under the gauge transformation $\epsilon \rightarrow \epsilon + Cq$ since the term in parenthesis vanishes at $q^2 = 0$ and since $q_\mu G^{\mu\nu}(q^2) = q^\nu$ (3.28). The amplitude can be cast into a manifestly gauge invariant form (3.4) by introducing

$$q^2 B_{PC}(q^2) \equiv \frac{G_P(q^2)m_{P'}^2 - G_{P'}(q^2)m_P^2}{m_P^2 - m_{P'}^2} + G_{Pd}(q^2) + G_{P'd}(q^2) .$$

The function B_{PC} is regular at $q^2 = 0$ due to the condition (3.26) and enters the gauge invariant amplitude (3.4) via

$$|\mathcal{A}[P(p) \rightarrow P'\gamma^*(q, \epsilon)]| = \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_P f_{P'} B_{PC}(q^2) \epsilon_\mu^* [q^2(p + p')^\mu - (m_P^2 - m_{P'}^2)q^\mu] .$$

Note that the diagrams with $\gamma-W-P$ vertices in Fig. 3.4 are essential in maintaining the gauge invariance.

The bremsstrahlung amplitude for the $\mathbf{P} \rightarrow \mathbf{V}\gamma^*$ decay is given by the diagrams in Fig. 3.5a. Note that the diagram in Fig. 3.5b is prohibited since a virtual pseudoscalar can not transform to a real vector (a real pseudoscalar can on the other hand transform to a virtual vector, like in the decay $\pi^+ \rightarrow W^+ \rightarrow \mu^+ \nu_\mu$). The diagram in Fig. 3.5c does not exist since there is no $\gamma-W-V$ vertex in the Lagrangian (3.25). The amplitude for the diagrams in Fig. 3.5a is

$$\begin{aligned} |\mathcal{A}[P(p) \rightarrow V(p', \epsilon')\gamma^*(q, \epsilon)]| &= \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_P g_V \\ &\times \epsilon_\mu^* \epsilon_\nu'^* \left(G_{Pd}^{\mu\nu}(q^2) - G_V^{\mu\delta}(q^2) p_\alpha \frac{g^{\alpha\beta} - \frac{p^\alpha p^\beta}{m_V^2}}{m_P^2 - m_V^2} [g_{\delta\nu}(q - p')_\beta + g_{\nu\beta}(p' + p)_\delta - g_{\delta\beta}(p + q)_\nu] \right) \\ &= \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_P g_V \epsilon_\mu^* \epsilon_\nu'^* \left([G_{Pd}^{\mu\nu}(q^2) - G_V^{\mu\nu}(q^2)] - \frac{G_V^{\mu\delta}(q^2)}{m_V^2} [q_\delta q_\nu - q^2 g_{\delta\nu}] \right) . \end{aligned} \quad (3.30)$$

The amplitude is invariant under the gauge transformation $\epsilon \rightarrow \epsilon + Cq$ since $q_\mu G^{\mu\nu}(q^2) = q^\nu$ (3.28) and can be cast to a general form (3.2) by introducing

$$q^2 A'_{PV}(q^2) = G_{Pd}(q^2) - G_V(q^2) + \frac{q^2}{m_V^2} G_V(q^2) .$$



(a) The bremsstrahlung diagrams for $P \rightarrow V\gamma^*$ decay.



(b) Kinematically forbidden diagram.

(c) This diagram does not exist since there are no $\gamma - W - V$ vertices in the effective Lagrangian (3.25).

Figure 3.5: The bremsstrahlung diagrams for $P \rightarrow V\gamma^*$ decay as given by the effective Lagrangian (3.25) are given in Fig. (a). The corresponding Feynman rules are given in Fig. 3.3.

The function $A'_{PV}(q^2)$ is regular at $q^2=0$ due to the condition (3.26) and enters the gauge invariant amplitude (3.2) via

$$|\mathcal{A}[P(p) \rightarrow V(p', \epsilon')\gamma^*(q, \epsilon)]| = \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_P g_V A'_{PV}(q^2) \epsilon_\mu^* \epsilon_\nu'^* [q^2 g^{\mu\nu} - q^\mu q^\nu] .$$

In the case of the decay $P \rightarrow V\gamma$ with the real photon in the final state, $q^2 = 0$ and $\epsilon \cdot q = 0$, so

$$\mathcal{A}[P \rightarrow V\gamma] = 0 \tag{3.31}$$

and the bremsstrahlung amplitude based on the general effective Lagrangian (3.25) vanishes.

Chapter 4

The $c \rightarrow u\gamma$ transition in $B_c \rightarrow B_u^*\gamma$ decay

In this chapter I propose and explore the unique opportunity to observe the flavour changing neutral transition $c \rightarrow u\gamma$ in the beauty conserving decay $B_c \rightarrow B_u^*\gamma$ with flavour content $c\bar{b} \rightarrow u\bar{b}\gamma$. This possibility was originally suggested and studied in [28] and subsequently discussed in [29, 30, 31, 33].

4.1 The short distance contribution

The amplitude for the short distance contribution is given in (3.6)

$$\begin{aligned}\mathcal{A}_{SD} &= -i \frac{G_F}{\sqrt{2}} \frac{e_0}{8\pi^2} c_7^{eff} m_c \langle \gamma(q, \epsilon) B_u^* | \bar{u} \sigma^{\mu\nu} (1 + \gamma_5) c F_{\mu\nu} | B_c \rangle \\ &= -\frac{G_F}{\sqrt{2}} \frac{e_0}{4\pi^2} c_7^{eff} m_c \epsilon_\mu^* q_\nu \langle B_u^* | \bar{u} \sigma^{\mu\nu} (1 + \gamma_5) c | B_c \rangle\end{aligned}$$

with $c_7^{eff} = -(1.5 + 4.4i)[1 \pm 0.2]10^{-3}$ (2.21) [22] in the standard model. The matrix element $q_\nu \langle B_u^* | \bar{u} \sigma^{\mu\nu} (1 + \gamma_5) c | B_c \rangle$ can be generally expressed as¹

$$\begin{aligned}\langle B_u^*(p', \epsilon') | \bar{u} \sigma^{\mu\nu} q_\nu c | B_c(p) \rangle &= \epsilon^{\mu\alpha\beta\gamma} \epsilon_\alpha^* p'_\beta p_\gamma F_1(q^2) , \\ \langle B_u^*(p', \epsilon') | \bar{u} i \sigma^{\mu\nu} q_\nu \gamma_5 c | B_c(p) \rangle &= [(m_{B_c}^2 - m_{B_u^*}^2) \epsilon^{*\mu} - \epsilon^{*'} \cdot q (p + p')^\mu] F_2(q^2) \\ &\quad + \epsilon^{*'} \cdot q [q^\mu - \frac{q^2}{m_{B_c}^2 - m_{B_u^*}^2} (p + p')^\mu] F_3(q^2) .\end{aligned}\tag{4.1}$$

For real photon with $q^2 = 0$ and $\epsilon \cdot q = 0$ only $F_1(0)$ and $F_2(0)$ form factors contribute and due to

$$\sigma_{\mu\nu} \gamma_5 = -\frac{i}{2} \epsilon_{\mu\nu\alpha\beta} \sigma^{\alpha\beta}$$

¹The definitions of the form factors in this chapter are taken from [73].

they are related via $F_2(0) = \frac{1}{2}F_1(0)$. The resulting amplitude A_{SD} is automatically invariant under the electromagnetic gauge transformation $\epsilon \rightarrow \epsilon + Cq$ [28]

$$\mathcal{A}_{SD} = -\frac{G_F}{\sqrt{2}} \frac{e_0}{4\pi^2} c_7^{eff} m_c [\epsilon^{\mu\alpha\beta\gamma} \epsilon_\mu^* \epsilon_\alpha^{*\prime} p'_\beta p_\gamma - i[(p \cdot q)(\epsilon^* \cdot \epsilon^{*\prime}) - (\epsilon^{*\prime} \cdot q)(p \cdot \epsilon^*)]] F_1(0). \quad (4.2)$$

The form factor $F_1(0)$ defined in (4.1) will be calculated at the end of the chapter using the Isgur-Scora-Grinstein-Wise (ISGW) constituent quark model [74], which is expected to reasonably account for the strong interactions in the heavy mesons B_c and B_u^* .

4.2 The long distance penguin contribution

The long distance penguin contribution is illustrated in Fig. 1.3. The intermediate $\bar{d}d$ and $\bar{s}s$ quark-antiquark pairs hadronize into the vector mesons ρ^0 , ω and ϕ and finally convert to a photon. The contribution of $\bar{b}b$ is neglected in view of the large mass of Υ . The corresponding amplitude (3.23) is induced by the part of the nonleptonic effective Lagrangian (3.22) proportional to a_2

$$\mathcal{A}_{LD}^{peng} = -i \frac{G_F}{\sqrt{2}} \sum_{q=d,s} V_{cq}^* V_{uq} a_2 \langle B_u^* \gamma | \bar{q} \gamma_\mu (1 - \gamma_5) q \bar{u} \gamma^\mu (1 - \gamma_5) c | B_c \rangle.$$

The appropriate renormalization scale for a_2 is $\mu_F \simeq m_c$ as \bar{b} is merely spectator in this contribution, giving $a_2 = a_2^c = -0.5$ (3.20). Using the factorization approximation (3.21) and $V_{cd}^* V_{ud} \simeq -V_{cs}^* V_{us}$,

$$\mathcal{A}_{LD}^{peng} = -i \frac{G_F}{\sqrt{2}} V_{cs}^* V_{us} a_2^c \langle B_u^* | \bar{u} \gamma^\mu (1 - \gamma_5) c | B_c \rangle \langle \gamma | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle$$

and the electromagnetic interaction in the second matrix element is implicit. In the vector meson dominance approximation the matrix element

$$\langle \gamma | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle = \langle \gamma | -ie_0 (\frac{2}{3} \bar{u} \gamma^\nu u - \frac{1}{3} \bar{d} \gamma^\nu d - \frac{1}{3} \bar{s} \gamma^\nu s) A_\nu | V^0 \rangle \langle V^0 | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle$$

can be expressed in terms of the couplings g_V defined via

$$\langle V(q, \epsilon) | j_V^\mu | 0 \rangle = g_V(q^2) \epsilon^{*\mu},$$

where j_V^μ is properly normalized vector current² with the same flavour structure as the vector meson V ,

$$\langle \gamma | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle = e_0 C_{VMD} \epsilon_\mu^* \quad \text{with} \quad C_{VMD} \equiv \frac{g_\rho^2(0)}{2m_\rho^2} - \frac{g_\omega^2(0)}{6m_\omega^2} - \frac{g_\phi^2(0)}{3m_\phi^2}. \quad (4.3)$$

The $g_{V^0}(m_{V^0}^2)$ couplings can be determined phenomenologically from the experimental data on $V^0 \rightarrow \gamma^* \rightarrow e^+e^-$ decays. Taking the central values and the errors on $g_V(m_V)$ from [3] and

² In the case of ρ^0 , for example, $j_V^\mu = \frac{1}{\sqrt{2}}(\bar{u} \gamma^\mu u - \bar{d} \gamma^\mu d)$.

assuming $g_{V^0}(0) \simeq g_{V^0}(m_{V^0}^2)$, which is a reasonable approximation for light vector mesons, the amplitude is given by

$$\mathcal{A}_{LD}^{peng} = -i \frac{G_F}{\sqrt{2}} V_{cs}^* V_{us} a_2^c e_0 C_{VMD} \epsilon_\mu^* \langle B_u^* | \bar{u} \gamma^\mu (1 - \gamma_5) c | B_c \rangle \quad \text{with} \\ C_{VMD} = (-1.2 \pm 1.2) \cdot 10^{-3} \text{ GeV}^2 . \quad (4.4)$$

The three terms in C_{VMD} almost exactly cancel since $\langle 0 | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle$ vanishes in the exact $SU(3)$ flavour limit, so the long distance penguin contribution is relatively small. Due to the cancelations in C_{VMD} , the magnitude of this contribution is fairly uncertain. The uncertainties in (4.4) arise from the errors in the experimental data on $V^0 \rightarrow e^+ e^-$ rates.

The matrix element $\langle B_u^* | \bar{u} \gamma^\mu (1 - \gamma_5) c | B_c \rangle$ can be generally expressed as

$$\langle B_u^*(p', \epsilon') | \bar{u} \gamma^\mu (1 - \gamma_5) c | B_c(p) \rangle = i \left\{ \frac{2}{m_{B_c} + m_{B_u^*}} \epsilon^{\mu\alpha\beta\gamma} \epsilon_\alpha^* p_\beta p'_\gamma V(q^2) + i 2 m_{B_u^*} \frac{\epsilon^{*\prime} \cdot q}{q^2} q^\mu A_0(q^2) \right. \\ \left. + i(m_{B_c} + m_{B_u^*}) \left[\epsilon^{\mu*'} - \frac{\epsilon^{*\prime} \cdot q}{q^2} q^\mu \right] A_1(q^2) - i \frac{\epsilon^{*\prime} \cdot q}{m_{B_c} + m_{B_u^*}} \left[(p + p')^\mu - \frac{m_{B_c}^2 - m_{B_u^*}^2}{q^2} q^\mu \right] A_2(q^2) q \right\} . \quad (4.5)$$

The matrix element is finite at $q^2 = 0$ and the equality $2m_{B_u^*} A_0(0) = (m_{B_c} + m_{B_u^*}) A_1(0) - (m_{B_c} - m_{B_u^*}) A_2(0)$ must hold [69]. The amplitude (4.4) at $q^2 = 0$ and $\epsilon \cdot q = 0$ is given by

$$\mathcal{A}_{LD}^{peng} = -i \frac{G_F}{\sqrt{2}} \frac{V_{cs}^* V_{us} a_2^c e_0 C_{VMD}}{m_{B_c} + m_{B_u^*}} \left[-2i \epsilon^{\mu\alpha\beta\gamma} \epsilon_\mu^* \epsilon_\alpha^* p'_\beta p_\gamma V(0) \right. \\ \left. - (m_{B_c} + m_{B_u^*})^2 \epsilon^* \cdot \epsilon^{*\prime} A_1(0) + 2(\epsilon^* \cdot p')(\epsilon^{*\prime} \cdot q) A_2(0) \right] . \quad (4.6)$$

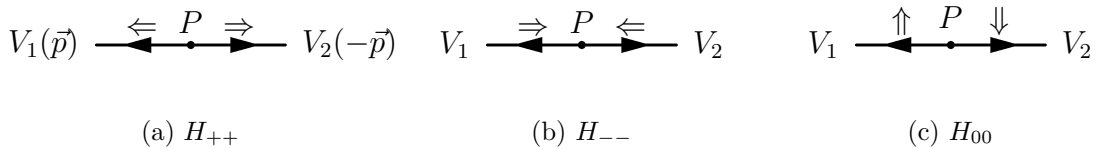


Figure 4.1: Helicity states in the decay $P \rightarrow V_1 V_2$.

The electromagnetic gauge invariance under $\epsilon^\mu \rightarrow \epsilon^\mu + C q^\mu$ imposes the relation

$$A_2(0) - \frac{(m_{B_c} + m_{B_u^*})^2}{m_{B_c}^2 - m_{B_u^*}^2} A_1(0) = 0 . \quad (4.7)$$

The physical significance of this condition was studied for other decays in [15, 24, 25] and can be easily understood by decomposing the amplitude (4.6) in terms of different helicity states. For a moment we pretend that the photon has finite mass m_γ and we eventually set it to zero. In this case the vector meson and the massive photon can have helicities $+$, $-$, 0 ,

whereas the amplitude (4.6) is decomposed to the three helicity amplitudes sketched in Fig. 4.1 [15]³

$$\begin{aligned}
\mathcal{A}_{LD}^{peng} &= -i \frac{G_F}{\sqrt{2}} \frac{V_{cs}^* V_{us} a_2^c e_0 C_{VMD}}{m_{B_c} + m_{B_u}^*} (A_{++} + A_{--} + A_{00}) \\
A_{++} &\xrightarrow{m_\gamma \rightarrow 0} - (m_{B_c} + m_{B_u}^*)^2 A_1(0) - 2p' \cdot q V(0) \\
A_{--} &\xrightarrow{m_\gamma \rightarrow 0} - (m_{B_c} + m_{B_u}^*)^2 A_1(0) + 2p' \cdot q V(0) \\
A_{00} &\xrightarrow{m_\gamma \rightarrow 0} \frac{1}{m_\gamma} \frac{m_{B_c} + m_{B_u}^*}{m_{B_u}^*} p' \cdot q [(m_{B_c} + m_{B_u}^*) A_1(0) - (m_{B_c} - m_{B_u}^*) A_2(0)] .
\end{aligned} \tag{4.8}$$

The photon is massless and can not have helicity zero. The helicity amplitude A_{00} proportional to the left hand side of (4.7) must be discarded and the helicity amplitudes $A_{\pm\pm}$ are retained. Since $A_2(0)$ is contained only in A_{00} , while $A_1(0)$ is contained also in $A_{\pm\pm}$, the amplitude A_{00} is discarded by expressing $A_2(0)$ via

$$A_2(0) \rightarrow \frac{(m_{B_c} + m_{B_u}^*)^2}{m_{B_c}^2 - m_{B_u}^{*2}} A_1(0) \tag{4.9}$$

giving

$$\begin{aligned}
\mathcal{A}_{LD}^{peng} &= -i \frac{G_F}{\sqrt{2}} V_{cs}^* V_{us} a_2^c e_0 C_{VMD} \left[-2i \epsilon^{\mu\alpha\beta\gamma} \epsilon_\mu^* \epsilon_\alpha^{*'} p'_\beta p_\gamma \frac{V(0)}{m_{B_c} + m_{B_u}^*} \right. \\
&\quad \left. + 2[(\epsilon^* \cdot p')(\epsilon^{*'} \cdot q) - (\epsilon^* \cdot \epsilon^{*'})(p \cdot q)] \frac{A_1(0)}{m_{B_c} - m_{B_u}^*} \right] . \tag{4.10}
\end{aligned}$$

The form factors $A_1(0)$ and $A_2(0)$ will be determined using the ISGW model bellow.

4.3 The long distance weak annihilation contribution

The long distance weak annihilation contribution for $B_c \rightarrow B_u^* \gamma$ decay in terms of quark degrees of freedom is illustrated in Fig. 1.2a with $\bar{q} = \bar{b}$. The diagrams in terms of pseudoscalar and vector mesons are shown in Fig. 4.2 and the box represents the action of the relevant part of the nonleptonic Lagrangian (3.22)

$$\mathcal{L}^{WA} = -\frac{G_F}{\sqrt{2}} a_1 V_{cb}^* V_{ub} \bar{u} \gamma^\mu (1 - \gamma_5) b \bar{b} \gamma_\mu (1 - \gamma_5) c . \tag{4.11}$$

The coefficient a_1 is taken at the factorization scale $\mu_F \simeq \mathcal{O}(m_b)$ giving $a_1 = a_1^b = 1.08$ (3.20)⁴. The diagram in Fig. 4.3a is not considered since it is not allowed kinematically. The diagram in Fig. 4.3b is one of the bremsstrahlung diagrams given in Fig. 3.5a and is not included since the bremsstrahlung amplitude for $P \rightarrow V \gamma$ decay vanishes, as shown in Section 3.3.3. The

³These expressions are obtained by taking the polarizations of the particles as $\epsilon^\mu(k, \pm) = (0, \vec{e}_\pm)$ with $\vec{e}_\pm \cdot \vec{k} = 0$ and $\epsilon^\mu(k, 0) = (|\vec{k}|/m, \vec{k}k^0/|\vec{k}|m)$.

⁴The difference in $a_1^b = 1.08$ and $a_1^c = 1.2$ (3.20) is not essential and the choice the renormalization scale μ_F does not lead to the sizable uncertainties.

contributions with axial and scalar poles are neglected and the weak annihilation amplitude will involve only the parity conserving part. Note that the long distance weak annihilation contribution is relatively small due to the factor $V_{cb}^*V_{ub}$ in (4.11), which makes $B_c \rightarrow B_u^*\gamma$ decay interesting for observing $c \rightarrow u\gamma$ transition. The amplitude for the diagrams in Fig. 4.2 can be expressed in terms of the decay constants and the magnetic moments defined by

$$\begin{aligned}\langle 0|A_\mu|P\rangle &= f_P p_\mu, \\ \langle V|V_\mu|0\rangle &= g_V \epsilon_\mu^*, \\ \mathcal{A}(P(p) \rightarrow V(p', \epsilon')\gamma(\epsilon)) &= \mu_P e \epsilon^{\mu\nu\alpha\beta} \epsilon_\mu^* \epsilon_\nu'^* p_\alpha p'_\beta\end{aligned}\tag{4.12}$$

and $\mu_{B_c}, \mu_{B_u}, f_{B_c}, f_{B_u}, g_{B_c^*}, g_{B_u^*}$ will be determined using ISGW model.

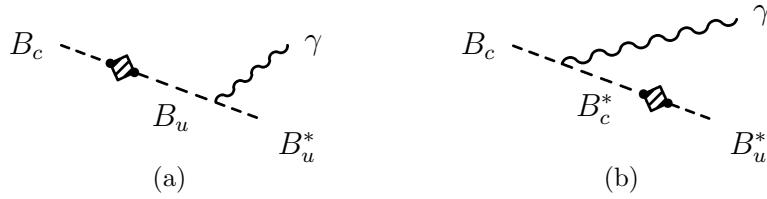


Figure 4.2: Long distance weak annihilation contribution to $B_c \rightarrow B_u^*\gamma$ decay. The box denotes the action of the nonleptonic effective Lagrangian (4.11) and the two dots denote the weak currents.

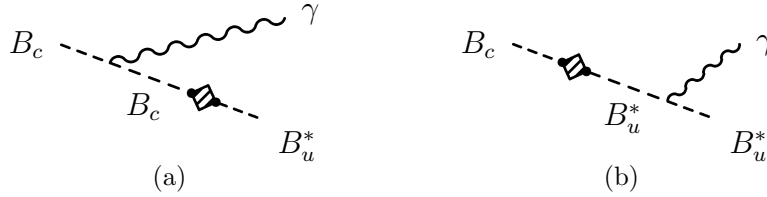


Figure 4.3: The diagram (a) is kinematically forbidden. The diagram (b) is one of the bremsstrahlung diagrams and exactly cancels with the other bremsstrahlung diagrams. The box denotes the action of the nonleptonic effective Lagrangian (4.11) and the two dots denote the weak currents.

4.4 The amplitude

The total amplitude for the $B_c \rightarrow B_u^*\gamma$ decay is given by the sum of the short distance amplitude (4.2), the long distance penguin amplitude (4.10) and the long distance weak

annihilation amplitude coming from (4.11, 4.12). It has the gauge invariant form (3.1)

$$\mathcal{A}[P(p) \rightarrow V(p', \epsilon') \gamma(q, \epsilon)] = \epsilon_\mu^* \epsilon_\nu' [i A_{PV} (p^\mu q^\nu - g^{\mu\nu} p \cdot q) + A_{PC} \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta] \quad (4.13)$$

with

$$\begin{aligned} A_{PV} &= -\frac{G_F}{\sqrt{2}} e_0 \left(V_{cs}^* V_{ud} \left[\frac{c_7^{eff}}{4\pi^2} m_c F_1(0) + 2a_2^c C_{VMD} \frac{A_1(0)}{m_{B_c} - m_{B_u^*}} \right] \right), \\ A_{PC} &= -\frac{G_F}{\sqrt{2}} e_0 \left(V_{cs}^* V_{ud} \left[\frac{c_7^{eff}}{4\pi^2} m_c F_1(0) + 2a_2^c C_{VMD} \frac{V(0)}{m_{B_c} + m_{B_u^*}} \right] \right. \\ &\quad \left. + V_{cb}^* V_{ub} a_1^b \left[\frac{\mu_{B_c} g_{B_c^*} g_{B_u^*}}{m_{B_c^*}^2 - m_{B_u^*}^2} + \frac{\mu_{B_u} m_{B_c}^2 f_{B_c} f_{B_u}}{m_{B_c}^2 - m_{B_u}^2} \right] \right) \end{aligned} \quad (4.14)$$

and the corresponding decay rate is given by

$$\Gamma = \frac{1}{4\pi} \left(\frac{m_{B_c}^2 - m_{B_u^*}^2}{2m_{B_c}} \right)^3 (|A_{PC}|^2 + |A_{PV}|^2).$$

4.5 The model

The strong dynamics within the B_c and B_u^* mesons has to be incorporated by relaying on one of the available approaches listed in the introduction. The heavy quark symmetry is based on the static approximation for the heavy quark in a meson with a single heavy quark. The B_c meson is composed of two heavy quarks and their masses do not permit both valence quarks to be at rest at the same time, so the heavy quark symmetry does not apply to this case in this sense⁵. In [28] we have decided to use nonrelativistic constituent Isgur-Wise-Scora-Grinstein (ISGW) quark model [74] which is considered to be reliable for the states composed of two heavy quarks and is therefore suitable for treating the B_c meson; in addition the velocity of B_u^* in the rest frame of B_c is to a fair approximation nonrelativistic.

The ISGW constituent quark model [74, 76] describes the heavy meson in terms of two constituent quarks with mass M that move under the influence of the effective potential $V(r) = -4\alpha_s/(3r) + c + br$, $c = -0.81$ GeV, $b = 0.18$ GeV² [76]. Instead of the accurate solutions of the Schrödinger equation, the variational solutions

$$\psi(\vec{r}) = \pi^{-\frac{3}{4}} \beta^{\frac{3}{2}} e^{-\frac{\beta^2 r^2}{2}} \quad \text{or} \quad \psi(\vec{k}) = \pi^{-\frac{3}{4}} \beta^{-\frac{3}{2}} e^{-\frac{k^2}{2\beta^2}} \quad \text{for S state}$$

are used and β is employed as the variational parameter. The meson state, composed of the constituent quarks q_1 and \bar{q}_2 , is given by

$$|M(p)\rangle = \sum_{C, s_1, s_2} \frac{1}{\sqrt{3}} \sqrt{\frac{2E}{(2\pi)^3}} \int d\vec{k} \psi(\vec{k}) \sqrt{\frac{M_1}{E_1}} \sqrt{\frac{M_2}{E_2}} f_{s_2, s_1} \delta(p - p_1 - p_2) b_1^\dagger(\vec{p}_1, s_1, C) d_2^\dagger(\vec{p}_2, s_2, \bar{C}) |0\rangle, \quad (4.15)$$

⁵The form factors F_1 , V and A_1 that parameterize the short and long distance amplitudes cannot be safely related using the Isgur-Wise relations [75] frequently used for the mesons containing one heavy quark.

where \vec{k} is the momentum of the constituents in the meson rest frame, C denotes the colour, while $f_{s2,s1} = (\bar{\uparrow} \downarrow + \bar{\downarrow} \uparrow)/\sqrt{2}$ for pseudoscalar and $f_{s2,s1} = (\bar{\uparrow} \downarrow - \bar{\downarrow} \uparrow)/\sqrt{2}, \bar{\uparrow} \uparrow, \bar{\downarrow} \downarrow$ for vector mesons⁶. In the non-relativistic limit the following expressions are obtained [28]⁷

$$\begin{aligned}
V(q^2) &= \frac{m_{B_c} + m_{B_u^*}}{2} \left[\frac{1}{M_u} - \frac{M_b(M_c - M_u)\beta_{B_c}^2}{M_c M_u m_{B_u^*}(\beta_{B_c}^2 + \beta_{B_u^*}^2)} \right] F_3(q^2) , \\
A_1(q^2) &= \frac{2m_{B_c}}{m_{B_c} + m_{B_u^*}} F_3(q^2) , \\
F_1(q^2) &= 2 \left[1 + (m_{B_c} - m_{B_u^*}) \left(\frac{1}{2M_u} - \frac{M_b(M_c + M_u)\beta_{B_c}^2}{2M_c M_u m_{B_u^*}(\beta_{B_c}^2 + \beta_{B_u^*}^2)} \right) \right] F_3(q^2) , \\
\mu_{B_c} &= \sqrt{\frac{m_{B_c^*}}{m_{B_c}}} \left(\frac{2\beta_{B_c}\beta_{B_c^*}}{\beta_{B_c}^2 + \beta_{B_c^*}^2} \right)^{3/2} \left[\frac{2}{3M_c} - \frac{1}{3M_b} \right] , \\
f_{B_c} &= \frac{2\sqrt{3}\beta_{B_c}^{3/2}}{\pi^{3/4}\sqrt{m_{B_c}}} , \\
g_{B_c^*} &= m_{B_c^*} \frac{2\sqrt{3}\beta_{B_c^*}^{3/2}}{\pi^{3/4}\sqrt{m_{B_c^*}}}
\end{aligned} \tag{4.16}$$

and analogously for μ_{B_u} , f_{B_u} and $g_{B_u^*}$. Here

$$F_3(q^2) = \sqrt{\frac{m_{B_u^*}}{m_{B_c}}} \left(\frac{2\beta_{B_c}\beta_{B_u^*}}{\beta_{B_c}^2 + \beta_{B_u^*}^2} \right)^{3/2} \exp \left(-\frac{M_b^2}{2m_{B_c}m_{B_u^*}} \frac{[(m_{B_c} - m_{B_u^*})^2 - q^2]}{\kappa^2(\beta_{B_c}^2 + \beta_{B_u^*}^2)} \right) .$$

The results for $V(q^2)$ and $A_1(q^2)$ reproduce the results of [74], while $F_1(q^2)$ represents, to my knowledge, the new result within the ISGW model. The parameter κ is introduced in order to allow the computed shapes of the form factors to be modified by a common factor [74]. The value of κ is taken to be unique for all the meson states [74] and is equal to $\kappa = 1$ for the case of the meson wave function given in (4.15). In [74] the multiplicative constant $\kappa = 0.7$ is chosen in order to give the calculated electromagnetic form factor for the pion in the better agreement with the experimental data.

Using $\kappa = 0.7$, the constituent quark masses $M_u = 0.33$ GeV, $M_c = 1.82$ GeV, $M_b = 5.2$ GeV [76] and the parameters β [76] and meson masses given in Table 4.1, I get [28]

$$\begin{aligned}
f_{B_u} &= 0.18 \text{ GeV} , \quad g_{B_u^*} = 0.86 \text{ GeV}^2 , \quad \mu_{B_u} = 1.81 \text{ GeV}^{-1} , \\
f_{B_c} &= 0.51 \text{ GeV} , \quad g_{B_c^*} = 2.41 \text{ GeV}^2 , \quad \mu_{B_c} = 0.28 \text{ GeV}^{-1} ,
\end{aligned} \tag{4.17}$$

while the values of the $B_c \rightarrow B_u^*$ form factors at $q^2 = 0$ are [28]

$$A_1(0) = 0.24 , \quad V(0) = 1.3 \quad \text{and} \quad F_1(0) = 0.48 . \tag{4.18}$$

⁶The spinors are normalized as in [77].

⁷Similar, but semi-relativistic, quark model has been presented in [73] and can serve as a suitable cross check for the derived form factors.

	B_c	B_c^*	B_u	B_u^*
$m[\text{GeV}]$	6.40 [78]	6.42 [76]	5.28 [3]	5.325 [3]
$\beta[\text{GeV}]$	0.92	0.75	0.43	0.40

Table 4.1: Parameters β taken from [76] and masses of pseudoscalar and vector mesons.

4.6 The results

The standard model predictions

The amplitude for the $B_c \rightarrow B_u^* \gamma$ decay in the standard model is given by the expression (4.13) with $c_7^{eff} = -(1.5 + 4.4i)[1 \pm 0.2]10^{-3}$ (2.21) [22]. I use the central value of the current quark mass $m_c = 1.25$ GeV from [3] and $V_{cb} = 0.04$, $V_{ub} = 0.0035$ together with the results of the ISGW model. The short distance, the long distance penguin and the long distance weak annihilation parts of amplitudes A_{PC} and A_{PV} (4.14) needed to compute the amplitude (4.13) are given in Table 4.2. The error bars in the table arise from the parameter C_{VMD} (4.4), which has the largest uncertainty. In Table 4.3 I present the total branching ratio (Br^{tot}) and separately also the short distance (Br^{SD}) and long distance (Br^{LD}) parts of the branching ratio for $B_c \rightarrow B_u^* \gamma$ decay. Here, $\tau(B_c) = 0.46_{-0.16}^{+0.18} \pm 0.03$ ps is taken as measured by CDF Collaboration recently [78]. Note that short and long distance contributions give branching ratios of comparable size $\sim 10^{-8}$, which in principle allows to use the $B_c \rightarrow B_u^* \gamma$ decay for probing the $c \rightarrow u \gamma$ transition in the standard model.

	$A^{SD}(PV)$	$A_{peng.}^{LD}(PV)$	$A_{annih.}^{LD}(PV)$	$A^{SD}(PC)$	$A_{peng.}^{LD}(PC)$	$A_{annih.}^{LD}(PC)$
$B_c \rightarrow B_u^* \gamma$	$5.7 + 17 i$	-14 ± 14	0	$5.7 + 17 i$	-7.3 ± 7.3	-21

Table 4.2: The standard model predictions for the amplitudes corresponding to different contributions in $B_c \rightarrow B_u^* \gamma$ decay. The parity violating and conserving amplitudes $A_{PV,PC}$, defined in (4.13), for short distance (A^{SD}), long distance penguin ($A_{peng.}^{LD}$) and long distance weak annihilation ($A_{annih.}^{LD}$) contributions are given in units of 10^{-11} GeV⁻¹. The error-bars are due to the uncertainty in $C_{VMD} = (1.2 \pm 1.2) 10^{-3}$ GeV² (4.4).

	Br^{SD}	Br^{LD}	Br^{tot}
$B_c \rightarrow B_u^* \gamma$	$4.7 \cdot 10^{-9}$	$(7.5_{-4.3}^{+7.7}) \cdot 10^{-9}$	$(8.5_{-2.5}^{+5.8}) \cdot 10^{-9}$

Table 4.3: The standard model predictions for the $B_c \rightarrow B_u^* \gamma$ branching ratios as given by the ISGW model: the short distance part Br^{SD} , the long distance part Br^{LD} and the total branching ratio Br^{tot} . The error-bars are due to the uncertainty in $C_{VMD} = (1.2 \pm 1.2) 10^{-3}$ GeV² (4.4).

I conclude this section by a qualitative comparison of the standard model predictions based on the ISGW model with the predictions based on some other models.

The form factor $F_1(0)$ entering the short distance contribution to $B_c \rightarrow B_u^* \gamma$ has been reexamined using the QCD sum rules approach in [33] with the result $F_1(0) = 0.9 \pm 0.1$. This result is almost two times bigger than ISGW result $F_1(0) = 0.48$ (4.18) and renders the short distance part of the $B_c \rightarrow B_u^* \gamma$ branching ratio of the order of $2 \cdot 10^{-8}$.

I have qualitatively estimated the long distance weak annihilation contribution using the QCD sum rule and vector meson dominance approaches. The QCD sum rules analogue of the diagram in Fig. 4.2b (only the part of the diagram left of the box) was considered in the study of the decays $B_c^* \rightarrow B_c \gamma$ [79], $B_c \rightarrow \rho^+ \gamma$ and $B_c \rightarrow K^{*+} \gamma$ [80]. The corresponding results are in a reasonable agreement the results of the ISGW model, which reflects the fact that the photon interaction with the B_c meson composed of two heavy quarks is well understood. The amplitude for the diagram in Fig. 4.2a depends on the magnetic moment of B_u and the ISGW prediction on μ_{B_u} (4.17) is about two times bigger than vector meson dominance prediction presented in Table 8 of [81]. The overestimation of the B_u magnetic moment in the ISGW model is connected with the general failure of the constituent quark models in reproducing the magnetic moments connected with the light quark. In the vector meson dominance approach, the light quark emits a photon through the vector meson exchange and seems to give a more accurate phenomenologically description. A possible overestimation of the long distance weak annihilation contribution based on the ISGW model indicates that the long distance background to $B_c \rightarrow B_u^* \gamma$ decay may be smaller than indicated in Table 4.3.

The signatures of the physics beyond the standard model

The standard model prediction for $B_c \rightarrow B_u^* \gamma$ branching ratio is of the order of 10^{-8} and the experimental detection of this decay at the branching ratio well above 10^{-8} would clearly indicate a signal of physics beyond the standard model. This decay is especially sensitive to the scenarios that could significantly enhance the $c \rightarrow u \gamma$ rate compared to the standard model predictions. The long distance contributions are not expected to be significantly effected by possible scenarios of new physics.

The supersymmetric models were studied in Section 2.2.2. It was argued that the minimal supersymmetric model with a plausible mechanism of supersymmetry breaking renders the $c \rightarrow u \gamma$ rate comparable to that in the standard model. Given the uncertainties in the long distance contribution in the $B_c \rightarrow B_u^* \gamma$ decay, it would be difficult to distinguish the effect of the minimal supersymmetric model from the standard model contribution in this decay. The $Br(c \rightarrow u \gamma)$ can be enhanced up to $1.2 \cdot 10^{-5}$ (2.41) in some versions of the nonminimal supersymmetric model (by adding a pair of additional Higgs doublets to the minimal model for example [59]). This corresponds to $c_7^{eff} \leq 0.14$ (2.42) and the short distance part of $Br(B_c \rightarrow B_u^* \gamma)$ could be as large as $4 \cdot 10^{-6}$, which would be clearly observable when the experiments reach the corresponding sensitivity.

The effect of the fourth generation on the $c \rightarrow u \gamma$ rate was discussed in Section 2.2.4. The heavy \hat{b} quark could enhance the coefficient c_7^{eff} up to $2.8 \cdot 10^{-2}$ and the short distance part of the $Br(B_c \rightarrow B_u^* \gamma)$ decay could be as large as $3 \cdot 10^{-7}$. This could be distinguished from the standard model contribution, which is an order of magnitude smaller.

The effects of the extended Higgs sector and that of the left-right symmetry were stud-

ied in Sections 2.2.1 and 2.2.4, respectively, and have been shown to give the negligible contributions to the $c \rightarrow u\gamma$ decay.

Experimental status

Needless to say that the observation of the unique $B_c \rightarrow B_u^*\gamma$ channel for probing the flavour changing neutral transition $c \rightarrow u\gamma$ is experimentally very challenging. The B_c meson has recently been observed by the CDF collaboration [78] and only a handful of B_c mesons have been detected by now. Apart from the aspect described in this work, the B_c meson is an interesting state as the charm quark and beauty quark weak decay channels are of comparable importance in B_c meson decays. The standard model predictions for various channels have been extensively studied in the literature and are expected to be searched for at the Tevatron, B-factories and Large Hadron Collider. The B_c production at various experimental facilities have been studied in [82]. The Tevatron and B factories will not produce enough of B_c to make the $B_c \rightarrow B_u^*\gamma$ decay observable. The Large Hadron Collider is expected to produce $2.1 \cdot 10^8$ B_c mesons with $p_T(B_c) > 20$ GeV at the integrated luminosity 100 fb^{-1} . By searching for the decay channel $B_c \rightarrow B_u^*\gamma$ at The Large Hadron Collider one could probe any enhancement of the $c \rightarrow u\gamma$ rate arising from new physics.

Chapter 5

Weak decays of charmed mesons

The approximate symmetries of quantum chromodynamics in the infinite quark mass limit for the heavy quarks ($Q = c, b, \quad m_Q \rightarrow \infty$) and in the chiral limit for the light quarks ($q = u, d, s, \quad m_q \rightarrow 0$) can be used together to build up an effective chiral Lagrangian for heavy and light mesons. This Lagrangian describes the strong interaction among the effective meson fields and their couplings to electromagnetic and weak currents together with the relevant symmetry breaking terms. In Section 1 the heavy quark and the chiral symmetries are introduced and combined in the heavy chiral Lagrangian [41]. The light vector mesons are incorporated using the hidden symmetry approach [83]. A specific model, the so called *hybrid model*, for the weak currents, the shapes of the form factors and the $SU(3)$ flavour breaking is proposed [24, 34, 42, 43]. In Section 2 the relevant free parameters of the effective theory are determined and the model is applied to the semileptonic decays [42]. In Section 3 the two-body nonleptonic exclusive charm meson decays are studied [43]. The understanding of nonleptonic decays is necessary in order to develop a model for the long distance contributions to the rare charm meson decays. In the last two sections the hybrid model is adapted for rare charm meson decays, which are interesting for probing the flavour changing neutral currents. The $D \rightarrow V\gamma$ [24, 25] and $D \rightarrow Vl^+l^-$ [34] decays are studied in Section 4, while $D \rightarrow Pl^+l^-$ decays are studied in Section 5 (P and V denote light pseudoscalar and vector mesons and l denotes a charged lepton).

5.1 Heavy meson chiral Lagrangian for light and heavy pseudoscalar and vector mesons

5.1.1 Heavy quark symmetry and heavy mesons

The heavy quark symmetry was introduced in [39, 84] and extensively studied afterwards. A good review with many applications and relevant references is given in [40].

The heavy quark symmetry applies to a heavy meson, moving with velocity v , composed of a heavy quark Q and the light degrees of freedom. The heavy quark inside the meson moves essentially with the velocity v^μ and is almost on-shell. Its momentum can be decomposed as $p_Q = m_Q v^\mu + k^\mu$, where k is much smaller than $m_Q v$. Interactions of the heavy quark

with the light degrees of freedom change this residual momentum by an amount of the order of $\Delta k \sim \Lambda_{QCD}$ and the corresponding changes in the heavy quark velocity vanish as $\Lambda_{QCD}/m_Q \rightarrow 0$. For this physical system it is suitable to project out the “large” h_v and “small” H_v components of the heavy quark field Q [40]

$$h_v(x) \equiv \exp(im_Q v \cdot x) \frac{1}{2}(1 + \not{v})Q(x) , \quad H_v(x) \equiv \exp(im_Q v \cdot x) \frac{1}{2}(1 - \not{v})Q(x) , \quad (5.1)$$

so that the “small” component H_v is equal to zero if the heavy quark moves exactly with the meson’s velocity v and

$$Q(x) = \exp(-im_Q v \cdot x)[h_v(x) + H_v(x)] . \quad (5.2)$$

In terms of these fields, the heavy quark part of the Lagrangian is given by [40]

$$\mathcal{L}_Q = Q(i\not{D} - m_Q)Q = \bar{h}_v i v \cdot D h_v - \bar{H}_v (i v \cdot D + 2m_Q) H_v + \bar{h}_v i \not{D}_\perp H_v + \bar{H}_v i \not{D}_\perp h_v \quad (5.3)$$

with $D^\mu = \partial^\mu - \frac{1}{2}ig_s\lambda_a G_\mu^a$ and $D_\perp^\mu = D^\mu - v^\mu v \cdot D$. Since the main x -dependence of the field $h_v(x)$ (5.1) has been factored out, the field h_v corresponds to the massless degrees of freedom (5.3), whereas H_v corresponds to fluctuations with twice the heavy quark mass (5.3). The heavy degrees of freedom, represented by H_v , can be eliminated on the classical level by inserting the expression (5.2) to the Dirac equation of motion $(i\not{D} - m_Q)Q = 0$

$$i\not{D}h_v + (i\not{D} - 2m_Q)H_v = 0 . \quad (5.4)$$

Multiplying this by P_\pm , two equations are obtained

$$-iv \cdot D h_v = i\not{D}_\perp H_v , \quad (iv \cdot D + 2m_Q)H_v = i\not{D}_\perp h_v .$$

The second can be solved to give the small component in terms of the large component

$$H_v = (iv \cdot D + 2m_Q - i\epsilon)^{-1} i\not{D}_\perp h_v .$$

The small component, which is of the order of $1/m_Q$ is inserted back to the Dirac equation (5.4) and the equation of motion for h_v is obtained. By the variational principle this equation of motion follows from the Lagrangian of the heavy quark effective theory (HQET)

$$\begin{aligned} \mathcal{L}_{eff} &= \bar{h}_v i v \cdot D h_v + \bar{H}_v i \not{D}_\perp (iv \cdot D + 2m_Q - i\epsilon)^{-1} i\not{D}_\perp h_v \\ &= \bar{h}_v i v \cdot D h_v + \frac{1}{2m_Q} h_v (i\not{D}_\perp)^2 h_v + \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v + \mathcal{O}(1/m_Q^2) . \end{aligned} \quad (5.5)$$

The second expression is derived from the first one by expanding in D/m_Q , which converges since the phase $\exp(im_Q v \cdot x)$ has been factored out in (5.1). Derivatives acting on h_v produce powers of the residual momenta k , which is much smaller than m_Q .

In the $m_Q \rightarrow \infty$ limit only the first term $\mathcal{L}_{eff}^0 = \bar{h}_v i v \cdot D h_v$ in the Lagrangian (5.5) remains. The strong interaction with the light degrees of freedom do not alter the velocity of the heavy quark in this limit. Since there are no Dirac matrices in \mathcal{L}_{eff}^0 , the interactions with gluons do not have effect on the heavy quark spin and the Lagrangian \mathcal{L}_{eff}^0 is invariant under

the $SU(2)$ spin transformations. The Lagrangian \mathcal{L}_{eff}^0 does not depend on the mass of the heavy quark m_Q . The combined spin-flavour symmetry under the $SU(2N_h)$ transformations at the leading $(\Delta k/m_Q)^0$ order of the theory with N_f heavy flavours is called **the heavy quark symmetry**. This symmetry is lost in at the order $\Delta k/m_Q$. The most successful application of the heavy quark symmetry is to $B \rightarrow D^* l^- \bar{\nu}_l$ and $B \rightarrow D l^- \bar{\nu}_l$ decays, where it relates the form factors in the kinematic region where the b and c quark have equal velocities [39, 40, 84]. In this work, the heavy quark symmetry will be employed to study the decays of the heavy mesons to the light mesons, where its implications are not so powerful.

Now we need to choose the suitable fields to build the effective field theory for the heavy mesons, which is based on the heavy quark symmetry. We consider the ground state pseudoscalar and vector heavy mesons containing a given heavy quark Q . The light degrees of freedom carry the spin $1/2$. The pseudoscalar and vector meson differ in the direction of the heavy quark spin. The rotations of the heavy quark spin present the symmetry of the strong Lagrangian in the limit $m_Q \rightarrow \infty$ and pseudoscalar and vector mesons have the same mass at this order. They are both dynamical at the same energy scale and they have to be introduced in an effective theory together. The terms containing the pseudoscalar and vector fields should be related by the heavy quark symmetry. It is convenient to gather the pseudoscalar field and a vector meson field in a common field $H(x)$ in such a way that the spin symmetry is rendered automatically. For this purpose we study the Lorentz structure of an object composed of a heavy quark with spin $|\vec{s}_Q| = 1/2$ and the light degrees of freedom with spin $|\vec{s}_l| = 1/2$. An important implication of the heavy quark spin symmetry is that the spin of the heavy quark \vec{s}_Q is conserved during the free propagation of the meson. Since the spin of the meson is conserved, the spin of the light degrees of freedom \vec{s}_l must be conserved as well. The Lorentz structure of this composed object can be represented by the bi-spinor $u_Q(v)\bar{\nu}_l(v)$. Under the heavy quark spin rotation the bi-spinor transforms as

$$u_Q(v)\bar{\nu}_l(v) \rightarrow (Su_Q(v))\bar{\nu}_l(v) , \quad S \in SU(2) .$$

The bi-spinor “0⁻” for a pseudoscalar meson in its rest frame $v = (1, \vec{0})$ is given by¹

$$\frac{1}{\sqrt{2}}[u(\uparrow)\bar{\nu}(\downarrow)+u(\downarrow)\bar{\nu}(\uparrow)] = -\frac{1}{\sqrt{2}} \begin{pmatrix} 0 & I \\ 0 & 0 \end{pmatrix} = -\frac{1}{\sqrt{2}}\frac{1}{2}(1+\gamma^0)\gamma_5 , \quad \text{so} \quad 0^-(\vec{v}=0) \sim -\frac{1}{\sqrt{2}}\frac{1}{2}(1+\gamma^0)\gamma_5 ,$$

where \uparrow and \downarrow denote the third spin components of the heavy quark and the light degrees of freedom, respectively. The bi-spinor “1⁻” for the vector meson is

$$u(\uparrow)\bar{\nu}(\uparrow) = -\frac{1}{2} \begin{pmatrix} 0 & \sigma_1 + i\sigma_2 \\ 0 & 0 \end{pmatrix} , \quad u(\downarrow)\bar{\nu}(\downarrow) = -\frac{1}{2} \begin{pmatrix} 0 & \sigma_1 - i\sigma_2 \\ 0 & 0 \end{pmatrix} ,$$

$$\frac{1}{\sqrt{2}}[u(\uparrow)\bar{\nu}(\downarrow)-u(\downarrow)\bar{\nu}(\uparrow)] = -\frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \sigma_3 \\ 0 & 0 \end{pmatrix} , \quad \text{so} \quad 1^-(\vec{v}=0, \epsilon) \sim \frac{1}{\sqrt{2}}\frac{1}{2}(1+\gamma^0)\not{\epsilon}$$

with $\epsilon_{\pm}^{\mu} = \frac{1}{\sqrt{2}}(0, 1, \pm i, 0)$ and $\epsilon_3 = (0, 0, 0, 1)$. For a general velocity v this is easily generalized to

$$0^-(v) \sim -\frac{1}{\sqrt{2}}\frac{1}{2}(1+\not{v})\gamma_5 , \quad 1^-(v, \epsilon) \sim \frac{1}{\sqrt{2}}\frac{1}{2}(1+\not{v})\not{\epsilon} .$$

¹The Dirac representation of the γ^{μ} matrices is used as in [40].

We gather the pseudoscalar and vector fields together with their bi-spinor Lorentz structure in the field $H(x)$. In the case of charm mesons, which are of interest in this chapter, the basic field of the effective Lagrangian is [40, 41]

$$H_a(x) = \frac{1}{2}(1 + \not{v})[-D_a^v(x) \gamma_5 + D_{a\mu}^{*v}(x) \gamma^\mu] \quad (5.6)$$

with $v^\mu D_\mu^{*v} = 0$. The fields D_a^v and $D_{a\mu}^{*v}$ annihilate the pseudoscalar and vector mesons with flavour $c\bar{q}_a$, respectively, and $q_1 = u$, $q_2 = d$, $q_3 = s$. The fields $D^v(x)$ and $D^{*v}(x)$ are defined so that the free heavy meson Lagrangian, given in (5.8) below, is independent on the heavy meson mass in the limit $m_H \rightarrow \infty$

$$D^v(x) = \sqrt{m_H} \exp(im_H v \cdot x) D(x) , \quad D_\mu^{*v}(x) = \sqrt{m_H} \exp(im_H v \cdot x) D_\mu^*(x) . \quad (5.7)$$

The fields D^v and D^{*v} have mass dimension 3/2. The main x -dependence in the field $H(x)$ is factored out and the terms with derivatives on $H(x)$ are suppressed by $\Delta k/m_H$, where $k = p_H - m_H v$ denotes the residual momentum. In the lowest order in $\Delta k/m_H$, the free heavy meson Lagrangian is given by the expression invariant under the Lorentz, heavy quark spin and flavour symmetry and with the lowest number of the derivatives

$$\mathcal{L}_H = iTr[H_a v_\mu \partial^\mu \bar{H}_a] , \quad (5.8)$$

where the trace is taken in the 4×4 space of Dirac indices and the heavy meson creation operators are contained in

$$\bar{H}_a = \gamma^0 H_a^\dagger \gamma^0 = [D_a^{v\dagger}(x) \gamma_5 + D_{a\mu}^{*v\dagger}(x) \gamma^\mu] \frac{1}{2}(1 + \not{v}) . \quad (5.9)$$

The Lagrangian (5.8) is invariant under the heavy quark spin transformation

$$H_a \rightarrow S H_a \quad \text{and} \quad \bar{H}_a \rightarrow \bar{H}_a S^\dagger , \quad S \in SU(2)$$

since $SS^\dagger = I$ and $Tr[AB] = Tr[BA]$. It is also invariant under the heavy quark flavour transformation $c \rightarrow b$ as it does not depend on the heavy meson mass. When we express the Lagrangian \mathcal{L}_H (5.8) in terms of the fields D^v and D^{*v} , we get nothing but the free heavy meson Lagrangian in the lowest order in $\Delta k/m_H$ [85]

$$\begin{aligned} \mathcal{L}_H &= \partial^\mu D \partial_\mu D^\dagger - m_H^2 D D^\dagger - \frac{1}{2}(\partial_\mu D_\nu^* - \partial_\nu D_\mu^*)(\partial^\mu D^{*\dagger\nu} - \partial^\nu D^{*\dagger\mu}) - m_H^2 D_\mu^* D^{*\dagger\mu} \\ &= -2iD^v v_\mu \partial^\mu D^{v\dagger} + 2iD_\nu^{v*} v_\mu \partial^\mu D^{v* \dagger \nu} + \mathcal{O}(1/m_H) . \end{aligned}$$

This result is expected even if we do not work with the field $H(x)$. The full power of the formalism with the effective field $H(x)$ becomes apparent when the interactions of the heavy mesons are studied.

5.1.2 Chiral symmetry and light pseudoscalar mesons

In the limit of massless light quarks u , d and s , the quantum chromodynamics is described in terms of the Lagrangian

$$\mathcal{L}_{QCD} = \bar{q} i \not{D} q - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu a} + \mathcal{L}_{quarks}^{heavy} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu a} + \mathcal{L}_{quarks}^{heavy}$$

with

$$q = (u, d, s)^T, \quad q_L = \frac{1}{2}(1 - \gamma_5)q, \quad q_R = \frac{1}{2}(1 + \gamma_5)q$$

and is invariant under the global transformation

$$SU(3)_L \times SU(3)_R \times U(1)_V.$$

The absence of the parity doublets in the hadron spectrum indicates that the chiral symmetry $G = SU(3)_L \times SU(3)_R$ is spontaneously broken to a vector subgroup $H = SU(3)_V$. The eight pseudoscalar particles are identified with the Goldstone bosons corresponding to the eight broken generators A_i of the coset space G/H . The general element of the coset space G/H can be expressed in terms of the generators A_i and the parameters a_i as $\exp(ia_i A_i)$ or equivalently as

$$\xi(x) = e^{i\Pi(x)/f} \quad \text{with} \quad \Pi = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\frac{2\eta_8}{\sqrt{6}} \end{pmatrix}. \quad (5.10)$$

The value of parameter f will be given when the weak interactions are discussed. The element of coset space (5.10) transforms under the chiral symmetry as [86]²

$$\xi(x) \rightarrow g_L \xi(x) U^\dagger(x) = U(x) \xi(x) g_R^\dagger, \quad U(x) \in SU(3)_V, \quad g_{L,R} \in SU(3)_{L,R}. \quad (5.11)$$

The space time dependent matrix $U(x) \in SU(3)$ is defined by the equation $g_L \xi(x) U^\dagger(x) = U(x) \xi(x) g_R^\dagger$ above and is a complicated non-linear function of g_L , g_R and the coset field $\xi(x)$. For the case of the chiral transformation in the subgroup $SU(3)_V$, $U = g_L = g_R$. The chiral transformation on ξ (5.11) implies that the field $\Sigma = \xi^2$ transforms linearly

$$\Sigma(x) \rightarrow g_L \Sigma(x) g_R^\dagger, \quad \Sigma(x) = \xi(x)^2. \quad (5.12)$$

The chiral perturbation theory [87] exploits the spontaneous breaking of the chiral symmetry in order to describe the interactions of the low energy pseudoscalar mesons. It is an effective field theory and employs the most general Lagrangian, expressed in terms of mesonic fields contained in $\Sigma(x)$, invariant under the symmetries of QCD: Lorentz and chiral symmetry, parity, charge conjugation and time reversal. The infinite number of terms consistent with this condition can be grouped according to the number of the derivatives.

²A general group element $g \in G$ can be uniquely decomposed into the product $g = e^{ia_i A_i} e^{iv_i V_i}$ ($i = 1, \dots, 8$), where a_i and v_i are the parameters and A_i and V_i are the generators of G/H and H , respectively [86]. An element $g_L \in G$ can be decomposed to

$$g_L e^{ia_i A_i} = e^{ia'_i A_i} e^{iv'_i V_i} \quad \text{or} \quad e^{ia'_i A_i} = g_L e^{ia_i A_i} e^{-iv'_i V_i}.$$

Under parity $g_L \rightarrow g_R$, $A_i \rightarrow -A_i$ and $V_i \rightarrow V_i$ so $e^{-ia'_i A_i} = g_R e^{-ia_i A_i} e^{-iv'_i V_i}$ or [86]

$$e^{ia'_i A_i} = g_L e^{ia_i A_i} e^{-iv'_i V_i} = e^{iv'_i V_i} e^{ia_i A_i} g_R^\dagger,$$

which is equivalent to the chiral transformation (5.11) via the correspondence $\xi = e^{ia_i A_i} \in G/H$ and $U = e^{iv'_i V_i} \in H$.

The terms with derivatives on the pseudoscalar fields are suppressed by the powers of E/Λ_χ when the low energy pseudoscalars with the energy E are involved. The chiral-symmetry breaking scale Λ_χ is evaluated to be of the order of 1 GeV [87]. The interactions of light pseudoscalars in the lowest order $\mathcal{O}(E^2)$ are given by [87]³

$$\mathcal{L} = \frac{1}{8}f^2 \text{tr}[\partial^\mu \Sigma \partial_\mu \Sigma^\dagger] + \mathcal{O}(E^4) , \quad (5.13)$$

where tr denotes a trace in a three dimensional flavour space and the constant $f^2/8$ has been chosen as to get a canonical kinetic term for the mesonic fields.

Chiral symmetry is not exact symmetry of QCD. It is explicitly broken by the quark mass term

$$\mathcal{L}_M = -\bar{q}\hat{m}q \quad \text{with} \quad q = \begin{pmatrix} u \\ d \\ s \end{pmatrix} , \quad \hat{m} = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_d & 0 \\ 0 & 0 & m_s \end{pmatrix} , \quad (5.14)$$

which transforms as $(\bar{3}_L, 3_R) \oplus (3_L, \bar{3}_R)$ under the chiral transformation. At the first order of the quark masses, this breaking is taken into account by adding a term transforming exactly in the same way [87]

$$\mathcal{L}_M^{\text{breaking}} = \lambda_0 \text{tr}[\hat{m}\Sigma + \Sigma^\dagger \hat{m}] . \quad (5.15)$$

This term introduces the masses of the Goldstone bosons and indicates that quark masses are of the order of $\mathcal{O}(E^2)$.

The η and η' mesons

The octet of the pseudoscalar Goldstone fields incorporates the pions, kaons and the flavor octet state η_8 (5.10). The physical meson η is composed mostly of the flavour octet state η_8 with a small admixture of the singlet state η_0 . The physical meson η' is mostly the flavour singlet state η_0 with a small admixture of the octet state η_8 . The singlet state η_0 is not the Goldstone boson since $U(1)_A$ is not the symmetry at the quantum level. The properties of the meson η' are quite sensitive on the way η_0 state is incorporated in to the theory and I will not consider the processes with an external η' meson in this work. The presence of η' as the intermediate state can sometimes not be avoided and I will include it where necessary⁴. The properties of the meson η are rather insensitive on the way η_0 state is incorporated in to the theory and I will consider the processes with an external η meson. I use a simple scheme of $\eta - \eta'$ mixing given by [3, 87, 88]

$$\eta_8 = \cos \theta_P \eta + \sin \theta_P \eta' , \quad \eta_0 = -\sin \theta_P \eta + \cos \theta_P \eta' \quad \text{with} \quad \theta_P = (-20 \pm 5)^\circ . \quad (5.16)$$

³There are no nontrivial terms of the order of $\mathcal{O}(E^0)$ and $\mathcal{O}(E)$ invariant under the chiral symmetry.

⁴In this work η' meson is present only in the diagrams for the decays $D^0 \rightarrow \rho^0 \gamma$ and $D^0 \rightarrow \omega \gamma$ on Fig. 5.9b.

The flavour singlet state η_0 is incorporated in the matrix Π (5.10) as if $U(1)_A$ was not anomalous

$$\begin{aligned}\Pi &= \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & K^0 \\ K^- & \bar{K}^0 & -\frac{2\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} \end{pmatrix} \\ &= \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + K_\eta^d \eta + K_{\eta'}^d \eta' & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + K_\eta^d \eta + K_{\eta'}^d \eta' & K^0 \\ K^- & \bar{K}^0 & K_\eta^s \eta + K_{\eta'}^s \eta' \end{pmatrix}\end{aligned}$$

and the coefficients $K_{\eta, \eta'}^{d,s}$ are functions of the $\eta - \eta'$ mixing angle θ_P

$$\begin{aligned}K_\eta^d &= \frac{\cos \theta_P}{\sqrt{6}} - \frac{\sin \theta_P}{\sqrt{3}}, & K_\eta^s &= -\frac{2 \cos \theta_P}{\sqrt{6}} - \frac{\sin \theta_P}{\sqrt{3}}, \\ K_{\eta'}^d &= \frac{\sin \theta_P}{\sqrt{6}} + \frac{\cos \theta_P}{\sqrt{3}}, & K_{\eta'}^s &= -\frac{2 \sin \theta_P}{\sqrt{6}} + \frac{\cos \theta_P}{\sqrt{3}}.\end{aligned}\tag{5.17}$$

5.1.3 Strong interactions of heavy mesons and light pseudoscalars

The strong interactions of heavy and light mesons in the limit $m_Q \rightarrow \infty$ and $m_q \rightarrow 0$ can be studied using the effective field theory combining heavy quark and chiral symmetry. The strong interactions are given by the most general Lagrangian invariant under the heavy quark, chiral, Lorentz, C, P and T transformations. In the kinematical region, where the energies of the light pseudoscalars are small and the velocity of the heavy quark remains practically the same, the terms are grouped according to the number of derivatives on the heavy and light meson fields. Only the lowest orders in the chiral E/Λ_χ expansion and the heavy quark $\Delta k/m_H$ expansion are important in this kinematical region. The heavy meson chiral Lagrangians were introduced in [41, 89, 90] and the more recent review on their applications is given in [81]. The applications to charm and beauty meson decays have different aspects of advantages and drawbacks. In the case of the beauty meson decays, the expansion in $\Delta k/m_B$ converges quickly. The chiral expansion can be however problematic in the case when beauty meson is decaying only to the light particles. The light pseudoscalars can be rather energetic in this case and the kinematical region, where chiral expansion is meaningful, may not exist. In charm meson decays, the kinematical regions with a good chiral expansion cover a bigger fraction of the phase space. The heavy quark expansion in the powers of $\Delta k/m_D$ does not converge so quickly, however.

In order to write the Lagrangian invariant under the heavy quark and chiral transformations, we must establish how the field H^a (5.6) transforms under the chiral transformation and how the field $\xi(x)$ (5.10) transforms under the heavy quark transformation. The heavy quark transformation does not have any effect on the field ξ as this field contains only the light degrees of freedom. The heavy meson field with flavour $Q\bar{q}_a$ transforms according to the representation $\bar{3}$ under the unbroken $SU(3)_V$ group. It is suitable to define the heavy meson field H_a (5.6) as a singlet under the transformations of the coset space G/H . In this

case it transforms under the chiral transformation according to representation $\bar{3}$ of $SU(3)_V$ [41, 92]⁵

$$H_a \rightarrow H_b U_{ba}^\dagger(x) . \quad (5.18)$$

The strong interactions of the heavy and light mesons are given by the most general Lagrangian invariant under the Lorentz, parity, heavy quark and chiral symmetries. The transformation properties of the fields are gathered in Appendix E. For the reasons of the predictability I keep only the lowest orders on the chiral and the heavy quark expansions. The lowest order interaction terms of the heavy and light degrees of freedom are given at the order E/Λ_χ and $(\Delta k/m_H)^0$. They are represented by terms with no derivatives on heavy field $H(x)$ and one derivative on light field $\xi(x)$. In addition the interactions of light degrees of freedom are given at the order $(E/\Lambda_\chi)^2$ and the kinetic term for the heavy mesons is given at the order $(\Delta k/m_H)^0$ [41]

$$\mathcal{L} = iTr[H_a v_\mu(\partial^\mu + \mathcal{V}^\mu)\bar{H}_a] + igTr[H_b \gamma_\mu \gamma_5 \mathcal{A}_{ba}^\mu \bar{H}_a] + \frac{1}{8}f^2 tr[\partial^\mu \Sigma \partial_\mu \Sigma^\dagger] . \quad (5.19)$$

The fields \mathcal{V} and \mathcal{A} are defined as

$$\mathcal{A}_\mu = \frac{1}{2}(\xi^\dagger \partial_\mu \xi - \xi \partial_\mu \xi^\dagger) , \quad \mathcal{V}_\mu = \frac{1}{2}(\xi^\dagger \partial_\mu \xi + \xi \partial_\mu \xi^\dagger) \quad (5.20)$$

and their chiral transformations are given by (5.11)

$$\mathcal{A}_\mu \rightarrow U \mathcal{A}_\mu U^\dagger , \quad \mathcal{V}_\mu \rightarrow U \mathcal{V}_\mu U^\dagger + U \partial_\mu U^\dagger . \quad (5.21)$$

The first term is the chiral invariant generalization of the heavy meson free Lagrangian (5.8). It describes the interactions among the heavy mesons and an even number of light pseudoscalars contained in \mathcal{V}_μ . The second term describes the interactions of the heavy mesons with an odd number of light pseudoscalars contained in \mathcal{A}_μ . These interactions are given in terms of a single dimensionless coupling g , which is a free parameter of the effective field theory. Its value can not be determined using the symmetry arguments and will be discussed in Section 5.2.

5.1.4 Hidden symmetry and light vector mesons

The aim of this chapter is to study the decays of charm mesons to the final states that include the light vector mesons. For this purpose the octet of the light vector mesons ρ , ϕ , ω and K^* has to be incorporated into the effective field theory. I am going to follow the idea of the hidden symmetry approach, which was originally introduced to study the interactions of the light pseudoscalar and vector mesons in [83]. The idea was then extended to the interactions among the light and heavy, pseudoscalar and vector mesons in [91]. The subsequent applications are reviewed in [81].

⁵The chiral transformation of H_a could also be defined as $H_a \rightarrow H_b (g_L^\dagger)_{ba}$. In this case $PH_a P^{-1}$ should transform under the chiral transformation as $PH_a P^{-1} \rightarrow PH_b P^{-1} (g_R^\dagger)_{ba}$, which implies an awkward definition of parity $PH_a(\vec{x}, t)P^{-1} = \gamma^0 H_b(-\vec{x}, t) \gamma^0 \Sigma_{ba}(-\vec{x}, t)$. If the chiral transformation is defined as $H_a \rightarrow H_b U_{ba}^\dagger(x)$ (5.18), the parity transformation has simpler form $PH_a(\vec{x}, t)P^{-1} = \gamma^0 H_a(-\vec{x}, t) \gamma^0$ [92].

In the standard model all the elementary vector particles are introduced as the gauge bosons of a local gauge symmetry. The vector mesons are not elementary particles in the quantum chromodynamics, but they can effectively behave as elementary vector particles when they are introduced as the gauge bosons of some local gauge symmetry. For this purpose a new local gauge symmetry must be introduced in a way that it renders the interactions of the light vector fields in a phenomenologically viable way. Successful phenomenological applications are obtained if $SU(3)_V$ is promoted from a global to a local symmetry and the vector mesons are the corresponding gauge bosons [83]. The effective field theory based on the group $G = [SU(3)_L \times SU(3)_R]_{global}$ spontaneously broken to $H = [SU(3)_V]_{global}$ (5.19) is replaced by the effective field theory based on the group $G' = [SU(3)_L \times SU(3)_L]_{global} \times [SU(3)_V]_{local}$ [83]. One is free to fix the gauge of $[SU(3)_V]_{local}$ and the two theories are equivalent until the terms with the derivatives on light vector fields are introduced.

First let us rewrite the Lagrangian (5.19) to a form invariant under the extended group $G' = [SU(3)_L \times SU(3)_R]_{global} \times [SU(3)_V]_{local}$. This is achieved by introducing two new fields $\xi_L, \xi_R \in SU(3)_L \times SU(3)_R$ that transform under G' as

$$\xi_L(x) \rightarrow g_L \xi_L(x) h^\dagger(x), \quad \xi_R(x) \rightarrow g_R \xi_R(x) h^\dagger(x) \quad h(x) \in [SU(3)_V]_{local}, \quad (5.22)$$

so that the field

$$\hat{\Sigma}(x) = \xi_L^\dagger(x) \xi_R(x)$$

transforms under G' just like the field $\Sigma = \xi^2$ transforms under G , namely $\hat{\Sigma} \rightarrow g_L \hat{\Sigma} g_R^\dagger$. The field $\hat{\Sigma}$ is a singlet under the transformation $[SU(3)_V]_{local}$ and so $[SU(3)_V]_{local}$ is called **the hidden symmetry**. The field $H_a \sim Q \bar{q}_a$ transforms under G' according to the representation $\bar{3}$ of $SU(3)_V$

$$H_a \rightarrow H_b h_{ab}^\dagger(x).$$

The Lagrangian analogous to (5.19), but invariant to G' instead to G , is

$$\mathcal{L} = iTr[H_a v_\mu (\partial^\mu + \hat{\mathcal{V}}^\mu) \bar{H}_a] + igTr[H_b \gamma_\mu \gamma_5 \hat{\mathcal{A}}_{ba}^\mu \bar{H}_a] + \frac{1}{8} f^2 tr[\partial^\mu \hat{\Sigma} \partial_\mu \hat{\Sigma}^\dagger] \quad (5.23)$$

with $\hat{\mathcal{V}}$ and $\hat{\mathcal{A}}$ defined as

$$\hat{\mathcal{A}}_\mu = \frac{1}{2} (\xi_L^\dagger \partial_\mu \xi_L - \xi_R \partial_\mu \xi_R^\dagger), \quad \hat{\mathcal{V}}_\mu = \frac{1}{2} (\xi_L^\dagger \partial_\mu \xi_L + \xi_R \partial_\mu \xi_R^\dagger) \quad (5.24)$$

and their transformations under G' are given by (5.22)

$$\hat{\mathcal{A}}_\mu \rightarrow h(x) \hat{\mathcal{A}}_\mu h^\dagger(x), \quad \hat{\mathcal{V}}_\mu \rightarrow h(x) \hat{\mathcal{V}}_\mu h^\dagger(x) + h(x) \partial_\mu h^\dagger(x). \quad (5.25)$$

By fixing the gauge of $h(x) \in [SU(3)_V]_{local}$ so that $\xi_L^\dagger = \xi_R \equiv \xi$ (5.22), the Lagrangian (5.23) based on the group G' is equivalent to the Lagrangian (5.19) based on the group G .

Now we introduce the light vector mesons as the gauge bosons of the local group $[SU(3)_V]_{local}$. They span the octet representation of $SU(3)_V$ and are incorporated in the field ρ

$$\rho_\mu = i \frac{\tilde{g}_V}{\sqrt{2}} \begin{pmatrix} \frac{\rho_\mu^0 + \omega_\mu}{\sqrt{2}} & \rho_\mu^+ & K_\mu^{*+} \\ \rho_\mu^- & \frac{-\rho_\mu^0 + \omega_\mu}{\sqrt{2}} & K_\mu^{*0} \\ K_\mu^{*-} & \bar{K}_\mu^{*0} & \phi_\mu \end{pmatrix} \quad (5.26)$$

with a new free parameter \tilde{g}_V to be discussed later. The field ρ_μ transforms as the gauge field under the gauge group G'

$$\rho_\mu \rightarrow h(x)\rho_\mu h^\dagger(x) + h(x)\partial_\mu h^\dagger(x) \quad h \in [SU(3)_V]_{local} . \quad (5.27)$$

The strong Lagrangian for the heavy and light, pseudoscalar and vector mesons is given by the most general expression invariant under the group G' and under Lorentz, parity and heavy quark transformations. The transformation properties of the fields are gathered in Appendix E. In addition to the terms given in (5.23), the presence of the gauge field ρ_μ allows for new terms. The lowest order interaction terms of the heavy and light degrees of freedom are given at the order E/Λ_χ and $(\Delta k/m_H)^0$. They are represented by terms with no derivatives on heavy fields $H(x)$ and one derivative on light fields $\xi_{L,R}(x)$. The interactions of light degrees of freedom are given at the order $(E/\Lambda_\chi)^2$. In addition, the kinetic term for the light vector mesons and the heavy mesons are kept [83, 91, 81]

$$\begin{aligned} \mathcal{L}_1 = & \frac{1}{8}f^2 \text{tr}[\partial^\mu \hat{\Sigma} \partial_\mu \hat{\Sigma}^\dagger] - a \frac{f^2}{2} \text{tr}[(\hat{\mathcal{V}}_\mu - \rho_\mu)^2] + \frac{1}{2\tilde{g}_V^2} \text{tr}[F_{\mu\nu}(\rho)F^{\mu\nu}(\rho)] \\ & + iTr[H_a v_\mu \{\partial^\mu + \hat{\mathcal{V}}^\mu + \kappa(\hat{\mathcal{V}}^\mu - \rho^\mu)\} \bar{H}_a] + igTr[H_b \gamma_\mu \gamma_5 \hat{\mathcal{A}}_{ba}^\mu \bar{H}_a] + i\beta Tr[H_b v_\mu (\hat{\mathcal{V}}^\mu - \rho^\mu)_{ba} \bar{H}_a] , \end{aligned} \quad (5.28)$$

where $F^{\mu\nu}(\rho) = \partial^\mu \rho^\nu - \partial^\nu \rho^\mu + [\rho^\mu, \rho^\nu]$. The ρ meson field is accompanied by the vector current $\hat{\mathcal{V}}$ so that the combination $\rho - \hat{\mathcal{V}}$ is invariant under the transformation of G' . The current $\hat{\mathcal{V}}$ (5.24) is of the order of $\mathcal{O}(E)$ in the chiral expansion and so the vector meson field ρ is of the order of $\mathcal{O}(E)$ as well [83].

The Lagrangian (5.28) does not incorporate the interactions of two vector mesons and a pseudoscalar meson as these interactions are of the higher order in the chiral and heavy quark expansion. However, these vertices are essential for the dynamics of the decays studied in this chapter⁶ and the lowest order interaction terms of this kind are added to the Lagrangian \mathcal{L}_1 (5.28) [24, 25, 34, 81, 83, 93, 94, 95]

$$\begin{aligned} \mathcal{L} &= \mathcal{L}_1 + \mathcal{L}_2 \\ \mathcal{L}_2 &= -4 \frac{C_{VV\Pi}}{f} \epsilon^{\mu\nu\alpha\beta} \text{tr}[\partial_\mu \rho_\nu \partial_\alpha \rho_\beta \Pi] + i\lambda Tr[H_a \sigma_{\mu\nu} F^{\mu\nu}(\rho)_{ab} \bar{H}_b] . \end{aligned} \quad (5.29)$$

The first term is responsible the interactions of two light vectors and a light pseudoscalar and is of the order of $(E/\Lambda_\chi)^4$ [83, 93, 95]. The second term gives the interaction of a heavy vector, heavy pseudoscalar and light vector mesons and is of the order of $(E/\Lambda_\chi)^2$ [42, 81, 94, 95]. In contrast to the Lagrangian \mathcal{L}_1 (5.28), the Lagrangian \mathcal{L}_2 (5.29) is not invariant under the “naive parity”

$$\mathcal{P}(t, \vec{x}) = (t, -\vec{x}) , \quad H_a(x) \rightarrow H_a(\mathcal{P}x) , \quad \xi_{L,R}(x) \rightarrow \xi_{L,R}(\mathcal{P}x) , \quad \rho^\mu(x) \rightarrow \mathcal{P}^\mu_\nu \rho^\nu(\mathcal{P}x) , \quad (5.30)$$

which is not the symmetry of QCD. The Lagrangian is of course invariant under the parity transformation

$$\mathcal{P}(t, \vec{x}) = (t, -\vec{x}) , \quad H_a(x) \rightarrow \gamma^0 H_a(\mathcal{P}x) \gamma^0 , \quad \xi_{L,R}(x) \rightarrow \xi_{L,R}^\dagger(\mathcal{P}x) , \quad \rho^\mu(x) \rightarrow \mathcal{P}^\mu_\nu \rho^\nu(\mathcal{P}x) . \quad (5.31)$$

⁶These terms are particularly important for the mechanisms $D \rightarrow VV^0 \rightarrow V\gamma$ and $D \rightarrow VV^0 \rightarrow V l^+ l^-$ discussed in Section 5.4.

This kind of terms are called the anomalous terms and were originally introduced by Wess, Zumino and Witten [96, 97] in order to incorporate the processes like $\pi^0 \rightarrow \gamma\gamma$ and $K^+K^- \rightarrow \pi^+\pi^0\pi^-$.

We are free to fix the gauge of the local symmetry $h(x) \in [SU(3)_V]_{local}$ in the Lagrangian \mathcal{L}_1 (5.28) so that $\xi_L^\dagger = \xi_R \equiv \xi$ (5.22) and $h = U$ (5.11). In this gauge, the fields $\hat{\mathcal{A}}, \hat{\mathcal{V}}$ in (5.28) are replaced by \mathcal{A}, \mathcal{V} ⁷ and the complete strong Lagrangian $\mathcal{L} = \mathcal{L}_1 + \mathcal{L}_2$ (5.28, 5.29) is given by

$$\begin{aligned} \mathcal{L} = & -\frac{f^2}{2}\{tr[\mathcal{A}_\mu\mathcal{A}^\mu] + a\ tr[(\mathcal{V}_\mu - \rho_\mu)^2]\} + \frac{1}{2g_V^2}tr[F_{\mu\nu}(\rho)F^{\mu\nu}(\rho)] - 4\frac{C_{VV\Pi}}{f}\epsilon^{\mu\nu\alpha\beta}tr[\partial_\mu\rho_\nu\partial_\alpha\rho_\beta\Pi] \\ & + iTTr[H_av_\mu\{\partial^\mu + \mathcal{V}^\mu + \kappa(\mathcal{V}^\mu - \rho^\mu)\}\bar{H}_a] + igTr[H_b\gamma_\mu\gamma_5\mathcal{A}_{ba}^\mu\bar{H}_a] \\ & + i\beta Tr[H_bv_\mu(\mathcal{V}^\mu - \rho^\mu)_{ba}\bar{H}_a] + i\lambda Tr[H_a\sigma_{\mu\nu}F^{\mu\nu}(\rho)_{ab}\bar{H}_b] . \end{aligned} \quad (5.32)$$

If the terms with the derivatives of the field ρ were not present, the equation of motion for ρ_μ would be $\rho_\mu = \mathcal{V}_\mu$. In this case all the terms of the form $\mathcal{V}^\mu - \rho^\mu$ would vanish and the Lagrangian (5.32) based on the group G' would exactly match the Lagrangian (5.19) based on the group G .

5.1.5 Electromagnetic interactions

We have considered only the strong interactions among the hadronic states up to now. In order to calculate the amplitudes for the processes with real or virtual photons in the final state, the electromagnetic interactions have to be incorporated. First we will establish the electromagnetic gauge transformations of the fields. By imposing the invariance under the local transformations, the interactions of the hadronic fields and the electromagnetic field A_μ will arise⁸.

The transformation of the fields ξ , H and ρ under the chiral transformation is given in (5.11), (5.18) and (5.27), respectively. We have to determine the chiral transformations g_L , g_R , and U for the particular case of the electromagnetic gauge transformation. These are determined by considering electromagnetic gauge transformations of the quark field q

$$q \rightarrow e^{ie_0\mathcal{Q}\alpha(x)}q \quad \text{with} \quad \mathcal{Q} = \begin{pmatrix} 2/3 & 0 & 0 \\ 0 & -1/3 & 0 \\ 0 & 0 & -1/3 \end{pmatrix}, \quad q = \begin{pmatrix} u \\ d \\ s \end{pmatrix}.$$

The g_L and g_R are defined as the chiral transformations of the quark fields $q_{L,R} \rightarrow g_{L,R} q_{L,R}^\dagger$, while $U(x)$ is defined by the equation $g_L\xi U^\dagger = U\xi g_R$ (5.11). For the case of the electromagnetic local gauge transformation they read

$$g_L(x) = g_R(x) = U(x) \equiv g_0(x) = e^{ie_0\mathcal{Q}\alpha(x)} \quad \text{with} \quad \mathcal{Q} = \begin{pmatrix} 2/3 & 0 & 0 \\ 0 & -1/3 & 0 \\ 0 & 0 & -1/3 \end{pmatrix}. \quad (5.33)$$

⁷The relation $\frac{1}{8}f^2tr[\partial^\mu\hat{\Sigma}\partial_\mu\hat{\Sigma}^\dagger] = -\frac{f^2}{2}tr[\hat{\mathcal{A}}_\mu\hat{\mathcal{A}}^\mu]$ is used.

⁸Note the difference in the notation of the electromagnetic field A_μ and the field \mathcal{A}_μ (5.20) containing the pseudoscalar mesons.

The local electromagnetic transformations of the basic hadronic fields and the photon field A_μ are then given as

$$\begin{aligned}\xi &\rightarrow g_0(x)\xi g_0^\dagger(x) , & \xi^\dagger &\rightarrow g_0(x)\xi^\dagger g_0^\dagger(x) , \\ H_a &\rightarrow e^{ie_0\mathcal{Q}'\alpha(x)}H_b g_{0ba}^\dagger(x) , & \bar{H}_a &\rightarrow g_{0ab}(x)\bar{H}_b e^{-ie_0\mathcal{Q}'\alpha(x)} , \\ \rho_\mu &\rightarrow g_0(x)\rho_\mu g_0^\dagger(x) + g_0(x)\partial_\mu g_0^\dagger(x) , & A_\mu &\rightarrow g_0(x)A_\mu g_0^\dagger(x) + g_0(x)\partial_\mu g_0^\dagger(x) ,\end{aligned}\quad (5.34)$$

where \mathcal{Q}' is the charge of the heavy quark, i.e. $\mathcal{Q}' = 2/3$ for c quark. The transformation of the field $H_a \sim Q\bar{q}_a$ involves g_0 for the light antiquark and $\exp(\pm ie_0\mathcal{Q}'\alpha)$ for the heavy quark.

The interactions of the hadronic degrees of freedom and the electromagnetic field A_μ are obtained by imposing the invariance under the local gauge transformations (5.34). This is achieved by replacing the partial derivatives $\partial^\mu\xi$ and $\partial^\mu H_a$ by the covariant derivatives D^μ in the following way

$$D_\mu\xi = (\partial_\mu + ie_0\mathcal{Q}A_\mu)\xi , \quad D_\mu\xi^\dagger = (\partial_\mu + ie_0\mathcal{Q}A_\mu)\xi^\dagger , \quad D_\mu\bar{H}^a = (\partial_\mu - ie_0\mathcal{Q}'A_\mu)\bar{H}^a . \quad (5.35)$$

The heavy chiral Lagrangian for strong and electromagnetic interactions is given in terms of

$$\mathcal{A}_\mu^D = \frac{1}{2}(\xi^\dagger D_\mu\xi - \xi D_\mu\xi^\dagger) , \quad \mathcal{V}_\mu^D = \frac{1}{2}(\xi^\dagger D_\mu\xi + \xi D_\mu\xi^\dagger) \quad (5.36)$$

as [24, 34, 25, 95]

$$\begin{aligned}\mathcal{L} &= \mathcal{L}^{light} + \mathcal{L}^{heavy} \\ \mathcal{L}^{light} &= -\frac{f^2}{2}\{tr[\mathcal{A}_\mu^D\mathcal{A}^{D\mu}] + a\ tr[(\mathcal{V}_\mu^D - \rho_\mu)^2]\} \\ &\quad + \frac{1}{2\tilde{g}_V^2}\ tr[F_{\mu\nu}(\rho)F^{\mu\nu}(\rho)] - 4\frac{C_{VV\Pi}}{f}\ \epsilon^{\mu\nu\alpha\beta}\ tr[\partial_\mu\rho_\nu\partial_\alpha\rho_\beta\Pi] \\ \mathcal{L}^{heavy} &= iTTr[H_av^\mu\{\partial_\mu - ie_0Q'A_\mu^D + \mathcal{V}_\mu^D + \kappa(\mathcal{V}_\mu^D - \rho_\mu)\}\bar{H}_a] + igTr[H_b\gamma_\mu\gamma_5\mathcal{A}_{ba}^{D\mu}\bar{H}_a] \\ &\quad + i\beta Tr[H_bv^\mu(\mathcal{V}_\mu^D - \rho_\mu)_{ba}\bar{H}_a] + i\lambda Tr[H_a\sigma_{\mu\nu}F^{\mu\nu}(\rho)_{ab}\bar{H}_b] - \lambda'\ Tr[H_a\sigma_{\mu\nu}F^{\mu\nu}(A)\bar{H}_a]\end{aligned}\quad (5.37)$$

with $F^{\mu\nu}(A) = \partial^\mu A^\nu - \partial^\nu A^\mu$. The last term proportional to the parameter λ' does not appear as a result of the covariant derivative and is gauge invariant by itself. It is introduced in order to incorporate the interactions of the heavy pseudoscalar, the heavy vector and the photon, which are important for the dynamics of the radiative meson decays studied in this chapter. This term is of the order of $(E/\Lambda_\chi)^2$ in the chiral expansion and is of the anomalous kind just like the interaction terms for two vectors and a pseudoscalar in (5.29).

The values of the free parameters of the Lagrangian (5.37) will be discussed in the next section. At this point I discuss only the value of the parameter a , which appears in the second term of \mathcal{L}^{light} . It is fixed by the vector meson dominance hypothesis [65, 83], which assumes that there are no direct vertices between the photon and the two pseudoscalars. The pseudoscalars interact with the photon only through the vector mesons, which is achieved by fixing

$$a = 2 . \quad (5.38)$$

5.1.6 The weak currents

Finally we have to incorporate the weak interactions of the hadrons. The weak charm meson decays of interest are induced by the effective nonleptonic weak Lagrangian (3.22)

$$\mathcal{L}_{eff}^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} [a_1 \bar{u}\gamma^\mu(1-\gamma_5)q_i \bar{q}_j\gamma_\mu(1-\gamma_5)c + a_2 \bar{q}_j\gamma_\mu(1-\gamma_5)q_i \bar{u}\gamma^\mu(1-\gamma_5)c] \quad \text{with } q_{i,j} = d, s$$

extensively discussed in Section 3.3. It incorporates W exchange between four quarks and the hard gluon exchanges. It is expressed in terms of the colour singlet weak currents and has a suitable form to study the weak interactions among the colour singlet mesonic states. I study the light-to-light $\bar{q}_a\gamma^\mu(1-\gamma_5)q_b$ and heavy-to-light $\bar{q}_a\gamma^\mu(1-\gamma_5)c$ currents separately.

Weak current for light quarks

The weak current $\bar{q}_a\gamma^\mu(1-\gamma_5)q_b$ is incorporated along the similar line as the electromagnetic interaction of the light quarks was incorporated in the previous section (although the notion of the currents instead of the covariant derivatives will be used here).

We have to determine the transformations g_L , g_R and U for the weak transformation with the corresponding Noether current

$$(J_W^{light})_{ba}^\mu = \bar{q}_a\gamma^\mu(1-\gamma_5)q_b = 2\bar{q}_L\hat{T}_{ab}\gamma^\mu q_L, \quad q = (u, d, s)^T.$$

Here \hat{T}^{ab} denotes a 3×3 matrix with the entry 1 in the a -th row and the b -th column and other entries equal to zero. The gauge transformation responsible for this current is

$$q_L \rightarrow e^{i\hat{T}^{ab}\beta(x)} q_L, \quad q_R \rightarrow q_R,$$

so the corresponding chiral transformations g_L and g_R for the weak transformations read

$$g_L = e^{i\hat{T}^{ab}\beta(x)}, \quad g_R = I. \quad (5.39)$$

The transformation $U(x)$ is defined by the equation $\exp(i\hat{T}^{ab}\beta) \xi U^\dagger = U \xi$ (5.11) and does not need be explicitly calculated as the Lagrangian (5.37) is already invariant under a general $U(x)$. By applying the weak transformation (5.39) to the fields

$$\begin{aligned} \xi &\rightarrow e^{i\hat{T}^{ab}\beta(x)} \xi U(x)^\dagger = U(x) \xi, & \xi^\dagger &\rightarrow U(x) \xi^\dagger e^{-i\hat{T}^{ab}\beta(x)} = U(x) \xi, \\ \rho_\mu &\rightarrow U(x) \rho_\mu U^\dagger(x) + U(x) \partial_\mu U^\dagger(x) \end{aligned} \quad (5.40)$$

in \mathcal{L}^{light} (5.37)⁹, the weak current $(J_W^{light})_{ba}$ is defined via

$$\mathcal{L}^{light} \rightarrow \mathcal{L}^{light} - \frac{1}{2} (J_W^{light})_{ba}^\mu \partial_\mu \beta,$$

so

$$\bar{q}_a\gamma^\mu(1-\gamma_5)q_b \simeq (J_W^{light})_{ba}^\mu \simeq (if^2\xi[\mathcal{A}^{D\mu} + a(\mathcal{V}^D - \rho^D)^\mu]\xi^\dagger)_{ba}. \quad (5.41)$$

⁹The transformation (5.40) on \mathcal{L}^{heavy} renders the effective weak current $\bar{q}_a\gamma^\mu(1-\gamma_5)q_b$ that involves a pair of the heavy fields H and \bar{H} . As the processes of interest only involve the light mesons in the final state, this contribution can be safely neglected.

Weak current for heavy quark and light antiquark

The weak current for heavy quark and light antiquark $(J_W^{heavy})_a^\mu = \bar{q}_a \gamma^\mu (1 - \gamma_5) c$ is not so restricted by the heavy quark and chiral symmetry as the weak current for light quarks J_W^{light} . The reason for this is, that the transformation among a light and a heavy quark is not a symmetry of the theory. The chiral symmetry implies only the symmetries for the transformations among the three light quarks and so it restricts the effective weak current for the light quarks. The heavy quark symmetry implies the symmetries for the transformations among the b and c quarks and so it restricts the effective weak current $\bar{c} \gamma^\mu (1 - \gamma_5) b$. In spite of the fact, that the derivation of the effective current J_W^{heavy} can not follow the line of the derivation for J_W^{light} above, the chiral and heavy quark symmetries are also useful in this case. The heavy quark spin symmetry relates the effective currents involving a pseudoscalar D and a vector D^* meson. The chiral symmetry relates the effective currents for different light mesons.

The most general effective current $(J_W^{heavy})_a^\mu = \bar{q}_a \gamma^\mu (1 - \gamma_5) c$ can be derived based on the fact, that it transforms as $(\bar{3}_L, 1_R)$ under the chiral transformation $SU(3)_L \times SU(3)_R$. It is expressed in terms of the field H_a so, that the heavy quark symmetry is manifest. The current J_W^{heavy} should be linear in the heavy meson field H_a as the current $\bar{q}_a \gamma^\mu (1 - \gamma_5) c$ is linear in the field c . In the kinematical region, where light degrees of freedom have small momentum and the heavy quark is almost on-shell, only few terms in the expansions E/Λ_χ and $\Delta k/m_H$ are important. The current for heavy mesons and light pseudoscalars at the order $(E/\Lambda_\chi)^0$ and $(\Delta k/m_H)^0$ was originally proposed in [41]. In order to incorporate the light vector meson field ρ , which is of the order of E/Λ_χ , the terms up to the order E/Λ_χ in chiral expansion have to be considered. The most general current with $V - A$ structure at the order E/Λ_χ and $(\Delta k/m_H)^0$ is derived in Appendix F following [42, 99]. Using the shorthand notation $H = (H_1, H_2, H_3)$ the result is given by

$$\begin{aligned} (J_W^{heavy})_\mu &= \frac{1}{2} i \alpha \text{Tr}[\gamma_\mu (1 - \gamma_5) H] \xi^\dagger \\ &\quad - \alpha_1 \text{Tr}[(1 - \gamma_5) H] (\rho - \mathcal{V})_\mu \xi^\dagger - \alpha_2 \text{Tr}[\gamma_\mu (1 - \gamma_5) H] v^\alpha (\rho - \mathcal{V})_\alpha \xi^\dagger \\ &\quad + \alpha_3 \text{Tr}[(1 - \gamma_5) H] \mathcal{A}_\mu \xi^\dagger + \alpha_4 \text{Tr}[\gamma_\mu (1 - \gamma_5) H] v^\alpha \mathcal{A}_\alpha \xi^\dagger \\ &\quad + \text{Tr}[\gamma^\delta (1 - \gamma_5) H] (g_{\delta\mu} v_\alpha - g_{\delta\alpha} v_\mu - i g_{\delta\gamma} \epsilon^\gamma_{\mu\alpha\beta} v^\beta) \{ \alpha_1 (\rho - \mathcal{V})_\alpha - \alpha_3 \mathcal{A}_\alpha \} \xi^\dagger. \end{aligned} \quad (5.42)$$

In the calculation of the charm meson decays to the leading order in the heavy and chiral expansion, we will need only the current proportional to D , DP or D^* at the order $(E/\Lambda_\chi)^0$ and the current proportional to DV at the order of E/Λ_χ (P and V denote light pseudoscalar and vector meson). The last term in (5.42) contains at least one light meson field and does not contain the heavy pseudoscalar and it is not of interest for this work. The terms proportional to α_3 and α_4 give the sub-leading contributions to current $D \rightarrow P$ and are not of interest as well. The free parameters α , α_1 and α_2 for the relevant terms will be determined in the next section.

The electromagnetic interaction in the sector of the light quarks are incorporated by replacing the partial derivative $\partial^\mu \xi$ with the covariant derivative $(\partial^\mu + ie_0 \mathcal{Q}) \xi$ (5.35) in the expression for the weak current (5.42).

The weak current of the order of $(\Delta k/m_H)^0$ does not lead to the gauge invariant bremsstrahlung amplitudes for $D \rightarrow P \gamma^*$ and $D \rightarrow V \gamma^*$ decays. The gauge invariant amplitudes

were obtained in Section 3.3.3 by considering the general effective model, which gives rise to the diagrams in Figs. 3.4 and 3.5a. The model for the charm meson decays, presented here, contains all diagrams in Figs. 3.4 and 3.5a, except for the second diagram in both figures. It is induced by the weak current, which contains D meson and the photon field. This current was introduced in the effective theory presented in Section 3.3.3, when the partial derivative in $j_W^\mu = \frac{g_2}{2\sqrt{2}} f_D \partial^\mu D$ was replaced by the covariant derivative $j_W^\mu = \frac{g_2}{2\sqrt{2}} f_D (\partial^\mu + ie_D A^\mu) D$ (3.25). The current $j_W^\mu \propto e_D A^\mu D$ is not generated at the order $(\Delta k/m_H)^0$, since there are no derivatives on the heavy meson field H at this order. This current is, however, required at the order $\Delta k/m_H$ by the so called velocity reparametrization invariance (VRI) [100, 101]. The velocity reparametrization exploits the fact, that the velocity v in the definitions of the fields D^v and D^{*v} (5.7) need not be the velocity of the heavy meson. It can be thought as a parameter as long as $p_H = m_H v + k$ is the momentum of the heavy meson. The Lagrangian must be invariant under the reparametrization of the velocity [100]

$$v \rightarrow v + q/m_H, \quad k \rightarrow k - q.$$

This is achieved when the velocity and the derivatives on the heavy meson fields appear in the combination $v^\mu + i\mathcal{D}^\mu/M$ and one uses the field \tilde{H}

$$\tilde{H} = H + \frac{i}{2m_H} \mathcal{D}_\mu [\gamma^\mu, H]$$

instead of the field H [81, 100, 101]. Here \mathcal{D} denotes the covariant derivative $\mathcal{D}^\mu = \partial^\mu + ie_D A^\mu$ and e_D is the charge of the heavy field. The VRI requirement introduces only the higher order term in the expansion $\Delta k/m_H$, which are systematically neglected in this work. In order to get the gauge invariant bremsstrahlung amplitudes for $D \rightarrow P\gamma^*$ and $D \rightarrow V\gamma^*$ decays, I include only the term imposed by the VRI corresponding to the first term in (5.42)

$$(J_W^{heavy})_\mu^{VRI} = \frac{1}{2} i\alpha \text{Tr} [\gamma_\mu (1 - \gamma_5) (H + \frac{i}{2m_H} \mathcal{D}^\nu [\gamma_\nu, H])] \xi^\dagger.$$

The weak vertex $DW\gamma$ arising from this current is given in Fig. 3.3b. Together with other diagrams in Figs. 3.4 and 3.5a, this diagram ensures the gauge invariance of $D \rightarrow P\gamma^*$ and $D \rightarrow V\gamma^*$ bremsstrahlung amplitudes.

Finally, I collect the relevant terms for the heavy-to-light weak current including the electromagnetic interactions

$$\begin{aligned} (J_W^{heavy})_\mu &= \frac{1}{2} i\alpha \text{Tr} [\gamma_\mu (1 - \gamma_5) (H + \frac{i}{2m_H} \mathcal{D}^\nu [\gamma_\nu, H])] \xi^\dagger \\ &\quad - \alpha_1 \text{Tr} [(1 - \gamma_5) H] (\rho - \mathcal{V}^D)_\mu \xi^\dagger - \alpha_2 \text{Tr} [\gamma_\mu (1 - \gamma_5) H] v^\alpha (\rho - \mathcal{V}^D)_\alpha \xi^\dagger. \end{aligned} \quad (5.43)$$

5.1.7 Extrapolation away from the kinematical point where chiral and heavy quark expansions are valid

The chiral and the heavy quark expansions are valid in the kinematical region where the light mesons have small momentum and the velocity of the heavy quark does not change drastically. In this kinematical region the physical process is well described by the strong and electromagnetic Lagrangian (5.37) and the weak currents (5.41, 5.43). In the case

of the heavy-to-light transition $\langle M(p') | \bar{q} \gamma^\mu (1 - \gamma_5) c | D(p) \rangle$, represented by the diagrams in Fig. 5.1, the chiral and the heavy quark expansions are meaningful in the region near $q_{max}^2 = (p - p')^2 = (m_D - m_M)^2$. The velocity of the light meson M in the D meson rest frame is equal to zero at q_{max}^2 and the chiral expansion is valid; at the same time the virtuality $k = -m_{D'} v$ of the intermediate heavy meson D' is small and the heavy quark expansion is valid. The problem is how to extrapolate the amplitude from the zero recoil point to the rest of the allowed kinematical region. Following the idea proposed in [42] and applied in [34, 43, 95], I shall make a very simple, physically motivated assumption: the vertices do not change significantly, while the propagators of the off-shell heavy mesons are given by the full propagators¹⁰

$$\frac{im_D}{p^2 - m_D^2} \quad \text{for } D \quad \text{and} \quad -im_{D^*} \frac{g^{\mu\nu} - \frac{p^\mu p^\nu}{m_{D^*}^2}}{p^2 - m_{D^*}^2} \quad \text{for } D^* \quad (5.44)$$

instead of the propagators given by the heavy quark effective field theory $\mathcal{L}_H = iTr[H_a v_\mu \partial^\mu \bar{H}_a]$ (5.8)

$$\frac{i}{2v \cdot k} \quad \text{for } D \quad \text{and} \quad -i \frac{g^{\mu\nu} - v^\mu v^\nu}{2v \cdot k} \quad \text{for } D^* . \quad (5.45)$$

These assumptions imply, for example, that the heavy-to-light matrix element $\langle M(p') | \bar{q} \gamma^\mu (1 - \gamma_5) c | D(p) \rangle$ has a polar part, arising from the diagram in Fig. 5.1a, and a flat part, arising from the diagram in Fig. 5.1b. With these assumptions, the following general features are incorporated: (i) similar prediction at q_{max}^2 as given by the HQET propagators (5.45); (ii) natural explanation of the polar shape of form factors when appropriate¹¹; (iii) natural explanation of a flat q^2 behavior of the $D \rightarrow V$ form factors A_1 and A_2 (5.49, 5.51), which has been indicated by QCD sum-rule and lattice results [103]; (iv) the relations (5.50, 5.61) among $D \rightarrow M$ form factors at $q^2 = 0$ are satisfied automatically. This assumption, used together with the Lagrangian (5.37), the currents (5.41, 5.43) and the flavour $SU(3)$ breaking effects proposed in the next section, will be referred to as the **hybrid model**.

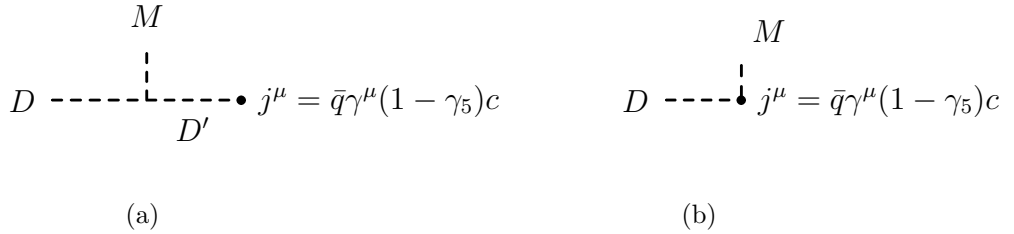


Figure 5.1: The diagrams corresponding to the matrix element of the current $\langle M | \bar{q} \gamma^\mu (1 - \gamma_5) c | D \rangle$ in the hybrid model. Here D' denotes a pseudoscalar or a vector charmed meson.

¹⁰The additional factors m_D and m_{D^*} are present due to the normalization of the fields D^v and D^{*v} in (5.7).

¹¹A more popular approach for the extrapolation assumes the polar behavior of all the form factors [69, 102].

5.1.8 The $SU(3)_V$ flavour breaking

The heavy meson chiral Lagrangian for strong and electromagnetic interactions (5.37) and the weak currents (5.41, 5.43) are based on the $SU(3)_L \times SU(3)_L$ chiral symmetry spontaneously broken to the diagonal $SU(3)_V$ symmetry. This renders the physical observables that respect the exact $SU(3)_V$ flavour symmetry. The $SU(3)_V$ flavour symmetry is broken in QCD by the quark mass term (5.14). Systematic incorporation of the $SU(3)_V$ breaking effects requires the addition of all possible terms that transform under the chiral symmetry in the same way as the quark mass term (5.14). These terms are formally suppressed in the chiral expansion, since they involve the quark mass matrix \hat{m} (5.14) of the order of $(E/\Lambda_\chi)^2$. The systematic incorporation of the $SU(3)_V$ breaking terms, as well as the other higher order terms in the chiral expansion, introduces a number of new free parameters. In order to retain a certain level of predictability, the symmetry breaking terms are not incorporated into the Lagrangian. The $SU(3)_V$ breaking effects are incorporated into the hybrid model by using the physical values of the meson masses and the decay constants in the final formulae for the amplitudes.

Meson decay constants

The decay constants for the **light** pseudoscalar P and vector V mesons are defined as

$$\langle 0 | j_{V-A}^\mu | P(p) \rangle = i f_P p^\mu, \quad \langle 0 | j_{V-A}^\mu | V(\epsilon, p) \rangle = g_V \epsilon^\mu, \quad (5.46)$$

where j_{V-A}^μ are properly normalized currents of the form $\bar{q}_2 \gamma^\mu (1 - \gamma_5) q_1$ with the same flavour structure as the corresponding meson. The decay constants f_P and g_V are given by the weak current (5.41): $f_{\pi^+} = f$, $g_{\rho^+} = a f^2 \tilde{g}_V / \sqrt{2}$ and $SU(3)$ related expressions for other light mesons. As anticipated above, I prefer to use the measured values for f_P and g_V . The decay constants f_P and g_V are measured in the leptonic decays $P^+ \rightarrow \mu^+ \nu_\mu$ and $V^0 \rightarrow e^+ e^-$, respectively. Their values based on the data from [3] and are collected in Table 5.1. At this point we can set the value of the constant f to the measured value of the pion decay constant

$$f = 132 \text{ MeV}. \quad (5.47)$$

With $a = 2$ (5.38) and $\tilde{g}_V = 5.8$ (to be determined in (5.48) below), the prediction for g_{ρ^+} is $g_{\rho^+} = a f^2 \tilde{g}_V / \sqrt{2} = 0.15 \text{ GeV}^2$, which is close to the measured value given in Table 5.1.

The decay constants for the **heavy** pseudoscalar f_D and vector f_{D^*} mesons are defined as

$$\langle 0 | q_a \gamma^\mu (1 - \gamma_5) c | D_a(p) \rangle = -i f_{D_a} p^\mu, \quad \langle 0 | q_a \gamma^\mu (1 - \gamma_5) c | P(\epsilon, p) \rangle = i f_{D_a^*} m_{D_a^*} \epsilon^\mu.$$

The pseudoscalar and vector decay constants are equal in the heavy quark limit $m_Q \rightarrow \infty$. They are given $f_D = f_{D^*} = \alpha / \sqrt{m_D}$ by the first term of the weak current (5.43). The physical values of the heavy meson decay constants are uncertain at present. The value of $f_{D_s^+}$ based on the observation of $D_s^+ \rightarrow \mu^+ \nu_\mu$ and $D_s^+ \rightarrow \tau^+ \nu_\tau$ channels has been reported by several experiments and extends over a wide range $f_{D_s^+} = 194 - 430 \text{ MeV}$. For f_{D^+} only the experimental upper bound is available at present [3]. In absence of the reliable experimental data, one is forced to use the theoretical estimates of the decay constants. I will rely on the heavy quark predictions $f_{D^*} = f_D$, $f_{D_s^*} = f_{D_s}$ and take f_D and f_{D_s} , given in Table 5.1, from the recent lattice QCD results [104]. Using the value of decay constant f_D , the parameter α can be set to $\alpha = f_D m_D \simeq 0.29 \text{ GeV}^2$.

Meson masses

The measured masses for the light and the heavy mesons, that are used in this chapter, are gathered in Table 5.1.

Let me briefly comment on the meson masses that arise from the heavy meson chiral Lagrangian given above. After the explicit breaking of the chiral symmetry, the square masses of the light pseudoscalars are given in terms of the quark masses and the parameter λ_0 (5.15). The masses of the light vector mesons are given by the term proportional to the parameter a in \mathcal{L}^{light} (5.37) as $m_V^2 = \frac{1}{2}a\tilde{g}_V^2 f^2$. This relation fixes [81, 83] the parameter \tilde{g}_V to

$$\tilde{g}_V = 5.8 \quad (5.48)$$

for $a = 2$ (5.38), $f = 132$ MeV (5.47) and $m_\rho = 0.77$ GeV.

The masses of the heavy mesons do not explicitly appear in the Lagrangian (5.37) and the weak currents (5.41, 5.43) due to the heavy quark flavour symmetry in the limit $m_Q \rightarrow \infty$. The masses enter the amplitude only due to the factor $\sqrt{m_H}$, which corresponds to an external heavy meson. This factor is due to the normalization of the field operators $D^v(x)$ and $D^{*v}(x)$ in (5.7). In the hybrid model additional dependence on the heavy meson masses arises because the full heavy meson propagators (5.44) are used instead of the HQET propagators (5.45).

H	m_H [GeV]	f_H [GeV]	P	m_P [GeV]	f_P [GeV]	V	m_V [GeV]	g_V [GeV ²]	Γ_V [GeV]
D	1.87	0.21 ± 0.04	π	0.14	0.135	ρ	0.77	0.17	0.15
D_s	1.97	0.24 ± 0.04	K	0.50	0.16	K^*	0.89	0.19	0.050
D^*	2.01	0.21 ± 0.04	η	0.55	0.13	ω	0.78	0.15	0.0084
D_s^*	2.11	0.24 ± 0.04	η'	0.96	0.11	ϕ	1.02	0.24	0.0044

Table 5.1: The measured values of the meson masses, decay constants and decay widths [3]. The measured decay constants f_D and f_{D^*} have sizable uncertainties and the values are taken from lattice QCD results [104].

5.2 The parameters of the heavy meson chiral Lagrangian and application to the charm meson semileptonic decays

In this section I discuss the values of the parameters g , $C_{VV\Pi}$, λ , λ' present in the Lagrangian (5.37) and the values of the parameters α_1 , α_2 present in the weak current (5.43). The value of the parameter β (5.37) will be discussed in the study of nonleptonic decays in Section 5.3. The value of the parameter κ (5.37) will not be discussed in this work as it does not enter

any decay amplitudes of interest¹². Except for the parameter λ' , these parameters can not be determined by exploiting the chiral and heavy quark symmetries further. They are free parameters of the effective field theory and have to be determined either by the measurement or by using some other model of the strong interaction.

In this section I demonstrate also for the applicability of the hybrid model by predicting the charm meson semileptonic decay rates, as done in [42].

The parameters λ , α_1 and α_2 and semileptonic $D \rightarrow Vl^+\mu_l$ decays

The amplitudes for the semileptonic decays $D \rightarrow Vl^+\nu_l$ with a light vector meson V in the final state depend on the parameters λ , α_1 , α_2 of the hybrid model. The same parameters appear also in the amplitudes for the corresponding B meson semileptonic decays. The three parameters λ , α_1 and α_2 are fitted from the experimental data on $D^+ \rightarrow \bar{K}^{*0}e^+\nu_e$ decay, as proposed in [42].

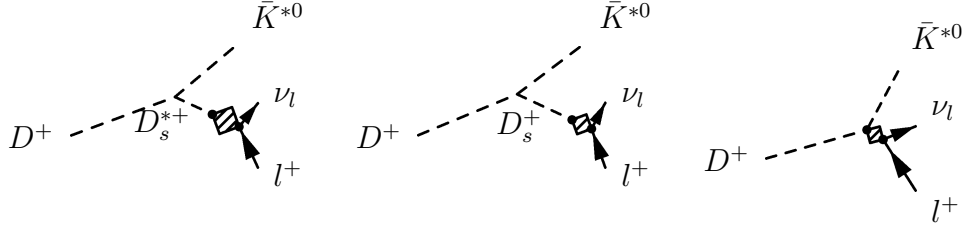


Figure 5.2: The diagrams for the semileptonic decay $D^+ \rightarrow \bar{K}^{*0}l^+\nu_l$ in the hybrid model. The box denotes the action of the nonleptonic effective Lagrangian (3.22). The two dots in the box denote the two weak currents.

The Feynman diagrams for $D^+ \rightarrow \bar{K}^{*0}e^+\nu_e$ decay in the hybrid model are shown in Fig. 5.2. Analogous diagrams contribute to other semileptonic decays. The matrix element of the weak current is expressed in terms of the form factors as [69, 81]¹³

$$\begin{aligned} \langle V(p', \epsilon') | \bar{q}\gamma^\mu(1 - \gamma_5)c | D(p) \rangle = & \frac{2}{m_D + m_V} \epsilon^{\mu\alpha\beta\gamma} \epsilon_\alpha^* p_\beta p'_\gamma V(q^2) + i2m_V \frac{\epsilon^{*\prime} \cdot q}{q^2} q^\mu A_0(q^2) \quad (5.49) \\ & + i(m_D + m_V) \left[\epsilon^{\mu*'} - \frac{\epsilon^{*\prime} \cdot q}{q^2} q^\mu \right] A_1(q^2) - i \frac{\epsilon^{*\prime} \cdot q}{m_D + m_V} \left[(p + p')^\mu - \frac{m_D^2 - m_V^2}{q^2} q^\mu \right] A_2(q^2) \end{aligned}$$

with $\epsilon^{0123} \equiv 1$. The matrix element is finite at $q^2 = 0$ and the form factors must satisfy the relation

$$2m_V A_0(0) = (m_D + m_V) A_1(0) - (m_D - m_V) A_2(0) . \quad (5.50)$$

¹²The parameter κ is responsible for the shape of the bremsstrahlung $D \rightarrow D\gamma^*$. Such bremsstrahlung contribution is kinematically forbidden in $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays. In the $D \rightarrow Pl^+l^-$ decays, this particular bremsstrahlung contribution is proportional to m_P^2 and can be neglected in comparison with the terms proportional to m_D^2 .

¹³There was an additional factor i in the definition (4.5), since the mesons had different time reversal and charge conjugation assignments in the quark model. The signs of the form factors V and A_0 are reversed compared to [42].

In the hybrid model the form factors are calculated from the diagrams in Fig. 5.2 [42]¹⁴

$$\begin{aligned}
V(q^2) &= -K_{DV} \left[2(m_D + m_V) \sqrt{\frac{m_{D'^*}}{m_D}} \frac{m_{D'^*}}{q^2 - m_{D'^*}^2} f_{D'^*} \lambda \right] \frac{\tilde{g}_V}{\sqrt{2}} , \\
A_0(q^2) &= -K_{DV} \left[\frac{1}{m_V} \sqrt{\frac{m_{D'^*}}{m_D}} \frac{q^2}{q^2 - m_{D'}^2} f_{D'} \beta + \frac{\sqrt{m_D}}{m_V} \alpha_1 - \frac{q^2 + m_D^2 - m_V^2}{2m_D^2} \frac{\sqrt{m_D}}{m_V} \alpha_2 \right] \frac{\tilde{g}_V}{\sqrt{2}} , \\
A_1(q^2) &= K_{DV} \left[-\frac{2\sqrt{m_D}}{m_D + m_V} \alpha_1 \right] \frac{\tilde{g}_V}{\sqrt{2}} , \\
A_2(q^2) &= K_{DV} \left[-\frac{m_D + m_V}{m_D \sqrt{m_D}} \alpha_2 \right] \frac{\tilde{g}_V}{\sqrt{2}} .
\end{aligned} \tag{5.51}$$

The poles D'^* and the constants K_{DV} are given in Table 5.3. The form factors as predicted by the hybrid model automatically satisfy the relation (5.50). In the case of the pole assumption for form factors, on the other hand, the pole masses have to be related in order to satisfy (5.50); it is unreasonable to assume such a relation, since the pole masses are taken from the measurement and are not free parameters. The contribution of the form factor A_0 to semileptonic rate is negligible due to the small masses of the muon and the electron. The form factors V , A_1 and A_2 depend on the parameters λ , α_1 and α_2 , respectively. The three parameters are fixed by using the experimental data on $D^+ \rightarrow \bar{K}^{*0} e^+ \nu_e$ decay, which has been most precisely measured. By assuming the pole shape for each of the form factors, the experimenters have fitted the data to obtain $Br = 4.8 \pm 0.5\%$, $V(0)/A_1(0) = 1.85 \pm 0.12$ and $A_2(0)/A_1(0) = 0.72 \pm 0.09$, taken from the Particle Group Average of data from different experiments [3]. In the hybrid model, the form factor V has the polar shape, while A_1 and A_2 are flat, so it is not appropriate to fit theoretical form factors to the experimental ones at $q^2=0$. The three observables $\Gamma_L/\Gamma_T = 1.23 \pm 0.13$, $\Gamma_+/\Gamma_- = 0.16 \pm 0.04$ and $Br = 4.7 \pm 0.4\%$ [3] are used instead. They give eight sets of the solutions for the three parameters λ , α_1 and α_2 . The quark model calculation indicates that $\lambda < 0$ ¹⁵. Among the four sets with $\lambda < 0$ given in Table 5.2, I choose the set 2¹⁶

$$\lambda = -0.38 \pm 0.07 \text{ GeV}^{-1} , \quad \alpha_1 = 0.14 \pm 0.01 \text{ GeV}^{1/2} , \quad \alpha_2 = 0.10 \pm 0.03 \text{ GeV}^{1/2} . \tag{5.52}$$

This set gives $V(0) = -1.0 \pm 0.2$, $A_1(0) = -0.55 \pm 0.05$, $A_2(0) = -0.43 \pm 0.13$ and the corresponding ratios $V(0)/A_1(0) = 1.8 \pm 0.2$, $A_2(0)/A_1(0) = 0.8 \pm 0.2$ are close to the experimental data. Other three sets with $\lambda < 0$ give the ratios far from the experimental data and can be excluded since the ratios are not very strongly dependent on the shapes of the form factors.

¹⁴The expression for the form factor A_0 is corrected in comparison with [42]. The semileptonic rates as predicted by [42] do not change, however, as these do not depend on the form factor A_0 due to the small mass of the leptons μ and e .

¹⁵The parameter λ is responsible for the magnitude of the $D \rightarrow D^* V^0 \rightarrow D^* \gamma$ coupling and can be related to the magnetic moment of the light antiquark $\mu_a = e_a/M_a$ in the heavy meson $Q\bar{q}_a$. Here M_a is interpreted as the mass parameter in the constituent quark model. In the hybrid model and in the exact $SU(3)_V$ flavour limit $M_a^{-1} = -4\lambda$, so $\lambda < 0$ if M_a is a mass parameter [81].

¹⁶ The updated values for the heavy meson decays constants are used here and the calculated λ , α_1 and α_2 are different to the ones in [42].

	λ [GeV ⁻¹]	α_1 [GeV ^{1/2}]	α_2 [GeV ^{1/2}]
set 1	-0.38 ± 0.07	0.14 ± 0.01	0.83 ± 0.04
set 2	-0.38 ± 0.07	0.14 ± 0.01	0.10 ± 0.03
set 3	-0.82 ± 0.14	0.064 ± 0.007	0.60 ± 0.03
set 4	-0.82 ± 0.14	0.064 ± 0.007	-0.18 ± 0.03

Table 5.2: Four solutions for the model parameters as determined from the experimental data on decay $D^+ \rightarrow \bar{K}^{*0} l^+ \nu_l$.

The hybrid model predictions for the remaining $D \rightarrow V e^+ \nu_e$ **semileptonic decays** are given in Table 5.3 and agree well with the available experimental data. The quoted errors do not include any systematic errors related to the validity of the model and are due only to uncertainties of the input parameters. The corrections due to the chiral and $1/m_c$ expansion are expected to effect the result and the combined error is of the order of 30 %.

decay	D'^*	D'	$K_{DV} V_{CKM}$	$Br[\%]$	$\frac{\Gamma_L}{\Gamma_T}$	$\frac{\Gamma_+}{\Gamma_-}$
$D^+ \rightarrow \bar{K}^{*0}$	D_s^{*+}	D_s^+	$\cos \theta_C$	*	*	*
$D^0 \rightarrow \bar{K}^{*-}$	D_s^{*+}	D_s^+	$\cos \theta_C$	1.9 ± 0.2 $[2.02 \pm 0.33]^{exp}$	1.23 ± 0.13	0.16 ± 0.04
$D_s^+ \rightarrow \phi$	D_s^{*+}	D_s^+	$\cos \theta_C$	1.7 ± 0.1 $[2.0 \pm 0.5]^{exp}$	1.2 ± 0.1 $[0.72 \pm 0.18]^{exp}$	0.16 ± 0.04
$D^0 \rightarrow \rho^-$	D^{*+}	D^+	$\sin \theta_c$	0.17 ± 0.02	1.4 ± 0.2	0.15 ± 0.10
$D^+ \rightarrow \rho^0$	D^{*+}	D^+	$-\sin \theta_C / \sqrt{2}$	0.22 ± 0.02 $[0.22 \pm 0.08]^{exp}$	1.4 ± 0.2	0.15 ± 0.10
$D^+ \rightarrow \omega$	D^{*+}	D^+	$\sin \theta_C / \sqrt{2}$	0.21 ± 0.02	1.4 ± 0.2	0.16 ± 0.10
$D_s^+ \rightarrow K^{*0}$	D^{*+}	D^+	$\sin \theta_C$	0.17 ± 0.02	1.3 ± 0.2	0.15 ± 0.10

Table 5.3: The hybrid model predictions for Br , Γ_L/Γ_T and Γ_+/Γ_- are given in the last three columns together with the available experimental data [3] in the brackets. The * denotes that the experimental data has been used to fix the free parameters. The quoted errors take into account only the experimental uncertainties in the input parameters, but not the validity of the model. The second and third column give the resonance states D' and D'^* for $D \rightarrow V e^+ \nu_e$ diagrams in Fig. 5.2.

The parameter λ' and the heavy quark symmetry

The parameter λ' is the only parameter of this effective field theory that can be determined by exploiting the symmetries further. The λ' term in \mathcal{L}^{heavy} (5.37) gives rise to the $D^* D \gamma$ coupling and describes the contribution of the magnetic moment of the *heavy quark* in a heavy meson. The magnetic moment of the *light quark* is incorporated by the vector meson dominance mechanism $D \rightarrow D^* V^0$ followed by transition $V^0 \rightarrow \gamma$. The $D \rightarrow D^* V^0$ coupling is given by the λ term in (5.37), while $V^0 \rightarrow \gamma$ is given by the vector meson dominance (5.57)

bellow. Assuming that λ' term accounts only for the photon emission from the charm quark in the charm meson

$$\mathcal{A}[D^*(v, \eta) \rightarrow D\gamma(q, \epsilon)]_{\text{from } c}^{\text{emission}} = 4\lambda' e_0 \epsilon_{\mu\nu\alpha\beta} \epsilon^\mu \eta^\nu v^\alpha q^\beta \sqrt{m_D m_{D^*}} = -ie_c \epsilon^\mu \langle D | \bar{c} \gamma_\mu c | D^* \rangle . \quad (5.53)$$

The quantity on the right hand side can be expressed in terms of the well-known Isgur-Wise function [40, 39, 81]¹⁷

$$-ie_c \epsilon^\mu \langle D(v') | \bar{c} \gamma_\mu c | D^*(v, \eta) \rangle = \frac{2}{3} e_0 \sqrt{m_D m_{D^*}} \xi(v \cdot v') \epsilon_{\mu\nu\alpha\beta} \epsilon^\mu \eta^\nu v^\alpha v'^\beta .$$

The value of the Isgur-Wise function at $v = v'$ is given by the normalization of the meson wave function, $\xi(1) = 1$. The value of λ' is given by the equation (5.53) taken at $v = v'$

$$\lambda' = -\frac{1}{6m_D^*} \simeq -0.083 \text{ GeV}^{-1} . \quad (5.54)$$

Coupling g , vertices $DD^*\pi$, $DD^*\gamma$ and semileptonic decays $D \rightarrow Pl^+\nu_l^-$

In the lowest order of the heavy quark and the chiral expansion, the strong interactions among the heavy mesons and the low energy light pseudoscalars are expressed in terms of a single coupling g introduced in (5.19). This coupling gives the magnitude of $D^*D\Pi$, $D^*D^*\Pi$, $B^*B\Pi$ and $B^*B^*\Pi$ vertices, where Π denotes one or more low energy light pseudoscalars. The theoretical predictions for the value of g range from 0.15 – 1.0 [81, 105]. The parameter g would be most directly determined phenomenologically by measuring the $D^* \rightarrow D\pi$ rate. Unfortunately, only the branching fractions for $D^* \rightarrow D\pi$ and $D^* \rightarrow D\gamma$ have been measured, but the total width of D^* is still unknown. The value of g can be determined from the measured ratios [3, 105]

$$\begin{aligned} R_\gamma^0 &\equiv Br(D^{*0} \rightarrow D^0\gamma) / Br(D^{*0} \rightarrow D^0\pi^0) = 0.616 \pm 0.076 , \\ R_\gamma^+ &\equiv Br(D^{*+} \rightarrow D^+\gamma) / Br(D^{*+} \rightarrow D^+\pi^0) = 0.055 \pm 0.017 . \end{aligned} \quad (5.55)$$

I will evaluate these ratios in the hybrid model and then use the experimental data to determine g . The $D^* \rightarrow D\pi$ is induced by the term proportional to g in the $\mathcal{L}^{\text{heavy}}$ (5.37). The photon emission from the heavy quark in the $D^* \rightarrow D\gamma$ decay is given by the λ' term in (5.37). The photon emission from the light degrees of freedom proceeds via the exchange of the light vector meson $D^* \rightarrow DV^0 \rightarrow D\gamma$ and the coupling D^*DV is given by the λ term in (5.37). The $V^0 \rightarrow \gamma$ conversion is given by the term proportional to $a=2$ (5.38) in $\mathcal{L}^{\text{light}}$ (5.37)

$$\mathcal{L}_{V\gamma} = -e_0 \tilde{g}_V f^2 (\rho^{0\mu} + \frac{1}{3} \omega^\mu - \frac{\sqrt{2}}{3} \phi^\mu) A_\mu . \quad (5.56)$$

¹⁷The matrix elements related by the heavy quark spin or flavour symmetry, i.e. $\langle B(v') | h_v^{(b)} \Gamma h_v^{(b)} | B(v) \rangle$, $\langle D(v') | h_v^{(c)} \Gamma h_v^{(b)} | B(v) \rangle$, $\langle D^*(v') | h_v^{(c)} \Gamma h_v^{(b)} | B(v) \rangle$ and $\langle D(v') | h_v^{(c)} \Gamma h_v^{(c)} | D^*(v) \rangle$, can be expressed in terms of a single Isgur-Wise function as $\langle M'(v') | \bar{h}' \Gamma h | M(v) \rangle = -\xi(v \cdot v') \text{Tr}[\bar{H}'(v') \Gamma H(v)]$ [40]. The field H is given in (5.6) and Γ denotes a general combination of the Dirac matrices. The value of the matrix element $\langle M(v) | \bar{h} \gamma^0 h | M(v) \rangle$ is given by the normalization of the meson wave functions and renders the Isgur-Wise function at the point of equal velocities $\xi(1) = 1$.

Instead of using the exact $SU(3)$ symmetry values $\tilde{g}_V = 5.8$ and $f = 132$ MeV, I follow the discussion in the section on the flavour $SU(3)_V$ breaking effects and I express the couplings $V^0\gamma$ in terms of the measurable quantities g_ρ , g_ω , g_ϕ defined in (5.46) as in [24, 25, 34]

$$\mathcal{L}_{V\gamma} = -\frac{e_0}{\sqrt{2}}(g_\rho \rho^{0\mu} + \frac{g_\omega}{3}\omega^\mu - \frac{\sqrt{2}g_\phi}{3}\phi^\mu) A_\mu. \quad (5.57)$$

With these ingredients

$$R_\gamma^0 = 16e_0^2 f^2 \left(\frac{|\vec{p}_\gamma|}{|\vec{p}_\pi|} \right)^3 \left[\frac{\lambda'}{g} + \frac{\tilde{g}_V}{2\sqrt{2}} \left(\frac{g_\rho}{m_\rho^2} + \frac{g_\omega}{3m_\omega^2} \right) \frac{\lambda}{g} \right]^2,$$

$$R_\gamma^1 = 16e_0^2 f^2 \left(\frac{|\vec{p}_\gamma|}{|\vec{p}_\pi|} \right)^3 \left[\frac{\lambda'}{g} - \frac{\tilde{g}_V}{2\sqrt{2}} \left(\frac{g_\rho}{m_\rho^2} - \frac{g_\omega}{3m_\omega^2} \right) \frac{\lambda}{g} \right]^2$$

and the experimental data in (5.55) gives¹⁸

$$|\lambda' + 0.77\lambda| = (0.84 \pm 0.05)|g| \quad \text{and} \quad |\lambda' - 0.42\lambda| = (0.214 \pm 0.03)|g|.$$

The values of the parameters $\lambda = -0.38 \pm 0.07$ (5.52) and $\lambda' = -0.058$ (5.54) can be independently checked by evaluating the ratio $|\lambda' + 0.77\lambda|/|\lambda' - 0.42\lambda|$ giving 5.7 ± 2.5 , compared to the experimental ratio 3.9 ± 0.9 . Considering the simplicity of the model and the quoted errors this is in reasonable agreement. The value of $|g|$ is obtained by taking $\lambda = -0.38 \pm 0.07$ (5.52) and $\lambda' = -0.058$ (5.54)

$$|g| = 0.44 \pm 0.10 \quad \text{from } R_\gamma^0 \quad \text{and} \quad |g| = 0.36 \pm 0.15 \quad \text{from } R_\gamma^+ . \quad (5.58)$$

These values are roughly compatible with the value $|g| = 0.27 \pm 0.1$, which has been obtained from the experimental data on R_γ^0 and R_γ^+ (5.55) by using the heavy meson chiral Lagrangian to order $1/m_c$ and E^2 [105]. The semileptonic decays $D \rightarrow Pl^+\bar{\nu}_l$ studied in the next section favor the value $|g| = 0.15$. I choose to use the value of g obtained from R_γ^0 and R_γ^+ in [105]

$$|g| = 0.27 \pm 0.1 . \quad (5.59)$$

This value is somewhere in between the hybrid model values of $|g| = 0.4 \pm 0.2$, which is favored by R_γ^0 , R_γ^+ (5.58), and $|g| = 0.15$ favored by semileptonic decays $D \rightarrow Pl^+\nu_l$.

Having chosen the value of g , the consistency of the model can be checked by applying it to the **semileptonic $D \rightarrow Pl^+\nu_l$ decays**, where P is a light pseudoscalar meson. The diagrams for $D^0 \rightarrow \pi^- l^+ \nu_l$ decay are shown in Fig. 5.3. Similar diagrams apply to other decays. The matrix of the weak current is parameterized in terms of the form factors

$$\langle P(p') | \bar{q}\gamma_\mu(1 - \gamma_5)c | D(p) \rangle = [(p + p')_\mu - \frac{m_D^2 - m_P^2}{q^2} q_\mu] f_1(q^2) + \frac{m_D^2 - m_P^2}{q^2} q_\mu f_0(q^2) . \quad (5.60)$$

The matrix element is finite at $q^2 = 0$ and imposes the relation

$$f_1(0) = f_0(0) . \quad (5.61)$$

¹⁸In absence of the $SU(3)_V$ flavour violation, the numerical factors 0.77 and -0.42 would be replaced by the charges $2/3$ and $-1/3$, respectively

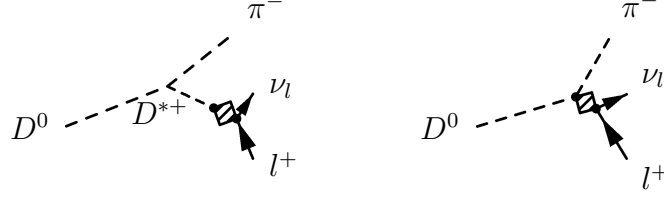


Figure 5.3: The diagrams for the semileptonic decay $D^0 \rightarrow \pi^- l^+ \nu_l$ in the hybrid model. The box denotes the action of the nonleptonic effective Lagrangian (3.22). The two dots in the box denote the two weak currents.

In the hybrid model this relation is automatically satisfied and the form factors are given by the diagrams in Fig. 5.3 as [42]¹⁹

$$\begin{aligned} f_1(q^2) &= K_{DP} \left[-\frac{f_D}{2} + g f_{D'^*} \frac{m_{D'^*} \sqrt{m_D m_{D'^*}}}{q^2 - m_{D'^*}^2} \right], \\ f_0(q^2) &= K_{DP} \left[-\frac{f_D}{2} - g f_{D'^*} \sqrt{\frac{m_D}{m_{D'^*}}} + \frac{q^2}{m_D^2 - m_P^2} \left(-\frac{f_D}{2} + g f_{D'^*} \sqrt{\frac{m_D}{m_{D'^*}}} \right) \right]. \end{aligned} \quad (5.62)$$

The constants K_{DP} and the corresponding poles D'^* are given in Table 5.4. The contribution of the form factor f_0 to the decay rate is negligible due to the small lepton masses. By comparing the hybrid model predictions for $g = \pm 0.27 \pm 0.1$ with the experimental data, the possibility $g = -0.27$ can be excluded as it gives too small branching ratios for all the measured decays²⁰, so

$$g = 0.27 \pm 0.1. \quad (5.63)$$

The positive sign of g is in agreement with the constituent quark model which gives $g = 1$.

The hybrid model predictions for the semileptonic branching ratios Br^{hybrid} are given in Table 5.4. They are slightly too high compared to the experimental data, but the agreement is still reasonable given the simplicity of the model and the uncertainty in the parameter $g = 0.27 \pm 0.1$ (the semileptonic data favors the value $g \simeq 0.15$). The hybrid model results Br^{hybrid} are compared with those obtained by applying the heavy meson chiral Lagrangian at $q_{max}^2 = (m_D - m_P)^2$ ²¹ and by assuming the polar behavior of the form factor f_1 [41, 81]

$$f_1(q^2) = f_1(q_{max}^2) \frac{q_{max}^2 - m_{D'^*}^2}{q^2 - m_{D'^*}^2} = -K_{DP} g \frac{f_D}{2} \frac{m_D - m_P}{m_P + m_{D'^*} - m_D} \frac{m_{D'^*}^2 - q_{max}^2}{m_{D'^*}^2 - q^2}. \quad (5.64)$$

The branching ratios Br^{pole} based on f_1 (5.64) and $g = 0.27 \pm 0.1$ are too small compared to the experimental data and call for higher value of the parameter g . This indicated that the

¹⁹The expression for f_0 in [42] is not correct, but this form factor has negligible contribution to the semileptonic decays.

²⁰The parameters $g = 0.27 \pm 0.1$ and $g = -0.27 \pm 0.1$ predict $Br(D^0 \rightarrow \bar{K}^- e^+ \nu_e)$ at 5.5 ± 1.5 % and $0.24_{-0.2}^{+0.4}$ %, respectively, while the measured branching ratio is 3.66 ± 0.18 %.

²¹The velocity of the light pseudoscalar in the D meson rest frame at q_{max}^2 is zero and the chiral expansion is valid; at the same time the virtuality of the intermediate heavy meson $k = -m_P v$ is small and the heavy quark expansion is valid.

q^2 shape as suggested by the hybrid model (polar shape for the diagram in Fig. 5.3a and flat shape for the diagram in Fig. 5.3b) is more suitable than the pole assumption assumed in (5.64).

$D \rightarrow Pe^+\nu_e$	D'^*	$K_{DP}V_{CKM}$	$Br^{hybrid}[\%]$	$Br^{pole}[\%]$	$Br^{exp}[\%]$
$D^0 \rightarrow K^-$	D_s^{*+}	$\cos \theta_C / f_K$	5.5 ± 1.5	0.4 ± 0.2	3.66 ± 0.18
$D^+ \rightarrow \bar{K}^0$	D_s^{*+}	$\cos \theta_C / f_K$	14 ± 4	1.0 ± 0.5	6.7 ± 0.9
$D_s^+ \rightarrow \eta$	D_s^{*+}	$K_\eta^s \cos \theta_C / f$	4.7 ± 1.2	0.4 ± 0.2	2.5 ± 0.7
$D^0 \rightarrow \pi^-$	D^{*+}	$\sin \theta_C / f_\pi$	0.68 ± 0.18	0.09 ± 0.04	0.37 ± 0.06
$D^+ \rightarrow \pi^0$	D^{*+}	$-\frac{1}{\sqrt{2}} \sin \theta_C / f_\pi$	0.89 ± 0.24	0.12 ± 0.06	0.31 ± 0.15
$D^+ \rightarrow \eta$	D^{*+}	$K_\eta^d \sin \theta_C / f$	0.26 ± 0.07	0.02 ± 0.01	< 0.5
$D_s^+ \rightarrow K^0$	D^{*+}	$\sin \theta_C / f_K$	0.49 ± 0.12	0.06 ± 0.03	

Table 5.4: The semileptonic $D \rightarrow Pe^+\nu_e$ decays: the constants K_{DP} and poles D'^* for the calculation of the form factors (5.62); the hybrid model predictions Br^{hybrid} based on the form factor $f_1(q^2)$ (5.62); the strict HQET result extended by the pole assumption Br^{pole} based on the form factor $f_1(q^2)$ (5.64); the experimental data Br^{exp} [3]. The quoted errors for the predicted branching ratios arise from the uncertainty in $g = 0.27 \pm 0.1$ (5.59, 5.63). In the hybrid model, the value $g \simeq 0.15$ is favored by the semileptonic data. The constants $K_\eta^{d,s}$ are given in (5.17).

The coupling $C_{VV\Pi}$ and decays $V \rightarrow P\gamma$

The coupling among two light vectors and a light pseudoscalar meson $C_{VV\Pi}$ (5.37) can be determined in the case of the exact $SU(3)_V$ flavour symmetry following the hidden symmetry approach of [83, 97] and it is found to be $C_{VV\Pi} = 3\tilde{g}_V^2/32\pi^2 = 0.33$. In this work, the coupling $C_{VV\Pi}$ is determined phenomenologically [25] from the experimental data on $V \rightarrow P\gamma$. This decays in the hybrid model occur via $V \rightarrow PV^0 \rightarrow P\gamma$ and the $SU(3)_V$ flavour breaking is taken into account by using the physical values of the masses and decay constants:

$$\Gamma(V \rightarrow P\gamma) = \frac{e_0^2}{96\pi} \frac{(m_V^2 - m_P^2)^3}{m_V^3} |g_{VP\gamma}|^2$$

with

$$\begin{aligned} g_{\omega\pi\gamma} &= 4 \frac{g_\rho}{m_\rho^2} \frac{C_{VV\Pi}}{f_\pi}, & g_{K^{*+}K^+\gamma} &= 2 \left(\frac{g_\omega}{3m_\omega^2} + \frac{g_\rho}{m_\rho^2} - \frac{2}{3} \frac{g_\phi}{m_\phi^2} \right) \frac{C_{VV\Pi}}{f_K}, \\ g_{\rho\pi\gamma} &= 4 \frac{g_\omega}{3m_\omega^2} \frac{C_{VV\Pi}}{f_\pi}, & g_{K^{*0}K^0\gamma} &= 2 \left(\frac{g_\omega}{3m_\omega^2} - \frac{g_\rho}{m_\rho^2} - \frac{2}{3} \frac{g_\phi}{m_\phi^2} \right) \frac{C_{VV\Pi}}{f_K}. \end{aligned}$$

I choose the value, which reproduces the observed width $\Gamma(K^{*+} \rightarrow K^+\gamma) = (5.0 \pm 0.5) \cdot 10^{-5}$ GeV [98],

$$|C_{VV\Pi}| = 0.31 \tag{5.65}$$

and gives the reasonable agreement in other channels shown in Table 5.5. The sign of $C_{VV\Pi}$ will be determined as positive by comparing hybrid model amplitudes for $D \rightarrow V\gamma$ decays to the quark model results in Section 5.4 (5.87).

	$\Gamma(\omega \rightarrow \pi\gamma)[\text{GeV}]$	$\Gamma(\rho^+ \rightarrow \pi^+\gamma)[\text{GeV}]$	$\Gamma(K^{*0} \rightarrow K^0\gamma)[\text{GeV}]$
hybrid	$9.8 \cdot 10^{-4}$	$7.7 \cdot 10^{-5}$	$1.42 \cdot 10^{-4}$
exp	$(7.25 \pm 0.5) \cdot 10^{-4}$	$(6.8 \pm 0.6) \cdot 10^{-5}$	$(1.2 \pm 0.1) \cdot 10^{-4}$

Table 5.5: The comparison of the hybrid model predictions with $|C_{VV\Pi}| = 0.31$ and the experimental data [98] on the $V \rightarrow P\gamma$ rates.

5.3 Nonleptonic two-body charmed meson decays

Before I turn to the discussion of the rare charm meson decays $D \rightarrow V\gamma$, $D \rightarrow Vl^+l^-$ and $D \rightarrow Pl^+l^-$ in the next two sections, the hybrid model is applied to the nonleptonic two-body charmed meson decays [43]. The understanding of nonleptonic decays is necessary in order to develop a model for the long distance contributions to the rare charm meson decays. The study of the nonleptonic decays leads to a better insight into the hybrid model, its applicability and the factorization approximation. To my knowledge, this analysis [43] presents the first application of the heavy meson chiral Lagrangian approach to the nonleptonic two-body charmed meson decays. The exclusive nonleptonic charmed meson decays are challenging to understand theoretically and have been extensively studied using various approaches [69, 106]. The agreement of the theoretical predictions with the experimental data is, however, rather poor at present.

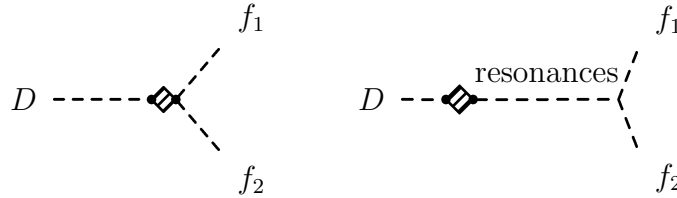


Figure 5.4: The annihilation contribution to the weak nonleptonic decay $D \rightarrow f_1 f_2$. The box denotes the action of the nonleptonic effective Lagrangian (3.22) and the two dots in it denote the two weak currents.

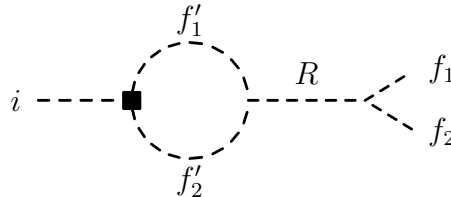


Figure 5.5: The strong rescattering via the resonance R in the weak nonleptonic decay $i \rightarrow f_1 f_2$. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22).

The nonleptonic charm decays are induced by the nonleptonic weak Lagrangian (3.22) with the relevant coefficient a_1^c and a_2^c for charm decays given in (3.20). As in most of the studies, this analysis relies on the factorization approximation (3.21) and the amplitude for $D \rightarrow f_1 f_2$ decay is expressed as the product of two matrix elements of the current

$$\begin{aligned} \langle f_1 f_2 | \bar{u}^\alpha \gamma^\mu (1 - \gamma_5) q_i^\alpha \bar{q}_j^\beta \gamma_\mu (1 - \gamma_5) c^\beta | D \rangle &= \langle f_2 | \bar{u} \gamma^\mu (1 - \gamma_5) q_i | 0 \rangle \langle f_1 | \bar{q}_j \gamma_\mu (1 - \gamma_5) c | D \rangle \\ &+ \langle f_1 | \bar{u} \gamma^\mu (1 - \gamma_5) q_i | 0 \rangle \langle f_2 | \bar{q}_j \gamma_\mu (1 - \gamma_5) c | D \rangle + \langle f_1 f_2 | \bar{u} \gamma^\mu (1 - \gamma_5) q_i | 0 \rangle \langle 0 | \bar{q}_j \gamma_\mu (1 - \gamma_5) c | D \rangle . \end{aligned}$$

The first two terms correspond to the spectator contribution. The last term involves the weak annihilation of the valence quarks of the initial D meson and is called the annihilation contribution. The strong interactions among the two final mesons in the annihilation contribution can be successfully described by the dominance of the light scalar or pseudoscalar resonances with masses close to m_D , as shown in Fig. 5.4 [106]. The hybrid model contains only the light pseudoscalar and vector mesons and is therefore not applicable to the annihilation amplitudes. I have explicitly checked this by applying the hybrid model to $D^0 \rightarrow \bar{K}^0 \phi$ decay, which contains only the annihilation contribution, and I found that the calculated branching ratio is much smaller than the measured branching ratio of $(8.6 \pm 1.0) \cdot 10^{-3}$ [3]. For this reason the hybrid model is applied only to the **charm meson two body nonleptonic decays, where the annihilation contribution is absent or negligible**. Another problem is related to the fact that the final state mesons f_1 and f_2 interact strongly also in the spectator contributions [106]. In the factorization approximation, the hard gluon exchange interactions among f_1 and f_2 are incorporated into the coefficients a_1^c and a_2^c (3.18, 3.19), while the soft gluon exchange interactions are neglected. The later can be incorporated to some extent by using the effective coefficients a_1^c and a_2^c (3.20) given by the global fit to the charm meson nonleptonic data. The remaining strong interactions of f_1 and f_2 can be described by the rescattering $D \rightarrow f'_1 f'_2 \rightarrow R \rightarrow f_1 f_2$ through the resonances R , as shown in Fig. 5.5. The rescattering can significantly affect the amplitude for $D \rightarrow f_1 f_2$ decay when several intermediate resonances R_i contribute. The corresponding amplitudes $D \rightarrow f'_1 f'_2 \rightarrow R_i \rightarrow f_1 f_2$ with different magnitudes and phases interfere. The rescattering effect are not expected to be very significant in the decays $D \rightarrow f_1 f_2$, where the rescattering occurs dominantly through a single resonance and so the interference is not important. This is the case when **the final state contains only a single isospin** (for example $D^+ \rightarrow \bar{K}^0 \pi^+$ with $|I, I_3\rangle = |3/2, 3/2\rangle$) and I will focus only on the decays of this type. Within these two limitations, I systematically study all Cabibbo allowed and Cabibbo suppressed two body charm meson nonleptonic decays:

$$\begin{aligned} D \rightarrow P_1 P_2 : D^+ &\rightarrow \bar{K}^0 \pi^+ & (5.66) \\ D \rightarrow PV : D^+ &\rightarrow \bar{K}^{*0} \pi^+, D^+ \rightarrow \bar{K}^0 \rho^+, D_s^+ \rightarrow \phi \pi^+, D_s^+ \rightarrow \rho^+ \eta, \\ &D^+ \rightarrow \phi \pi^+, D^+ \rightarrow \rho^+ \eta, D^0 \rightarrow \phi \pi^0(\eta), D^0 \rightarrow \omega \eta \\ D \rightarrow V_1 V_2 : D^+ &\rightarrow \bar{K}^{*0} \rho^+, D_s^+ \rightarrow \rho^+ \phi, D^0 \rightarrow \phi \rho^0(\omega), D^+ \rightarrow \rho^+ \phi . \end{aligned}$$

The calculation of the amplitudes using the hybrid model is now strait-forward. In the factorization approximation they are expressed in terms of the form factors f_0, f_1 (5.62) and V, A_0, A_1, A_2 (5.51).

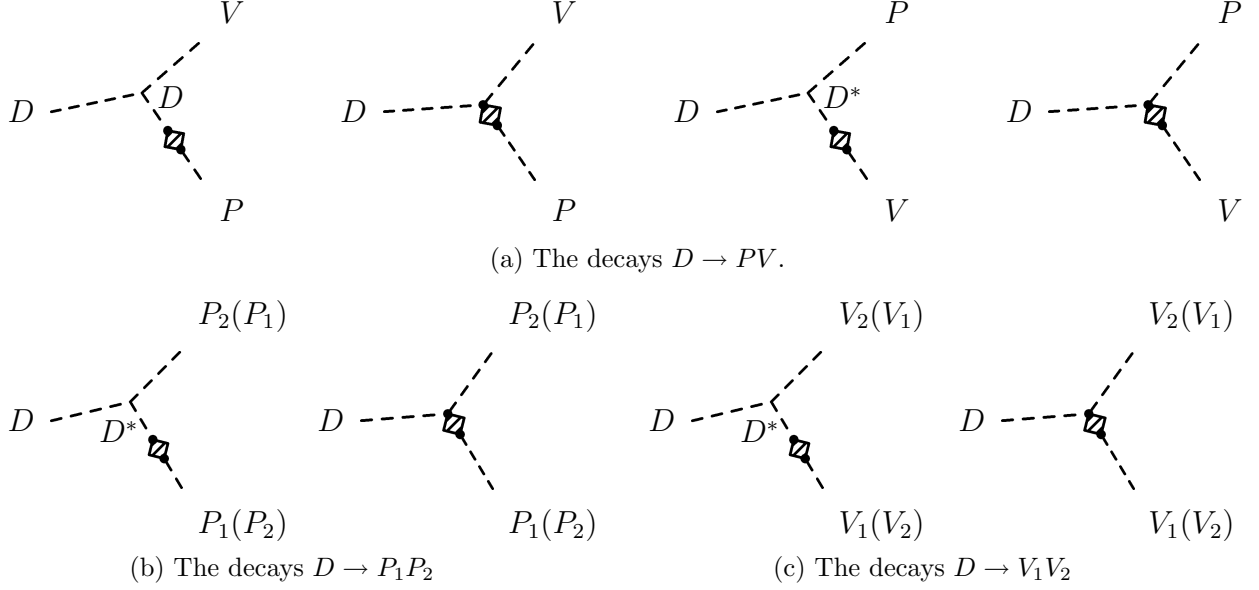


Figure 5.6: The diagrams for two body nonleptonic charm meson decays in the hybrid model. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22). The factorization approximation is used and the two dots in the box denote the two weak currents in the Lagrangian (3.22).

The relevant diagrams for $D \rightarrow P_1 P_2$ decays are shown in Fig. 5.6a and the amplitude is given by [43]

$$\mathcal{A}[D(p) \rightarrow PV(\epsilon^*)] = \frac{G_F}{\sqrt{2}} \epsilon^* \cdot p \, 2[-m_V w_V K_V f_P A_0(m_P^2) + w_P K_P g_V f_1(m_V^2)] . \quad (5.67)$$

The factors w_V , w_P , K_V and K_P are given in Table 5.6. In the decays with η in the final state, the factors K_V and K_P depend on the $\eta - \eta'$ mixing angle θ_P through the functions $K_\eta^{d,s}$ given in (5.17).

The contributions to the $D \rightarrow P_1 P_2$ decay are shown in Fig. 5.6b and the amplitude is given by

$$\begin{aligned} \mathcal{A}[D(p) \rightarrow P_{(1)} P_{(2)}] = & \frac{G_F}{\sqrt{2}} [-i w_1 K_{P(1)} f_{P(2)} (m_D^2 - m_{P(1)}^2) F_0^{(1)}(m_{P(2)}^2) \\ & - i w_2 K_{P(2)} f_{P(1)} (m_D^2 - m_{P(2)}^2) F_0^{(2)}(m_{P(1)}^2)] \end{aligned}$$

with w_1 , w_2 , $K_{P(1)}$ and $K_{P(2)}$ presented in Table 5.7.

$D \rightarrow VP$	D'	D'^*	w_V	K_V	w_P	K_P
$D^+ \rightarrow K^{*0}\pi^+$	D_s^+	D^{*0}	$a_1 c^2$	1	$a_2 c^2$	1
$D^+ \rightarrow \rho^+ K^0$	D^0	D_s^{*+}	$a_2 c^2$	1	$a_1 c^2$	1
$D_s^+ \rightarrow \phi\pi^+$	D_s^+		$a_1 c^2$	1	0	0
$D^+ \rightarrow \phi\pi^+$		D^{*0}	0	0	$a_2 s c$	1
$D^0 \rightarrow \phi\pi^0$		D^{*0}	0	0	$a_2 s c$	$1/\sqrt{2}$
$D_s^+ \rightarrow \rho^+\eta$		D_s^{*+}	0	0	$a_1 c^2$	K_η^s
$D^+ \rightarrow \rho^+\eta$	D^0	D^{*+}	$a_2 s c (K_\eta^s - K_\eta^d)$	1	$-a_1 s c$	K_η^d
$D^0 \rightarrow \phi\eta$		D^{*0}	0	0	$a_2 s c$	K_η^d
$D^0 \rightarrow \omega\eta$	D^0	D^{*0}	$a_2 s c (K_\eta^d - K_\eta^s)$	$1/\sqrt{2}$	$a_2 s c$	$K_\eta^d/\sqrt{2}$

Table 5.6: The pole mesons and the constants w_V , K_V , w_P and K_P for the Cabibbo allowed and Cabibbo suppressed $D \rightarrow VP$ decays. Here $c = \cos \theta_C$, $s = \sin \theta_C$ and θ_C is the Cabibbo angle. The $K_\eta^{d,s}$ are functions of the η - η' mixing angle θ_P given in (5.17).

$D \rightarrow P_{(1)}P_{(2)}$	$D_1'^*$	$D_2'^*$	w_1	$K_{P(1)}$	w_2	$K_{P(2)}$
$D^+ \rightarrow K^0\pi^+$	D_s^{*+}	D^{*0}	$a_1 c^2$	1	$a_2 c^2$	1

Table 5.7: The pole mesons and the constants w_1 , $K_{P(1)}$, w_2 and $K_{P(2)}$ for the $D \rightarrow P_1 P_2$ decay. Here $c = \cos \theta_C$, $s = \sin \theta_C$ and θ_C is the Cabibbo angle.

Finally, the diagrams for $D \rightarrow V_1 V_2$ decay are given in Fig. 5.6c and the amplitude is

$$\begin{aligned}
& \mathcal{A}[D(p) \rightarrow V_{(1)}(p_1, \epsilon_1), V_{(2)}(p_2, \epsilon_2)] = \\
& = \frac{G_F}{\sqrt{2}} \left(w_1 K_{V(1)} g_{V(2)} \epsilon_{2\mu} \left[-\frac{2V^{(1)}(m_{V(2)}^2)}{m_D + m_{V(1)}} \varepsilon^{\mu\nu\alpha\beta} \epsilon_{1\nu}^* p_\alpha p_{1\beta} + i(m_D + m_{V(1)}) A_1^{(1)} m_{V(2)}^2 \epsilon_1^{\mu*} \right. \right. \\
& \quad \left. \left. - i \frac{A_2^{(1)}(m_{V(2)}^2)}{m_D + m_{V(1)}} \epsilon_1^* \cdot p_2 (p + p_1)^\mu \right] \right. \\
& \quad \left. + w_2 K_{V(2)} g_{V(1)} \epsilon_{1\mu} \left[-\frac{2V^{(2)}(m_{V(1)}^2)}{m_D + m_{V(2)}} \varepsilon^{\mu\nu\alpha\beta} \epsilon_{2\nu}^* p_\alpha p_{2\beta} + i(m_D + m_{V(2)}) A_1^{(2)}(m_{V(1)}^2) \epsilon_2^{\mu*} \right. \right. \\
& \quad \left. \left. - i \frac{A_2^{(2)}(m_{V(1)}^2)}{m_D + m_{V(2)}} \epsilon_2^* \cdot p_1 (p + p_2)^\mu \right] \right) .
\end{aligned}$$

The factors w_1 , w_2 , $K_{V(1)}$ and $K_{V(2)}$ for $D \rightarrow V_{(1)} V_{(2)}$ processes are given in Table 5.8.

First, I present the results for the decay amplitudes, which depend only on the form factors f_0 and f_1 and consequently only on the parameter g . These are decays $D^+ \rightarrow \bar{K}^0\pi^+$, $D^+ \rightarrow \phi\pi^+$, $D_s^+ \rightarrow \rho^+\eta$, $D^0 \rightarrow \phi\eta$ and $D^0 \rightarrow \phi\pi^0$. The predicted branching ratios for $g = 0.27 \pm 0.1$ (5.63) are compared to the experimental data [3] in Table 5.9 on top. The quoted errors are due to the uncertainties in $g = 0.27 \pm 0.1$ and $\theta_P = (-20 \pm 5)^\circ$. The η - η' mixing angle θ_P enters the $D_s^+ \rightarrow \rho^+\eta$ and $D^0 \rightarrow \phi\eta$ decays through the coefficients $K_\eta^{s,d}$ given in (5.17).

$D \rightarrow V_{(1)}V_{(2)}$	$D_1'^*$	$D_2'^*$	w_1	$K_{V(1)}$	w_2	$K_{V(2)}$
$D^+ \rightarrow K^{*0}\rho^+$	D_s^{*+}	D^{*0}	a_1c^2	1	a_2c^2	1
$D_s^+ \rightarrow \rho^+\phi$	D_s^{*+}		a_1c^2	1	0	0
$D^0 \rightarrow \rho^0\phi$	D^{*0}		a_2sc	$1/\sqrt{2}$	0	0
$D^+ \rightarrow \rho^+\phi$	D^{*0}		a_2sc	1	0	0
$D^0 \rightarrow \omega\phi$	D^{*0}		a_2sc	$1/\sqrt{2}$	0	0

Table 5.8: The pole mesons and the constants w_1 , $K_{V(1)}$, w_2 and $K_{V(2)}$ for the Cabibbo allowed and Cabibbo suppressed $D \rightarrow V_1V_2$ decays. Here $c = \cos\theta_C$, $s = \sin\theta_C$ and θ_C is the Cabibbo angle.

Further, there are five decays $D \rightarrow V_1V_2$ that depend only on the form factors V , A_1 and A_2 and consequently on the parameters λ , α_1 and α_2 . With the values of these parameters taken from (5.52), the predicted branching ratios are given in Table 5.9 in the middle.

The remaining $D \rightarrow PV$ decay rates depend also on the form factor $A_0(m_P^2)$ (5.67) and consequently on the parameter β (5.51), which gives the magnitude of the DDV and BBV coupling in (5.37). The parameter β has been left undetermined up to now: the semileptonic $D \rightarrow Vl^+\nu_l$ rates are not sensitive to A_0 due to the small mass of the lepton; the $D^* \rightarrow D\gamma$ rates are insensitive to β as well. The nonleptonic $D \rightarrow PV$ decays depend on β through $A_0(m_P^2)$ and are rather insensitive to value of β as this parameter is multiplied with a small factor m_P^2 in $A_0(m_P^2)$ (5.51). Among the observed $D_s^+ \rightarrow \phi\pi^+$, $D^+ \rightarrow \bar{K}^{*0}\pi^+$ and $D^+ \rightarrow \bar{K}^0\rho^+$ decays, the last decay should be the most sensitive to β since $m_K^2 > m_\pi^2$. The predictions for $\beta = (-10, -5, 0, 5, 10)$, $g = 0.27 \pm 0.1$ and λ , α_1 and α_2 (5.52) are compared to the experimental data in Table 5.10. Although it is difficult to decide between the various values of β , it seems that negative values of β are preferred

$$\beta = -2.5 \pm 2.5 . \quad (5.68)$$

The branching ratios for the remaining $D \rightarrow PV$ decays are presented in Table 5.9 at the bottom.

Among all the observed charm decays discussed so far, only $D^+ \rightarrow \bar{K}^{*0}\pi^+$ and $D^+ \rightarrow \bar{K}^0\rho^+$ are sensitive to the relative sign of g and (α_1, α_2) . In these decays, we can independently check the positive relative sign of g and (α_1, α_2) advocated above (5.52, 5.63). The positive relative sign $g = 0.27$, $\alpha_1 = 0.14$, $\alpha_2 = 0.10$ gives $Br^{hyb}(D^+ \rightarrow \bar{K}^{*0}\pi^+) = 0.8_{-0.6}^{+1.1}\%$ (see Table 5.9) and agrees with $Br^{exp}(D^+ \rightarrow \bar{K}^{*0}\pi^+) = 1.0 \pm 0.19\%$. The negative relative sign $g = 0.27$, $\alpha_1 = -0.14$, $\alpha_2 = -0.10$ gives $Br^{hyb}(D^+ \rightarrow \bar{K}^{*0}\pi^+) = 34 \pm 6\%$ and is disfavored by the experimental data.

The predicted nonleptonic decay rates in Table 5.9 do agree with the experimental data given the fact that the theoretical and experimental uncertainties are large. The nonleptonic decays, where the annihilation contribution is absent or negligible and the rescattering is not seizable, are reasonably well understood in terms of the hybrid model accompanied by the factorization approximation.

	$Br^{hybrid}[\%]$	$Br^{exp}[\%]$
$D^+ \rightarrow \phi\pi^+$	0.6 ± 0.2	0.61 ± 0.06
$D_s^+ \rightarrow \rho^+\eta$	13 ± 5	10.3 ± 3.2
$D^+ \rightarrow K^0\pi^+$	3.4 ± 1.1	2.89 ± 0.26
$D^0 \rightarrow \phi\eta$	0.030 ± 0.012	< 0.28
$D^0 \rightarrow \phi\pi^0$	0.10 ± 0.03	< 0.14
$D_s^+ \rightarrow \phi\rho^+$	7.5 ± 1.0	6.7 ± 2.3
$D^0 \rightarrow \phi\rho^0$	0.038 ± 0.007	0.06 ± 0.03
$D^+ \rightarrow K^{*0}\rho^+$	5.2 ± 0.7	2.1 ± 1.3
$D^+ \rightarrow \phi\rho^+$	0.19 ± 0.03	< 1.4
$D^0 \rightarrow \phi\omega$	0.036 ± 0.004	< 0.21
$D_s^+ \rightarrow \phi\pi^+$	2.3 ± 0.2	3.6 ± 0.9
$D^+ \rightarrow \bar{K}^{*0}\pi^+$	$0.8^{+1.1}_{-0.6}$	1.90 ± 0.19
$D^+ \rightarrow \rho^+K^0$	21 ± 10	6.6 ± 2.5
$D^+ \rightarrow \rho^+\eta$	0.31 ± 0.15	< 1.2
$D^0 \rightarrow \omega\eta$	0.07 ± 0.04	—

Table 5.9: The hybrid model predictions [43] and the experimental data [3] for the nonleptonic charmed two body decays. The quoted errors in the theoretical predictions take into account only the uncertainties of the model parameters g (5.63), λ , α_1 , α_2 (5.52), β (5.68) and θ_P (5.16), but not the validity of the model.

$D \rightarrow VP$	$Br^{hyb}[\%]$ $\beta = -10$	$Br^{hyb}[\%]$ $\beta = -5$	$Br^{hyb}[\%]$ $\beta = 0$	$Br^{hyb}[\%]$ $\beta = 5$	$Br^{hyb}[\%]$ $\beta = 10$	$Br^{exp}[\%]$
$D_s^+ \rightarrow \phi\pi^+$	2.6 ± 0.1	2.4 ± 0.1	2.2 ± 0.1	2.0 ± 0.1	1.8 ± 0.1	3.6 ± 0.9
$D^+ \rightarrow \bar{K}^{*0}\pi^+$	$0.54^{+1.0}_{-0.5}$	$0.71^{+1.0}_{-0.5}$	$0.91^{+1.2}_{-0.7}$	$1.1^{+1.3}_{-0.8}$	$1.4^{+1.4}_{-1.0}$	1.90 ± 0.19
$D^+ \rightarrow \rho^+K^0$	12 ± 6	18 ± 7	25 ± 8	33 ± 9	43 ± 11	6.6 ± 2.5

Table 5.10: The branching ratios three observed decays $D \rightarrow PV$ that depend on the parameter β in the form factor A_0 (5.67). The theoretical errors are due to uncertainties in g (5.63) and λ , α_1 , α_2 (5.52).

In the $D \rightarrow V_1V_2$ decays, the additional experimental information comes from the partial wave analysis of the final state. The final vector mesons are produced in three helicity states $++$, $--$, 00 (see Fig. 4.1) or equivalently in the three angular momentum states S , P , D . The authors of [107] have analyzed the $D \rightarrow \bar{K}^*\rho$ decays for which the experimental data on the total and partial wave branching ratios exists. They applied the factorization approximation, they neglected the annihilation contribution (this is reliable for $D^+ \rightarrow \bar{K}^{*0}\rho^+$ decay studied above, but not for $D^0 \rightarrow \rho^0\bar{K}^{*0}$ and $D^0 \rightarrow \bar{K}^{*-}\rho^+$ decays) and predicted the experimental observables using several models for the $D \rightarrow V$ form factors, among others the hybrid model based on [43]. The hybrid model, like every other model employed in [107], predicts the ratio $|Br^{S\text{ wave}}|/|Br^{D\text{ wave}}|(D^+ \rightarrow \bar{K}^{*0}\rho^+)$ which is about 5 – 8 times

bigger than the measured ratio 1.3 ± 0.8 extracted from [3]. The authors of [107] claim the inconsistency of the experimental partial wave data for $D^+ \rightarrow \bar{K}^{*0}\rho^+$ in [3].

5.4 The $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays

Finally, with all these ingredients I can turn to the study of the rare charm meson decays $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ with a light vector meson V and a photon or a charged lepton $l = e, \mu$ in the final state. The Cabibbo suppressed decays have the flavour structure $c\bar{q} \rightarrow u\bar{q}\gamma$ and $c\bar{q} \rightarrow u\bar{q}l^+l^-$, respectively. They are induced by the flavour changing neutral transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ at short distances and by the long distance mechanisms. Different mechanisms were sketched in Chapter 3 and the long distance contribution was divided to the weak annihilation (Fig. 1.2) and long distance penguin (Fig. 1.3) part. In addition to Cabibbo suppressed decays, I systematically study all the Cabibbo allowed and Cabibbo doubly suppressed $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays. These can not proceed through a flavour changing neutral transition of a single quark and are induced only through the long distance mechanisms.

None of these decays has been observed so far. The upper limits on $D^0 \rightarrow V^0\gamma$ branching ratios are in the range 10^{-4} [108], while there are no experimental results on the D^+ and D_s^+ decay channels yet. As a result of an ongoing experimental efforts [109], it is reasonable to expect that the data on $D \rightarrow V\gamma$ decays forthcoming during the next few years. The present upper limits on $D \rightarrow Vl^+l^-$ branching ratios are in the range $10^{-4} - 10^{-3}$ [3, 110]. Let me point out that there no available upper bounds for the Cabibbo allowed channels $D_s^+ \rightarrow \rho^+\gamma$ and $D_s^+ \rightarrow \rho^+l^+l^-$, which are predicted at the highest rates in this work (with branching ratios of the order of 10^{-4} and 10^{-5} , respectively) and have the best chances for detection.

The theoretical treatment of these charmed meson decays faces different situation than encountered in the kaon and beauty meson decays. The charmed meson decays turn out to be dominated by the long distance contributions. The most frequent beauty meson decays of this type, i.e. $B \rightarrow K^*\gamma$ and $B \rightarrow K^*l^+l^-$, are driven by short distance contribution via $b \rightarrow s\gamma$ and $b \rightarrow sl^+l^-$ decays and the long distance contributions are relatively small, as discussed in the introduction. The kaon decays of this type are not possible as the kaon is lighter than the lightest vector meson.

The short distance contributions to $D \rightarrow Vl^+l^-$ decays have been studied in different scenarios beyond the standard model [52, 56, 61, 111] and have been reviewed in Chapter 2. In order to perform search for new physics in these decays, one should have a good control over the long distance contributions. These have not been predicted so far. The first theoretical study of the long distance contributions to $D \rightarrow Vl^+l^-$ decays is presented in this work and follows the original presentation in [34, 35].

The short distance contributions to $D \rightarrow V\gamma$ decays have been studied in different scenarios beyond the standard model [52, 54, 59, 61, 62, 63] and have been reviewed in Chapter 2. The long distance contributions to $D \rightarrow V\gamma$ decays have been studied in [21, 23, 95, 112, 113]. The theoretical predictions are still rudimentary at present and various models do not always lead to compatible results. The first comprehensive theoretical analysis

of all $D \rightarrow V\gamma$ decays has been presented in [21]. The authors of [21] have divided the long distance contributions in $D^0 \rightarrow \bar{K}^{*0}\gamma$ decay, for example, to (i) $D^0 \rightarrow D^{*0}\gamma$ followed by the weak transition $D^{*0} \rightarrow \bar{K}^{*0}$, (ii) the weak transition $D^0 \rightarrow \bar{K}^0$ followed by $\bar{K}^0 \rightarrow \bar{K}^{*0}\gamma$ and (iii) the weak decay $D^0 \rightarrow \rho^0 \bar{K}^{*0}$ followed by $\rho^0 \rightarrow \gamma$. The couplings in analysis [21] have been extracted from the available experimental data and from the predictions of the other models. The approach of [21] may have problems with possible double counting, as the contribution shown in Fig. 5.7a is accounted by the mechanism (i) and (iii); similarly the contribution shown in Fig. 5.7b is accounted by the mechanisms (ii) and (iii). The weak annihilation long distance contribution for specific $D \rightarrow V\gamma$ channels has been studied also with the quark model [112] and QCD sum rules [23]. The QCD sum rules analysis [23] incorporates only the weak annihilation mechanism in which the photon is emitted before the weak transition.

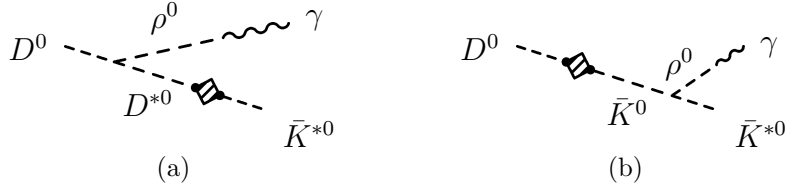


Figure 5.7: Comment on the approach of Ref. [21] considering the weak radiative decays of the charmed mesons.

The heavy meson chiral Lagrangian has been applied for the parity conserving part of the weak annihilation contribution. Cabibbo allowed $D \rightarrow V\gamma$ decays have been studied in [95]. The ratios $Br(D_s^+ \rightarrow \bar{K}^{*+}\gamma)/Br(D_s^+ \rightarrow \rho^+\gamma)$ and $Br(D^0 \rightarrow \rho^0\gamma)/Br(D^0 \rightarrow \bar{K}^{*0}\gamma)$ were proposed as possible tests for new physics in [113]. In the present work, the parity violating part of the weak annihilation contribution and the long distance penguin contribution are added [24, 25]. All the decays $D \rightarrow V\gamma$ are systematically studied [24, 25].

The $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays are studied by adapting the heavy meson chiral Lagrangian approach, more specifically the hybrid model as described above.

5.4.1 Long distance contribution

First I turn to the calculation of the long distance contributions to $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays. The long distance mechanism in $D \rightarrow Vl^+l^-$ decay is due to the exchange of the intermediate photon via the channel $D \rightarrow V\gamma^* \rightarrow Vl^+l^-$. The $D \rightarrow V\gamma^*$ decay involves S , P or D orbital momentum final states. The parity conserving part of the amplitude corresponds to the P wave state, while the parity violating part corresponds to the S and D wave state.

The general framework for the long distance contributions is presented in Chapter 3. They are induced by the effective nonleptonic Lagrangian (3.22)

$$\mathcal{L}_{eff}^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cqj}^* V_{uqi} [a_1^c \bar{u}\gamma^\mu(1-\gamma_5)q_i \bar{q}_j\gamma_\mu(1-\gamma_5)c + a_2^c \bar{q}_j\gamma_\mu(1-\gamma_5)q_i \bar{u}\gamma^\mu(1-\gamma_5)c] \quad (5.69)$$

with $a_1^c \simeq 1.26$, $a_2^c \simeq -0.55$ (3.20) and $q_{i,j} = s, d$. In addition the photon is emitted. The amplitudes (3.23)

$$\mathcal{A}_{LD}(D \rightarrow V\gamma) = \langle \gamma V | : i\mathcal{L}_{eff}^{|\Delta c|=1} : |P\rangle \quad , \quad \mathcal{A}_{LD}(D \rightarrow V l^+ l^-) = \langle l^+ l^- V | : i\mathcal{L}_{eff}^{|\Delta c|=1} : |P\rangle$$

are calculated by employing the factorization approximation (3.21).

The Feynman diagrams are given in terms of the hadronic degrees of freedom contained in the hybrid model: heavy pseudoscalar (D) and vector (D^*) mesons and light pseudoscalar (P) and vector (V) mesons. The relevant diagrams for $D \rightarrow V\gamma$ in the hybrid model are given in Figs. 5.8 and 5.9. In the case of $D \rightarrow V l^+ l^-$ decays, the lepton pair $l^+ l^-$ is attached to the virtual photon. The boxes in the diagrams denote the action of the effective nonleptonic weak Lagrangian (5.69). This Lagrangian contains a product of two left handed quark currents, each denoted by a dot in a box. Let me comment on different contributions.

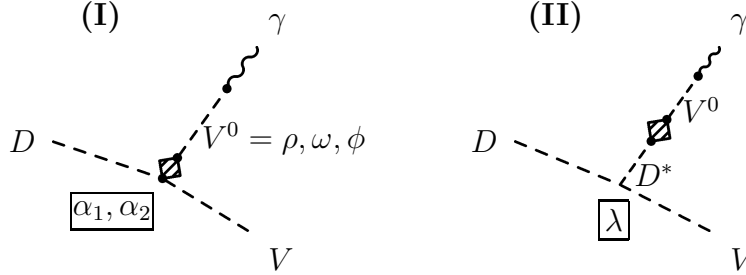


Figure 5.8: Long distance penguin diagrams for $D \rightarrow V\gamma$ decays. For the case of $D \rightarrow V l^+ l^-$ decay, the real photon has to be replaced with the virtual photon and the lines for the charged lepton pair $l^+ l^-$ have to be attached. The parameters, given in the frames by the vertices, indicate which terms in the Lagrangian (5.37) and weak current (5.43) are responsible for the couplings. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22). The box contains two dots each denoting a weak current in the Lagrangian (3.22).

- The **long distance penguin** contribution is presented in Fig. 5.8 and is induced by the part of the Lagrangian (5.69) proportional to a_2 . The current $\bar{u}\gamma^\mu(1 - \gamma_5)c$ annihilates the initial D meson and creates the final V meson. The other current $\sum_{d,s} V_{cq}^* V_{uq} \bar{q}\gamma^\mu(1 - \gamma_5)q \simeq V_{cs}^* V_{us} [s\gamma^\mu(1 - \gamma_5)s - \bar{d}\gamma^\mu(1 - \gamma_5)d]$ is proportional to the $SU(3)$ flavour breaking and creates a photon or a lepton-antilepton pair via the intermediate neutral vector meson ρ^0 , ω and ϕ . The short lifetime of the vector mesons V^0 is accounted for by the Breit-Wigner form of the propagator $-i(g^{\mu\nu} - q^\mu q^\nu / m_{V^0}^2) / (q^2 - m_{V^0}^2 + i\Gamma_{V^0} m_{V^0})$ with decay widths Γ_{V^0} given in Table 5.1. This renders the resonant shape for $D \rightarrow V l^+ l^-$ spectrum in terms of di-lepton mass $m_{ll} = \sqrt{q^2}$. In the regions of m_{ll} far from m_{V^0} , the amplitude is given solely by the tail of the Breit-Wigner vector meson propagator.
- The **long distance weak annihilation** is presented in Fig. 5.9. One weak current has the flavour of the initial meson D , while the other has the flavour of the final meson V . The bremsstrahlung diagrams are given in Fig. 5.9c and are nonzero only

when D and V are charged. The diagrams, where the photon is emitted before and after the weak transition and do not correspond to bremsstrahlung, are gathered in Figs. 5.9a and 5.9b, respectively. The hybrid model does not contain the axial heavy mesons and the excited light mesons and their contributions to the mechanisms in Figs. 5.9a and 5.9b are neglected. The diagrams *III*, *V*, *VI* and *VIII* correspond to the emission of the photon from the light quark and give the resonant shape of the $D \rightarrow V l^+ l^-$ spectrum with peaks at $m_{ll} = m_\rho, m_\omega, m_\phi$. The diagram *IV* corresponds to the emission of the photon from the charm quark and gives the nonresonant shape of the $D \rightarrow V l^+ l^-$ spectrum. The bremsstrahlung diagram *VII* is imposed by the velocity reparametrization invariance (41) and gives the nonresonant shape of the spectrum.

- Note that the parity violating contribution, given by the diagrams *I* and *V*, is incorporated solely via the vector meson dominance mechanism, in which the nonleptonic decay $D \rightarrow V V^0$ with $V^0 = \rho, \omega, \phi$ is followed by the conversion $V^0 \rightarrow \gamma$. This observation will be important when the gauge invariance is studied bellow.

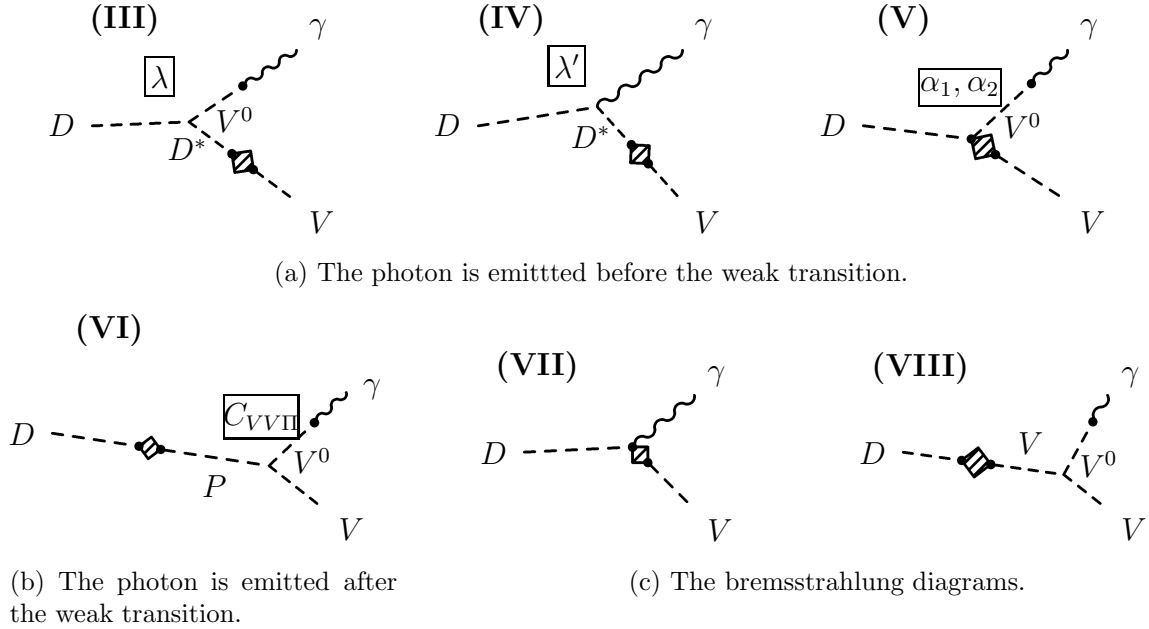


Figure 5.9: Long distance weak annihilation diagrams for $D \rightarrow V \gamma$ decays in the hybrid model. For the case of $D \rightarrow V l^+ l^-$ decays, the real photon is replaced with the virtual photon and the lines for the charged lepton pair $l^+ l^-$ are attached. The bremsstrahlung diagrams are gathered in Fig. (c). The diagrams, where the photon is emitted before and after the weak transition, and do not correspond to bremsstrahlung are gathered in Figs. (a) and (b), respectively. The parameters, given in the frames by the vertices, indicate which terms in the Lagrangian (5.37) and weak current (5.43) are responsible for the couplings. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22). The box contains two dots each denoting a weak current in the Lagrangian (3.22).

First I turn to the **bremsstrahlung** contribution. Following the general discussion in Section 3.3.3, the bremsstrahlung amplitude for $D \rightarrow V \gamma^*$ diagrams in Fig. 3.5a can be

parameterized in terms of the general electromagnetic form factors G_V and G_{Dd} as (3.30)

$$\begin{aligned} \mathcal{A}[D(p) \rightarrow V(p', \epsilon') \gamma^*(q, \epsilon)] &= \frac{G_F}{\sqrt{2}} e_0 f_{Cab}^{(i)} f_P g_V \epsilon_\mu^* \epsilon_\nu'^* \\ &\times ([G_{Dd}^{\mu\nu}(q^2) - G_V^{\mu\nu}(q^2)] - \frac{G_V^{\mu\delta}(q^2)}{m_V^2} [q_\delta q_\nu - q^2 g_{\delta\nu}]) \end{aligned} \quad (5.70)$$

with $f_{Cab}^{(i)}$ given in Table 5.11 for different decays. I have shown that the amplitude is manifestly gauge invariant for the case of the flat form factors, the polar form factors and their linear combination (3.27). The bremsstrahlung diagrams in Fig. 5.9c as given by the hybrid model indicate that $G_{Dd}=1$ is flat and G_V has polar shape:

$$\begin{aligned} G_{\rho^+}^{\mu\nu}(q^2) &= \frac{m_\rho^2 [g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\rho^2}]}{m_\rho^2 - q^2 - i\Gamma_\rho m_\rho}, \\ G_{K^{*+}}^{\mu\nu}(q^2) &= \frac{m_\rho^2 [g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\rho^2}]}{2(m_\rho^2 - q^2 - i\Gamma_\rho m_\rho)} + \frac{m_\omega^2 [g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\omega^2}]}{6(m_\omega^2 - q^2 - i\Gamma_\omega m_\omega)} + \frac{m_\phi^2 [g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\phi^2}]}{3(m_\phi^2 - q^2 - i\Gamma_\phi m_\phi)} \end{aligned}$$

with $\Gamma_{V^0}(q^2=0) = 0$ and $G(q^2=0) = 1$. Inserting these electromagnetic form factors to the amplitude (5.70) and neglecting the contributions proportional to Γ_{V^0} I get

$$\mathcal{A}[D(p) \rightarrow V(p', \epsilon') \gamma^*(q, \epsilon)] = -\frac{G_F}{\sqrt{2}} e_0 f_{Cab}^{(i)} f_P g_V \epsilon_\mu^* \epsilon_\nu'^* (q^2 g^{\mu\nu} - q^\mu q^\nu) L_V(q^2) \quad (5.71)$$

with

$$\begin{aligned} L_{K^{*+}} &= \frac{1}{m_{K^*}^2} \left[\frac{m_{K^*}^2 - m_\rho^2}{2(q^2 - m_\rho^2 + i\Gamma_\rho m_\rho)} + \frac{m_{K^*}^2 - m_\omega^2}{6(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} + \frac{m_{K^*}^2 - m_\phi^2}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \right], \\ L_{\rho^+} &= 0. \end{aligned}$$

The bremsstrahlung amplitude turns out to be proportional to the $SU(3)$ flavour breaking in the hybrid model: it is equal to zero for the case of ρ^+ meson in the final state and small, but nonzero, for the case of K^{*+} meson in the final state.

The **non-bremsstrahlung** part of the long distance contribution is given by the diagrams in Figs. 5.8, 5.9a and 5.9b. The calculation of the corresponding amplitude is strait-forward given the Lagrangian (5.37, 5.69) and weak currents (5.41, 5.43).

The sum of the long distance amplitudes for the $D \rightarrow V \gamma^*$ diagrams, given in Figs. 5.8 and 5.9, is given by the expression

$$\mathcal{A}^{LD}[D(p) \rightarrow V(\epsilon', p') \gamma^*(q, \epsilon)] = -i \frac{G_F}{\sqrt{2}} e_0 f_{Cab}^{(i)} \epsilon_\mu^* \epsilon_\nu'^* [i A_{PV}^{LD \mu\nu} + \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta A_{PC}^{LD}] . \quad (5.72)$$

The amplitude for $D \rightarrow V \gamma$ decay is obtained by taking $q^2 = 0$, while the amplitude for $D \rightarrow V l^+(p_+) l^-(p_-)$ decay is obtained replacing the photon polarization ϵ_μ with $e_0 \bar{u}(p_-) \gamma_\mu v(p_+)/q^2$. The indices $(i) = 1, \dots, 9$ denote nine decays $D \rightarrow V \gamma^*$ and the corresponding Cabibbo factors $f_{Cab}^{(i)}$ for Cabibbo allowed, suppressed and doubly suppressed decays are given in Table 5.11. The parity conserving and violating parts of the amplitude are represented by A_{PC}^{LD} and

$A_{PV}^{LD\ \mu\nu}$, respectively. They get contributions from different diagrams in Figs. 5.8 and 5.9 in the hybrid model. It is suitable to express them in terms of amplitudes $A^{I,\dots,VIII}$, where the superscript denotes the contributions of different diagrams $I..VIII$ in Figs. 5.8 and 5.9,

$$A_{PC}^{LD} = A_{PC}^{III,IV} + A_{PC}^{VI} + A_{PC}^{II} , \quad A_{PV}^{LD\ \mu\nu} = (A_{PV}^{VII,VIII})^{\mu\nu} + (A_{PV}^V)^{\mu\nu} + (A_{PV}^I)^{\mu\nu} . \quad (5.73)$$

These are in the hybrid model given by

$$A_{PC}^{III,IV} = 4J^{(i)} g_V f_{D^*} \sqrt{\frac{m_{D^*}}{m_D}} \frac{m_{D^*}}{m_V^2 - m_{D^*}^2} , \quad (5.74)$$

$$A_{PC}^{VI} = 2K^{(i)} C_{VV\Pi} f_D m_D^2 ,$$

$$A_{PC}^{II} = -\sqrt{2} \lambda f_{N^{(i)}} N \frac{a_2 \sin \theta_C \cos \theta_C}{f_{Cab}^{(i)}} f_{D^*} \tilde{g}_V \sqrt{\frac{m_{D^*}}{m_D}} \frac{m_{D^*}}{q^2 - m_{D^*}^2} ,$$

$$(A_{PV}^{VII,VIII})^{\mu\nu} = A_{Bremss.}^{\mu\nu} = -f_D g_V (q^2 g^{\mu\nu} - q^\mu q^\nu) L^{(i)} ,$$

$$(A_{PV}^V)^{\mu\nu} = \frac{1}{\sqrt{2}} M^{(i)} \tilde{g}_V g_V \sqrt{m_D} \left(g^{\mu\delta} - \frac{p^\mu p^\delta}{m_{V^0}^2} \right) \left[\alpha_1 g_\delta^\nu - \alpha_2 \frac{p_\delta p^\nu}{m_D^2} \right] ,$$

$$(A_{PV}^I)^{\mu\nu} = \frac{1}{\sqrt{2}} f_{N^{(i)}} N \frac{a_2 \sin \theta_C \cos \theta_C}{f_{Cab}^{(i)}} \tilde{g}_V \sqrt{m_D} \left(g^{\mu\delta} - \frac{p^\mu p^\delta}{m_{V^0}^2} \right) \left[\alpha_1 g_\delta^\nu - \alpha_2 \frac{p_\delta p^\nu}{m_D^2} \right] .$$

Different parameters in the expressions indicate which terms in the Lagrangian are responsible for various parts of the amplitudes. The functions $J^{(i)}(q^2)$, $K^{(i)}(q^2)$, $L^{(i)}(q^2)$, $M^{(i)}(q^2)$ and $f_{N^{(i)}} N(q^2)$ depend on a given $D \rightarrow V\gamma^*$ decay. They are given in Table 5.11: $J^{(i)}$ and $M^{(i)}$ depend on the D meson in the initial state, while $K^{(i)}$ and $L^{(i)}$ depend on the vector meson V in the final state. The Table 5.11 further gives the functions $J^D(q^2)$, $K^V(q^2)$, $L^V(q^2)$, $M^D(q^2)$ and $N(q^2)$ expressed as

$$\begin{aligned} J^{D^0} &= \lambda' - \frac{\lambda \tilde{g}_V}{2\sqrt{2}} \left[\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} \right] , \\ J^{D^+} &= \lambda' - \frac{\lambda \tilde{g}_V}{2\sqrt{2}} \left[-\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} \right] , \\ J^{D_s^+} &= \lambda' + \frac{\lambda \tilde{g}_V}{2\sqrt{2}} \frac{2g_\phi}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} , \end{aligned} \quad (5.75)$$

$$\begin{aligned}
K^{\bar{K}^{*0}} &= \left[\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} - \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} + \frac{2g_\phi}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \right] \frac{1}{m_D^2 - m_K^2} , \\
K^{K^{*+}} &= \left[-\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} - \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} + \frac{2g_\phi}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \right] \frac{1}{m_D^2 - m_K^2} , \\
K^{\rho^+} &= -\frac{2g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} \frac{1}{m_D^2 - m_\pi^2} , \\
K^{\rho^0} &= -2\sqrt{2} \frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} \left[\frac{K_\eta^d(K_\eta^d - K_\eta^s)}{m_D^2 - m_\eta^2} + \frac{K_{\eta'}^d(K_{\eta'}^d - K_{\eta'}^s)}{m_D^2 - m_{\eta'}^2} \right] \\
&\quad + \frac{\sqrt{2}}{3} \frac{g_\omega}{(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} \frac{1}{m_D^2 - m_\pi^2} , \\
K^\omega &= -\frac{2\sqrt{2}}{3} \frac{g_\omega}{q^2 - m_\omega^2 + i\Gamma_\omega m_\omega} \left[\frac{K_\eta^d(K_\eta^d - K_\eta^s)}{m_D^2 - m_\eta^2} + \frac{K_{\eta'}^d(K_{\eta'}^d - K_{\eta'}^s)}{m_D^2 - m_{\eta'}^2} \right] \\
&\quad + \sqrt{2} \frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} \frac{1}{m_D^2 - m_\pi^2} , \\
K^\phi &= -\frac{4}{3} \frac{g_\phi}{(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \left[\frac{K_\eta^s(K_\eta^d - K_\eta^s)}{m_D^2 - m_\eta^2} + \frac{K_{\eta'}^s(K_{\eta'}^d - K_{\eta'}^s)}{m_D^2 - m_{\eta'}^2} \right] , \\
L^{\rho^+} &= 0 , \\
L^{K^{*+}} &= \frac{1}{m_{K^*}^2} \left[\frac{m_{K^*}^2 - m_\rho^2}{2(q^2 - m_\rho^2 + i\Gamma_\rho m_\rho)} + \frac{m_{K^*}^2 - m_\omega^2}{6(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} + \frac{m_{K^*}^2 - m_\phi^2}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \right] , \\
M^{D^0} &= \frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} , \\
M^{D^+} &= -\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} , \\
M^{D_s^+} &= -\frac{2g_\phi}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} , \\
N &= \frac{g_\rho^2}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} - \frac{g_\omega^2}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} - \frac{2g_\phi^2}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} .
\end{aligned}$$

For the case of the real photon in the final state one should take $q^2=0$ and $\Gamma_{V^0}(q^2=0)=0$. The coefficients $K_{\eta,\eta'}^{d,s}$ in the expressions for K^{ρ^0} and K^ω depend on the $\eta - \eta'$ mixing angle θ_P (5.17). The η and η' mesons enter as the intermediate states in the diagram *IV* of Fig. 5.9.

The gauge invariance

The amplitudes for $D \rightarrow V\gamma^*(\epsilon, q)$ decays have to be invariant under the gauge transformation $\epsilon^\mu \rightarrow \epsilon^\mu + Cq^\mu$ and should have the general form given in (3.2). The parity conserving and the bremsstrahlung parts of the amplitude (5.72) are gauge invariant. The non-bremsstrahlung part of the parity violating amplitude (5.72, 5.74), $A_{PV}^V + A_{PV}^I$, is not in a manifestly gauge invariant form yet. The idea how to achieve the gauge invariance was proposed in [15, 24, 25, 28] and has been used also for the case of $B_c \rightarrow B_u^* \gamma$ decay in

i	$D \rightarrow Vl^+l^-$ $D \rightarrow V\gamma$	$f_{Cab}^{(i)}$	$J^{(i)}$	$K^{(i)}$	$L^{(i)}$	$M^{(i)}$	$f_{N^{(i)}}$
1	$D^0 \rightarrow \bar{K}^{*0}\gamma^*$	$a_2 \cos^2 \theta_C$	J^{D^0}	$K^{K^{*0}}$	0	M^{D^0}	0
2	$D_s^+ \rightarrow \rho^+\gamma^*$	$a_1 \cos^2 \theta_C$	$J^{D_s^+}$	K^{ρ^+}	L^{ρ^+}	$M^{D_s^+}$	0
3	$D^0 \rightarrow \rho^0\gamma^*$	$-a_2 \sin \theta_C \cos \theta_C$	$-J^{D^0}/\sqrt{2}$	K^{ρ^0}	0	$-M^{D^0}/\sqrt{2}$	$1/\sqrt{2}$
4	$D^0 \rightarrow \omega\gamma^*$	$-a_2 \sin \theta_C \cos \theta_C$	$J^{D^0}/\sqrt{2}$	K^ω	0	$M^{D^0}/\sqrt{2}$	$1/\sqrt{2}$
5	$D^0 \rightarrow \phi\gamma^*$	$a_2 \sin \theta_C \cos \theta_C$	J^{D^0}	K^ϕ	0	M^{D^0}	0
6	$D^+ \rightarrow \rho^+\gamma^*$	$-a_1 \sin \theta_C \cos \theta_C$	J^{D^+}	K^{ρ^+}	L^{ρ^+}	M^{D^+}	1
7	$D_s^+ \rightarrow K^{*+}\gamma^*$	$a_1 \sin \theta_C \cos \theta_C$	$J^{D_s^+}$	$K^{K^{*+}}$	$L^{K^{*+}}$	$M^{D_s^+}$	1
8	$D^+ \rightarrow K^{*+}\gamma^*$	$-a_1 \sin^2 \theta_C$	J^{D^+}	$K^{K^{*+}}$	$L^{K^{*+}}$	M^{D^+}	0
9	$D^0 \rightarrow K^{*0}\gamma^*$	$-a_2 \sin^2 \theta_C$	J^{D^0}	$K^{K^{*0}}$	0	M^{D^0}	0

Table 5.11: The Cabibbo factor $f_{Cab}^{(i)}$ and the functions $J^{(i)}$, $K^{(i)}$, $L^{(i)}$, $M^{(i)}$ and $f_N^{(i)}$ for nine $D \rightarrow Vl^+l^-$ and $D \rightarrow V\gamma$ decays. They enter the expressions for the amplitudes \mathcal{A} (5.72, 5.74, 5.81, 5.82, 5.83). The functions J^D , K^V , L^V , M^D and N are further given in (5.75).

Section 4.2. The parity violating amplitude $A_{PV}^V + A_{PV}^I$ is incorporated via the nonleptonic decay $P \rightarrow VV^0$ with $V^0 = \rho, \omega, \phi$ followed by $V^0 \rightarrow \gamma^*$. The VV^0 intermediate state involves three helicity amplitudes $++$, $--$, 00 . The real photon in the final state can not have longitudinal polarization and the helicity state 00 has to be discarded when the decay $P \rightarrow V\gamma$ is considered. This is achieved by relating the form factors $A_1(0)$ and $A_2(0)$ (4.7, 4.9) that parameterize the nonleptonic decay $P \rightarrow VV^0$ in the factorization approximation. The gauge invariance in $D \rightarrow V\gamma^* \rightarrow Vl^+l^-$ decay is achieved by relating the form factors $A_1(q^2)$ and $A_2(q^2)$. This idea is now discussed in detail separately for A_{PV}^V and A_{PV}^I amplitudes:

- The amplitude A_{PV}^I corresponds to the parity violating part of the **long distance penguin contribution** and is given by the diagram *I* in Fig. 5.8. First I turn to $D \rightarrow V\gamma$ decay with the real photon in the final state. The nonleptonic decay $D \rightarrow VV^0$ is followed by the conversion $V^0 \rightarrow \gamma$ and the real photon couples only to the transverse polarization of V^0 , as discussed in Section 4.2 for $B_c \rightarrow B_u^*\gamma$ decay. This is equivalent to the requirement that the parity violating amplitude $\epsilon_\mu^* \epsilon_\nu^{*'} (A_{PV}^I)^{\mu\nu}$ (5.72) must be invariant under $\epsilon^\mu \rightarrow \epsilon^\mu + Cq^\mu$, which amounts to

$$\alpha_2 = \frac{2m_D^2}{m_D^2 - m_V^2} \alpha_1 \quad \text{at } q^2 = 0.$$

If α_1 and α_2 are expressed in terms of the form factors $A_1^{D \rightarrow V}(0)$ and $A_2^{D \rightarrow V}(0)$ for $\langle V | \bar{u}\gamma^\mu(1 - \gamma_5)c | D \rangle$ (5.51) this is equivalent to the relation

$$A_2^{D \rightarrow V}(0) = \frac{(m_D + m_V)^2}{m_D^2 - m_V^2} A_1^{D \rightarrow V}(0),$$

which is exactly the same condition as obtained in (4.7). The helicity analysis of the final state in Section 4.2 showed, that one should express $A_2(0)$ in terms of $A_1(0)$ (4.9) in the expression for the amplitude

$$A_2^{D \rightarrow V}(0) \rightarrow \frac{(m_D + m_V)^2}{m_D^2 - m_V^2} A_1^{D \rightarrow V}(0) \quad (5.76)$$

or equivalently

$$\alpha_2 \rightarrow \frac{2m_D^2}{m_D^2 - m_V^2} \alpha_1 \quad \text{at } q^2 = 0. \quad (5.77)$$

With this the replacement the gauge invariant long distance penguin amplitude for $D \rightarrow V\gamma$ is rewritten below (5.81). The coefficient N (5.75), present in A_{PV}^I and A_{PV}^{II} , has to be evaluated at the $q^2 = 0$

$$N(q^2=0) = -2 \left(\frac{g_\rho^2}{2m_\rho^2} - \frac{g_\omega^2}{6m_\omega^2} - \frac{g_\phi^2}{3m_\phi^2} \right) \equiv -2 C_{VMD} = (2.4 \pm 2.4) \cdot 10^{-3} \text{ GeV}^2. \quad (5.78)$$

The three terms in (5.78) exactly cancel in the $SU(3)$ flavour limit and one has to use the accurate values for g_{V^0} and m_{V^0} to evaluate C_{VMD} (4.3, 4.4), as explained in Section 4.2. The long distance penguin contribution turns out to be much smaller than the weak annihilation contribution in the $D \rightarrow V\gamma$ decays due to the smallness of the coefficient $N(q^2=0)$.

Now I turn to the case of the lepton pair in the final state. The gauge invariance under $\epsilon^\mu \rightarrow \epsilon^\mu + Cq^\mu$ imposes the replacement

$$\alpha_2 \rightarrow \frac{2m_D^2}{m_D^2 - m_V^2 + q^2} \alpha_1 \quad (5.79)$$

or in terms of the $D \rightarrow V$ form factors

$$A_2^{D \rightarrow V}(q^2) \rightarrow \frac{(m_D + m_V)^2}{m_D^2 - m_V^2 + q^2} A_1^{D \rightarrow V}(q^2). \quad (5.80)$$

This is equivalent to (5.76, 5.77) at $q^2=0$. Let me note that the long distance penguin contribution in $D \rightarrow Vl^+l^-$ decays is relatively more important than in $D \rightarrow V\gamma$ decays since the maximums of the function $N(q^2)$ (5.75) at $q^2=m_\rho^2, m_\omega^2$ and at $q^2=m_\phi^2$ are well separated and the $SU(3)$ flavour cancellation is not so effective.

- The gauge invariance of the **weak annihilation amplitude** A_{PV}^V is achieved exactly in the same way, as for the long distance penguin amplitude A_{PV}^I . The gauge invariance under $\epsilon \rightarrow \epsilon + Cq$ implies the replacement

$$\alpha_2 \rightarrow \frac{2m_D^2}{m_D^2 - m_V^2 + q^2} \alpha_1 \quad \text{for general } q^2,$$

exactly as in (5.79). In terms of the $\langle V^0|(V-A)^\mu|D\rangle$ form factors this is equivalent to

$$A_2^{D \rightarrow V_0}(q^2) \rightarrow \frac{(m_D + m_{V_0})^2}{m_D^2 - m_{V_0}^2 + q^2} A_1^{D \rightarrow V_0}(q^2)$$

and the mass m_{V_0} enters the expression only due to the definition of the form factors in (5.49).

The amplitudes

The manifestly gauge invariant amplitudes for $D \rightarrow V\gamma$ (3.1) and $D \rightarrow Vl^+l^-$ (3.3) decays are given by the amplitudes (5.72) together with the replacement (5.79)

$$\mathcal{A}^{LD}[D(p) \rightarrow V(\epsilon', p') \gamma(q, \epsilon)] = -i \frac{G_F}{\sqrt{2}} e_0 f_{Cabb}^{(i)} \epsilon_\mu^* \epsilon_{\nu'}^* [i A_{PV}^{LD}(p^\mu q^\nu - g^{\mu\nu} p \cdot q) + \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta A_{PC}^{LD}] \quad (5.81)$$

and

$$\begin{aligned} \mathcal{A}^{LD}[D(p) \rightarrow V(\epsilon', p') l^+(p_+) l^-(p_-)] = \\ -i \frac{G_F}{\sqrt{2}} e_0^2 f_{Cabb}^{(i)} \frac{1}{q^2} \bar{u}(p_-) \gamma_\mu v(p_+) \epsilon_\nu^* [i A_{PV}^{LD}(p^\mu q^\nu - g^{\mu\nu} p \cdot q) + \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta A_{PC}^{LD}] \end{aligned} \quad (5.82)$$

with

$$A_{PC}^{LD} = A_{PC}^{III,IV} + A_{PC}^{VI} + A_{PC}^{II}, \quad A_{PV}^{LD} = A_{PV}^{VII,VIII} + A_{PV}^V + A_{PV}^I$$

and

$$A_{PC}^{III,IV} = 4J^{(i)} g_V f_{D*} \sqrt{\frac{m_{D*}}{m_D}} \frac{m_{D*}}{m_V^2 - m_{D*}^2}, \quad (5.83)$$

$$A_{PC}^{VI} = 2K^{(i)} C_{VV\Pi} f_D m_D^2,$$

$$A_{PC}^{II} = -\sqrt{2} \lambda f_{N^{(i)}} N \frac{a_2 \sin \theta_C \cos \theta_C}{f_{Cabb}^{(i)}} f_{D*} \tilde{g}_V \sqrt{\frac{m_{D*}}{m_D}} \frac{m_{D*}}{q^2 - m_{D*}^2},$$

$$(A_{PV}^{VII,VIII})^{\mu\nu} = A_{Bremss.}^{\mu\nu} = -f_D g_V (q^2 g^{\mu\nu} - q^\mu q^\nu) L^{(i)},$$

$$A_{PV}^V = -\frac{1}{\sqrt{2}} M^{(i)} \tilde{g}_V g_V \sqrt{m_D} \frac{2}{m_D^2 - m_V^2 + q^2} \alpha_1,$$

$$A_{PV}^I = -\frac{1}{\sqrt{2}} f_{N^{(i)}} N \frac{a_2 \sin \theta_C \cos \theta_C}{f_{Cabb}^{(i)}} \tilde{g}_V \sqrt{m_D} \frac{2}{m_D^2 - m_V^2 + q^2} \alpha_1.$$

The functions $J^{(i)}(q^2)$, $K^{(i)}(q^2)$, $L^{(i)}(q^2)$, $M^{(i)}(q^2)$ and $f_{N^{(i)}}N(g^2)$ are given in Table 5.11. This table further involves the functions $J^D(q^2)$, $K^V(q^2)$, $L^V(q^2)$, $M^D(q^2)$ and $N(q^2)$ given in (5.75). For the case of $D \rightarrow V\gamma$ decay, one has to take $q^2=0$, $\Gamma_{V^0}(0)=0$ and $N(0)$ from (5.78).

The decay rate for $D \rightarrow V\gamma$ is expressed as

$$\Gamma = \frac{1}{4\pi} \left(\frac{m_D^2 - m_V^2}{2m_D} \right)^3 (|\mathcal{A}_{PC}|^2 + |\mathcal{A}_{PV}|^2) , \quad (5.84)$$

where $\mathcal{A}_{PC,PV}$ are defined in terms of $A_{PC,PV}$ as

$$\mathcal{A}_{PC,PV} = \frac{G_F}{\sqrt{2}} e_0 f_{Cab}^{(i)} A_{PC,PV} . \quad (5.85)$$

The decay rate for $D \rightarrow Vl^+l^-$ is given by the square of the amplitude, summed over the polarizations of the three particles in the final state and integrated over the three body phase space

$$\Gamma = \frac{1}{2m_D(2\pi)^5} \sum_{polar.} \int |\mathcal{A}(p', p_+, p_-)|^2 \frac{d^3p'}{2p'^0} \frac{d^3p_+}{2p_+^0} \frac{d^3p_-}{2p_-^0} \delta(p' + p_+ + p_- - p) .$$

The sign of $C_{VV\Pi}$

The magnitudes and the relative signs of the parameters appearing in the expression for the amplitudes have been determined above. The sign of the parameter $C_{VV\Pi}$, that is present in the amplitude A_{PC}^{VI} , has been left undetermined. The absolute value of this parameter was determined from $V \rightarrow P\gamma$ data $|C_{VV\Pi}| = 0.31$ in (5.65). The sign of $C_{VV\Pi}$ can be determined by inspecting the relative sign of amplitudes A_{PC}^{VI} and $A_{PC}^{III,IV}$ (5.81) for $D \rightarrow V\gamma$ decays and comparing it to the quark model results. For this purpose I rewrite the weak annihilation amplitude for the $B_c \rightarrow B_u^*\gamma$ decay (4.14), as obtained in the ISGW quark model [74], for the case of $D^0 \rightarrow \bar{K}^{*0}\gamma$ decay

$$A_{PC}^{III,IV} + A_{PC}^{VI} \propto \frac{\mu_{D^0} g_{D^0*} g_{\bar{K}^{*0}}}{m_{D^0}^2 - m_{\bar{K}^{*0}}^2} + \frac{\mu_{\bar{K}^{*0}} m_{D^0}^2 f_{D^0} f_{\bar{K}^{*0}}}{m_{D^0}^2 - m_{\bar{K}^{*0}}^2} . \quad (5.86)$$

The quark model results for decay constants f and g , defined in (4.12), are positive (4.16). The magnetic moment μ defined in (4.12) is given by (4.16)

$$\mu_{D^0} = \sqrt{\frac{m_{D^{*0}}}{m_{D^0}}} \left(\frac{2\beta_{D^0}\beta_{D^{*0}}}{\beta_{D^0}^2 + \beta_{D^{*0}}^2} \right)^{\frac{3}{2}} \left[\frac{e_c}{M_c} + \frac{e_u}{M_u} \right] , \quad \mu_{\bar{K}^{*0}} = \sqrt{\frac{m_{\bar{K}^{*0}}}{m_{\bar{K}^0}}} \left(\frac{2\beta_{\bar{K}^{*0}}\beta_{\bar{K}^0}}{\beta_{\bar{K}^0}^2 + \beta_{\bar{K}^{*0}}^2} \right)^{\frac{3}{2}} \left[\frac{e_s}{M_s} + \frac{e_d}{M_d} \right]$$

with $e_{u,c} = 2/3$ and $e_{d,s} = -1/3$. The quark model gives the negative relative sign for the amplitudes A_{PC}^{VI} and $A_{PC}^{III,IV}$ (5.86) for the case of $D^0 \rightarrow \bar{K}^{*0}\gamma$ decay [22]. The hybrid model expression $A_{PC}^{III,IV} + A_{PC}^{VI}$ (5.83) for $D^0 \rightarrow \bar{K}^{*0}\gamma$ decay with $\lambda < 0$ (5.52) and $\lambda' < 0$ (5.54) indicates that $C_{VV\Pi} > 0$, namely

$$C_{VV\Pi} = 0.31 . \quad (5.87)$$

The same conclusion is reached by comparing the quark and hybrid model results for any other $D \rightarrow V\gamma$ decay [22]. The positive sign is in agreement with the hidden symmetry prediction $C_{VV\Pi} = 3\tilde{g}_V^2/32\pi^2$ [83, 97].

5.4.2 The short distance contribution

The short distance contribution is present only in the Cabibbo suppressed $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays (decays 3 to 7 in Table 5.11) and is induced by the flavour changing neutral quark transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$, respectively. In the standard model, the corresponding branching ratios $Br(c \rightarrow u\gamma) = (1.3 \pm 0.6) \cdot 10^{-8}$ (2.22) [22] and $Br(c \rightarrow ul^+l^-) \sim (1.7^{+0.1}_{-0.7}) \cdot 10^{-9}$ (2.26) are small. Assuming that the exclusive rates of interest amount to about 10% of the inclusive ones, we have

$$Br^{SD}(D \rightarrow V\gamma) \sim 10^{-9} \quad \text{and} \quad Br^{SD}(D \rightarrow Vl^+l^-) \sim 10^{-10}. \quad (5.88)$$

In the standard model the short distance contributions are negligible compared to the corresponding long distance contributions for the Cabibbo suppressed decays given in Tables 5.13 and 5.14 below

$$Br^{LD}(D \rightarrow V\gamma) \sim 10^{-5} \quad \text{and} \quad Br^{LD}(D \rightarrow Vl^+l^-) \sim 10^{-7}.$$

The exact evaluation of the short distance contributions to $D \rightarrow V\gamma$ decays amounts to the calculation of (3.6, 2.6)

$$\mathcal{A}^{SD}(D \rightarrow V\gamma) = \langle \gamma V | : i\mathcal{L}^{c \rightarrow u\gamma} : | D \rangle \propto c_7^{eff} \epsilon^\mu q^\nu \langle V | \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) c | D \rangle.$$

The matrix elements $\langle V | \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) c | D \rangle$ have not been studied in this work so far. The form factors for $\langle V | \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) c | D \rangle$ can be related to the form factors for $\langle V | \bar{u} \gamma_\mu (1 - \gamma_5) c | D \rangle$ (5.51) at $q_{max}^2 = (m_D - m_V)^2$ using the heavy quark symmetry [75]. Together with an additional assumption for q^2 behavior of the form factors $\langle V | \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) c | D \rangle$, the short distance amplitudes for $D \rightarrow V\gamma$ decays can be predicted. In view of the fact, that $Br^{SD}(D \rightarrow V\gamma) \ll Br^{LD}(D \rightarrow V\gamma)$ in the standard model, I do not proceed with the evaluation of the short distance amplitudes for these decays.

The exact evaluation of the short distance contributions to $D \rightarrow Vl^+l^-$ decays amounts to the determination of (2.24, 3.6)

$$\mathcal{A}^{SD}(D \rightarrow Vl^+l^-) = \langle l^+ l^- V | : i\mathcal{L}^{c \rightarrow ul^+l^-} : | D \rangle = -i \frac{G_F}{\sqrt{2}} \frac{e^2}{8\pi^2} c_9^{eff} \langle V | \bar{u}_\alpha \gamma_\mu (1 - \gamma_5) c_\alpha | D \rangle \langle l^+ l^- | \bar{l} \gamma^\mu l | 0 \rangle.$$

The expressions for the form factors $\langle V | \bar{u}_\alpha \gamma_\mu (1 - \gamma_5) c_\alpha | D \rangle$ in the hybrid model are given in (5.51). The calculation of the short distance amplitudes is then straightforward giving

$$\begin{aligned} \mathcal{A}^{SD}[D(p) \rightarrow V(\epsilon', p') l^+(p_+) l^-(p_-)] = \\ -i \frac{G_F}{\sqrt{2}} e_0^2 \bar{u}(p_-) \gamma_\mu v(p_+) \epsilon_\nu'^* [i A_{PV}^{SD \mu\nu} + \epsilon^{\mu\nu\alpha\beta} p_\alpha q_\beta A_{PC}^{SD}] , \end{aligned}$$

with

$$\begin{aligned} A_{PC}^{SD} &= \frac{c_9^{eff}}{8\pi^2} 2\sqrt{2} f_{N^{(i)}} \lambda f_{D^*} \tilde{g}_V \sqrt{\frac{m_{D^*}}{m_D}} \frac{m_{D^*}}{q^2 - m_{D^*}^2}, \\ A_{PV}^{SD \mu\nu} &= -\frac{c_9^{eff}}{8\pi^2} \sqrt{2} f_{N^{(i)}} \sqrt{m_D} \tilde{g}_V \left[\alpha_1 g^{\mu\nu} - \alpha_2 \frac{p^\mu q^\nu}{m_D^2} \right] \end{aligned}$$

and $f_{N^{(i)}}$ is given in Table 5.11 for different Cabibbo suppressed decays. The amplitude has the proper gauge invariant form (note that there is no photon propagator $1/q^2$ as in the long distance contribution) and can be cast to the general form given in (3.3). In this case no relation between the coefficients α_1 and α_2 need to be imposed and I use the values given in (5.52).

5.4.3 The results

The $D \rightarrow V\gamma$ decays

First I present the results for the **long distance contributions** to the weak radiative decays of the charm mesons. The long distance amplitudes for $D \rightarrow V\gamma$ decays (5.81) are expressed in terms of parity conserving and parity violating amplitudes $\mathcal{A}_{PC,PV}^{I,\dots,VIII}$ (5.83, 5.85), where the Arabic numbers denote contributions from different diagrams in Figs. 5.8 and 5.9. The diagrams *VII* and *VIII* correspond to the bremsstrahlung and their amplitude (5.83) at $q^2 = 0$ is equal to zero. The numerical values of the amplitudes $\mathcal{A}_{PC,PV}^{I-VI}$ (5.83, 5.85) taken at $q^2 = 0$ are given in Table 5.12 for nine decays. The long distance penguin contribution, given by \mathcal{A}_{PV}^I and \mathcal{A}_{PC}^{II} , is small since it is proportional to the breaking of the $SU(3)$ flavour symmetry (5.78). The remaining parity conserving amplitudes $\mathcal{A}_{PC}^{III,IV}$ have negative relative sign. This is a consequence of the arguments on the sign of $C_{VV\Pi}$ given above. In the case of D^0 decays, these two parity conserving contributions tend to have similar magnitude and almost cancel. The predicted rates (5.84) are given in the last column of Table 5.12. The predicted branching ratios are not strongly dependent on the errors of the input parameters given in Section 5.2. The main uncertainty comes from the validity of the model, which is estimated to be of the order of 50%.

$D \rightarrow V\gamma$	$\mathcal{A}_{PC}^{III,IV}$	\mathcal{A}_{PC}^{VI}	\mathcal{A}_{PC}^{II}	\mathcal{A}_{PV}^V	\mathcal{A}_{PV}^I	Br_{LD}^{th}
$D^0 \rightarrow K^{*0}\gamma$	-4.5	5.6	0	-4.8	0	$4.6 \cdot 10^{-5}$
$D_s^+ \rightarrow \rho^+\gamma$	-0.96	7.3	0	-3.6	0	$1.7 \cdot 10^{-4}$
$D^0 \rightarrow \rho^0\gamma$	-0.61	0.96	0.015	-0.65	0.025	$1.2 \cdot 10^{-6}$
$D^0 \rightarrow \omega\gamma$	0.54	-0.96	0.015	0.57	0.025	$1.2 \cdot 10^{-6}$
$D^0 \rightarrow \Phi\gamma$	-1.4	1.2	0	-1.5	0	$3.3 \cdot 10^{-6}$
$D^+ \rightarrow \rho^+\gamma$	0.42	-1.3	0.022	1.2	0.035	$1.4 \cdot 10^{-5}$
$D_s^+ \rightarrow K^{*+}\gamma$	-0.26	2.3	0.021	-0.97	0.033	$1.4 \cdot 10^{-5}$
$D^+ \rightarrow K^{*+}\gamma$	0.11	-0.41	0	0.33	0	$9.5 \cdot 10^{-7}$
$D^0 \rightarrow K^{*0}\gamma$	0.23	-0.29	0	0.25	0	$1.2 \cdot 10^{-7}$

Table 5.12: The long distance amplitudes and branching ratios for $D \rightarrow V\gamma$ decays. The parity conserving and parity violating amplitudes $\mathcal{A}_{PC,PV}^{I-VI}$ (5.83, 5.85) for the diagrams *I–VI* in Figs. 5.8 and 5.9 are given in units 10^{-8} GeV^{-1} . The predicted branching ratios (5.84) are given in the last column. First two decays are Cabibbo allowed, the next five are Cabibbo suppressed and the last two are doubly Cabibbo suppressed.

In Table 5.13, our theoretical predictions [24, 25] (second column) are compared with

other theoretical predictions [21, 23, 112] (columns 3-5) and with the present experimental upper limits [108] (last column). In view of the discussion on other theoretical approaches at the beginning of Section 5.4, the difference in the predicted branching ratios is well understood. The predictions of [21] tend to be higher due to the possible double counting in the phenomenological approach [21]. The QCD sum rules analysis [23] did not incorporate the weak annihilation contributions where the photon is emitted after the weak transition. In our approach this contribution corresponds to A_{PC}^{VI} in Table 5.12. The amplitudes A_{PC}^{VI} and $A_{PC}^{III,IV}$ nearly cancel in D^0 decays, so the QCD sum rules predictions [23] are naturally larger. The amplitude A_{PC}^{VI} dominates over $A_{PC}^{III,IV}$ in charged D meson decays and the QCD sum rules predictions [23] are naturally smaller. The experimental upper limit for the channel $D^0 \rightarrow \bar{K}^{*0}\gamma$ is only one order of magnitude above the predicted rate and it is expected to be detected soon. There are unfortunately no experimental limits on the channel $D_s^+ \rightarrow \rho^+\gamma$, which is predicted at the highest rate.

The **short distance** parts of the branching ratios in the Cabibbo suppressed decays are of the order of 10^{-9} in the standard model (5.88) [22]. Since they are much smaller than the corresponding long distance contributions in the charm meson decays, they are not explicitly evaluated.

$D \rightarrow V\gamma$	Br_{LD}^{th}	$Br_{LD}^{th}[21]$	$Br_{LD}^{th}[23]$	$Br_{LD}^{th}[112]$	$Br^{exp}[108]$
$D^0 \rightarrow K^{*0}\gamma$	$4.6 \cdot 10^{-5}$	$[7 - 12] \cdot 10^{-5}$	$1.5 \cdot 10^{-4}$	$[8 - 11] \cdot 10^{-5}$	$< 7.6 \cdot 10^{-4}$
$D_s^+ \rightarrow \rho^+\gamma$	$1.7 \cdot 10^{-4}$	$[0.6 - 3.8] \cdot 10^{-4}$	$2.8 \cdot 10^{-5}$	$[0.8 - 2.1] \cdot 10^{-4}$	
$D^0 \rightarrow \rho^0\gamma$	$1.2 \cdot 10^{-6}$	$[1 - 5] \cdot 10^{-6}$	$3.1 \cdot 10^{-6}$		$< 2.4 \cdot 10^{-4}$
$D^0 \rightarrow \omega\gamma$	$1.2 \cdot 10^{-6}$	$2 \cdot 10^{-6}$			$< 2.4 \cdot 10^{-4}$
$D^0 \rightarrow \Phi\gamma$	$3.3 \cdot 10^{-6}$	$[1 - 34] \cdot 10^{-6}$			$< 1.9 \cdot 10^{-4}$
$D^+ \rightarrow \rho^+\gamma$	$1.4 \cdot 10^{-5}$	$[2 - 6] \cdot 10^{-5}$	$2.7 \cdot 10^{-6}$		
$D_s^+ \rightarrow K^{*+}\gamma$	$1.4 \cdot 10^{-5}$	$[0.8 - 3] \cdot 10^{-5}$			
$D^+ \rightarrow K^{*+}\gamma$	$9.5 \cdot 10^{-7}$			$6 \cdot 10^{-7}$	
$D^0 \rightarrow K^{*0}\gamma$	$1.2 \cdot 10^{-7}$				

Table 5.13: The predicted branching ratios for $D \rightarrow V\gamma$ decays as given by the long distance mechanisms: the predictions presented in this work are given in the column 2; The theoretical predictions presented in [21], [23] and [112] are given in columns 3, 4 and 5, respectively (for comparison see the comments in the text). The experimental upper bounds [108] are given in the last column. The short distance parts of the branching ratios for the Cabibbo suppressed decays $\sim 10^{-9}$ (5.88) are negligible in the standard model.

The $D \rightarrow Vl^+l^-$ decays

The allowed kinematical region for the di-lepton mass in the $D \rightarrow Vl^+l^-$ decay is $m_{ll} = [2m_l, m_D - m_V]$. The **long distance contribution** has resonant shape with poles at the di-lepton mass $m_{ll} = m_{\rho^0}, m_\omega, m_\phi$. There is another pole at zero di-lepton mass coming from the photon propagator. This pole is significant in $D \rightarrow Ve^+e^-$ decays where the kinematically allowed region for the di-lepton mass m_{ee} starts at $2m_e$. The lowest allowed

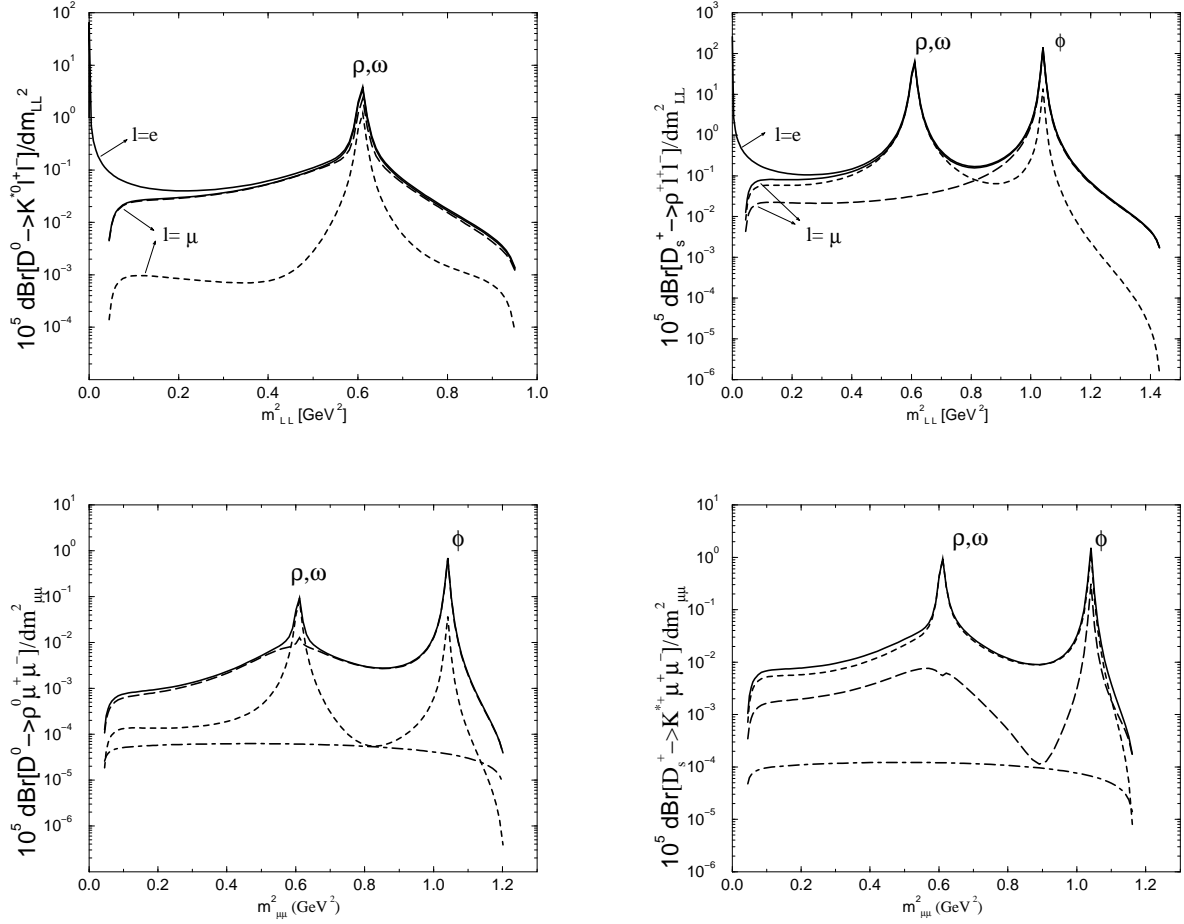


Figure 5.10: The differential branching ratios dBr/dm_{ll}^2 as a function of the invariant di-lepton mass m_{ll}^2 for the Cabibbo allowed decays $D^0 \rightarrow \bar{K}^{*0} l^+ l^-$, $D_s^+ \rightarrow \rho^+ l^+ l^-$ and Cabibbo suppressed decays $D^0 \rightarrow \rho^0 l^+ l^-$, $D_s^+ \rightarrow K^{*+} l^+ l^-$. The solid lines denote the long distance contribution, while the dot-dashed lines denote the short distance contribution. The dotted and dashed lines indicate the parity conserving and parity violating parts of the long distance contribution, respectively.

di-lepton mass in $D \rightarrow V \mu^+ \mu^-$ decays is $m_{\mu\mu} = 2m_\mu$ and the contribution due to the pole at zero di-lepton mass is much smaller in this case. In Fig. 5.10 I plot the differential branching ratios $dBr/dm_{\mu\mu}^2$ in terms of the di-lepton mass squared $m_{\mu\mu}^2$ for the two Cabibbo allowed decays $D^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-$ and $D_s^+ \rightarrow \rho^+ \mu^+ \mu^-$ and for two Cabibbo suppressed decays $D^0 \rightarrow \rho^0 \mu^+ \mu^-$ and $D_s^+ \rightarrow K^{*+} \mu^+ \mu^-$. The full line represents the long distance contribution, while the dotted and dashed lines present the parity conserving and parity violating parts of the long distance contribution, respectively. In the Cabibbo allowed decays I plot also the long distance contribution for the case of the electron and positron in the final state. The two spectrums are essentially identical above $m_{ll} > 0.3$ GeV due to the small masses of the

electron and muon. The predicted branching ratios for eight $D \rightarrow V\mu^+\mu^-$ and $D \rightarrow Ve^+e^-$ decays are given in Tables 5.14 and 5.15. The long distance parts of the predicted branching ratios Br_{LD}^{th} are given in the third column.

$D \rightarrow V\mu^+\mu^-$	Br_{SD}^{th}	Br_{LD}^{th}	$Br^{exp}[3, 110]$
$D^0 \rightarrow K^{*0}\mu^+\mu^-$	0	$1.2 \cdot 10^{-6}$	$< 1.18 \cdot 10^{-3}$
$D_s^+ \rightarrow \rho^+\mu^+\mu^-$	0	$3.3 \cdot 10^{-5}$	
$D^0 \rightarrow \rho^0\mu^+\mu^-$	$6.0 \cdot 10^{-10}$	$1.5 \cdot 10^{-7}$	$< 2.3 \cdot 10^{-4}$
$D^0 \rightarrow \omega\mu^+\mu^-$	$5.7 \cdot 10^{-10}$	$1.6 \cdot 10^{-7}$	$< 8.3 \cdot 10^{-4}$
$D^0 \rightarrow \phi\mu^+\mu^-$	0	$4.8 \cdot 10^{-8}$	$< 4.1 \cdot 10^{-4}$
$D^+ \rightarrow \rho^+\mu^+\mu^-$	$3.0 \cdot 10^{-9}$	$1.4 \cdot 10^{-6}$	$< 5.6 \cdot 10^{-4}$
$D_s^+ \rightarrow K^{*+}\mu^+\mu^-$	$1.2 \cdot 10^{-9}$	$5.6 \cdot 10^{-7}$	$< 1.4 \cdot 10^{-3}$
$D^+ \rightarrow K^{*+}\mu^+\mu^-$	0	$2.8 \cdot 10^{-8}$	
$D^0 \rightarrow K^{*0}\mu^+\mu^-$	0	$3.1 \cdot 10^{-9}$	

Table 5.14: The branching ratios for the Cabibbo allowed, suppressed and doubly suppressed $D \rightarrow V\mu^+\mu^-$ decays. The predicted short distance parts of the branching ratios in the standard model are given in column two, while the predictions for the long distance parts are given in column three. The present experimental upper limits are gathered in the last column [3, 110].

$D \rightarrow Ve^+e^-$	Br_{SD}^{th}	Br_{LD}^{th}	$Br^{exp}[3, 110]$
$D^0 \rightarrow K^{*0}e^+e^-$	0	$1.7 \cdot 10^{-6}$	$< 1.4 \cdot 10^{-4}$
$D_s^+ \rightarrow \rho^+e^+e^-$	0	$3.7 \cdot 10^{-5}$	
$D^0 \rightarrow \rho^0e^+e^-$	$6.6 \cdot 10^{-10}$	$1.7 \cdot 10^{-7}$	$< 1.0 \cdot 10^{-4}$
$D^0 \rightarrow \omega e^+e^-$	$6.3 \cdot 10^{-10}$	$1.9 \cdot 10^{-7}$	$< 1.8 \cdot 10^{-4}$
$D^0 \rightarrow \phi e^+e^-$	0	$8.0 \cdot 10^{-8}$	$< 5.2 \cdot 10^{-5}$
$D^+ \rightarrow \rho^+e^+e^-$	$3.0 \cdot 10^{-9}$	$1.6 \cdot 10^{-6}$	
$D_s^+ \rightarrow K^{*+}e^+e^-$	$1.2 \cdot 10^{-9}$	$7.3 \cdot 10^{-7}$	
$D^+ \rightarrow K^{*+}e^+e^-$	0	$3.9 \cdot 10^{-8}$	
$D^0 \rightarrow K^{*0}e^+e^-$	0	$4.4 \cdot 10^{-9}$	

Table 5.15: The branching ratios for the Cabibbo allowed, suppressed and doubly suppressed $D \rightarrow Ve^+e^-$ decays. The predicted short distance parts of the branching ratios in the standard model are given in column two, while the predictions for the long distance parts are given in column three. The present experimental upper limits are gathered in the last column [3, 110].

The **short distance** contribution is present only in the Cabibbo suppressed decays and is rather flat in terms of the di-lepton mass. The short distance part of $dBr(D^0 \rightarrow \rho^0\mu^+\mu^-)/dm_{\mu\mu}^2$ and $dBr(D_s^+ \rightarrow K^{*+}\mu^+\mu^-)/dm_{\mu\mu}^2$ in the standard model is shown by dashed-dotted line in Fig. 5.10. The part induced by the flavour changing neutral transition

$c \rightarrow ul^+l^-$ is found to be much smaller than the part induced by the long distance mechanisms in the whole region of m_η^2 . The predictions for short distance parts of the branching ratios are given in Tables 5.14 and 5.15. They are much smaller than the long distance parts of the branching ratios and they give negligible contribution to the total rates in the standard model.

The experimental upper bounds [3, 110] on the branching ratios are given in the last column of Tables 5.14 and 5.15. No experimental data is available on $D_s^+ \rightarrow \rho^+l^+l^-$ decay, which is predicted at the highest rate.

I conclude this section by stressing that the $D \rightarrow V\gamma$ and $D \rightarrow Vl^+l^-$ decays are not sensitive to the flavour changing neutral transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ unless these transitions are significantly enhanced by some mechanism beyond the standard model. The rates are dominated by the long distance contributions. Different scenarios of physics beyond the standard model could alter the short distance contributions, but none of the scenarios discussed in Chapter 2 can not enhance them above the long distance ones. The non-minimal supersymmetric model could perhaps enhance $Br(c \rightarrow u\gamma)$ up to $1.2 \cdot 10^{-5}$ (2.41), which could lead up to $Br^{SD}(D \rightarrow V\gamma) \sim 10^{-6}$ for the exclusive decays. Such effect would still be difficult to separate from the long distance contributions in the experiment, given the present theoretical and experimental uncertainties.

The experimental observation of these charm meson decay channels would improve our knowledge on the long distance dynamics in the heavy meson decays. In B meson decays similar long distance contributions present an important background in the extraction of the short distance contribution. The predicted rates indicate that the Cabibbo allowed channels $D^0 \rightarrow \bar{K}^{*0}\gamma$, $D_s^+ \rightarrow \rho^+\gamma$, $D^0 \rightarrow \bar{K}^{*0}l^+l^-$ and $D_s^+ \rightarrow \rho^+l^+l^-$ will be observed soon.

5.5 The $D \rightarrow Pl^+l^-$ decays

I close the chapter on charm meson decays with the study of rare charm meson decays $D \rightarrow Pl^+l^-$ with the light pseudoscalar P and a charged lepton pair in the final state. The decay $D \rightarrow P\gamma$ with a real photon in the final state is forbidden as the photon would have to be purely longitudinal. The Cabibbo suppressed decays have the flavour structure $c\bar{q} \rightarrow u\bar{q}l^+l^-$ and are interesting as possible probes for the flavour changing neutral transition $c \rightarrow ul^+l^-$. The short distance contribution, which arises due to the decay $c \rightarrow ul^+l^-$ at short distances, is accompanied by the long distance contribution. Different mechanisms were sketched in Chapter 3 and the long distance contribution was divided to the weak annihilation (Fig. 1.2) and long distance penguin (Fig. 1.3) part. In addition to the Cabibbo suppressed decays, I systematically study all the Cabibbo allowed and Cabibbo doubly suppressed $D \rightarrow Pl^+l^-$ decays. These can not proceed through the flavour changing neutral transition of a single quark and are induced only via the long distance mechanisms.

None of the $D \rightarrow Pl^+l^-$ decays have been observed so far and the experimental upper limits on the branching ratios are in the range $10^{-4} - 10^{-5}$ at present [3, 114].

The Cabibbo suppressed decays $D \rightarrow Pl^+l^-$ have been proposed as possible probes for various scenarios of physics beyond the standard model discussed in Chapter 2 [52, 62, 61, 111]. It has been stated in [111] that the “decays $D \rightarrow \pi l^+l^-$, $D_s^+ \rightarrow K^+l^+l^-$ constitute

a large discovery window and would present a strong evidence for new physics if observed at the branching ratios above 10^{-7} . It is therefore of obvious interest to obtain reliable estimates for the long distance contributions to these modes. One suspects that they are the dominant ones in the standard model and one should have a good control of their estimation in order to perform a meaningful search for new physics. In spite of this, the long distance contributions to these channels have not been determined so far with an exception of the channel $D^+ \rightarrow \pi^+ l^+ l^-$, which has been studied in [115]. The authors of [115] have calculated the long distance penguin contribution to $D^+ \rightarrow \pi^+ l^+ l^-$ decay via the exchange of the intermediate ϕ meson, $D^+ \rightarrow \pi^+ \phi \rightarrow \pi^+ l^+ l^-$. They have not accounted for the intermediate mesons ρ^0 and ω and they have neglected the weak annihilation contribution. The results on the $D^+ \rightarrow \pi^+ l^+ l^-$ rate within the hybrid model shows, that the long distance penguin contribution via the intermediate meson ϕ is indeed dominant for this particular channel.

The theoretical treatment of $D \rightarrow Pl^+ l^-$ decays faces different situation than encountered in beauty meson decays. The charm meson decays turn out to be dominated by the long distance contributions, while the most frequent beauty meson decays of this type, $B \rightarrow Kl^+ l^-$, arise due to $b \rightarrow sl^+ l^-$ decay at short distances. The kaon decays of this type, $K \rightarrow \pi l^+ l^-$, were discussed in Introduction. The long distance contribution via the photon exchange is almost absent in the CP violating $K_L \rightarrow \pi^0 l^+ l^-$ channel [9]. The $K^+ \rightarrow \pi^+ l^+ l^-$ channel is dominated by the long distance mechanisms and has similar dynamics to that encountered in the $D \rightarrow Pl^+ l^-$ decays. The $K^+ \rightarrow \pi^+ l^+ l^-$ decay has been extensively studied [7] and the available literature on the subject gives some feeling for various mechanisms, that have to be considered in the case of charm decays. The energies involved are however different. The chiral perturbation theory gives the reliable predictions in the kaon decays, while a model for charm decays has to incorporate the light as well as the heavy degrees of freedom.

In the following I adapt the hybrid model for the calculation of the long and short distance contributions to all $D \rightarrow Pl^+ l^-$ decays.

5.5.1 Long distance contributions

The long distance mechanism involves the exchange of a virtual photon $D \rightarrow P\gamma^* \rightarrow Pl^+ l^-$. The pseudoscalar and the virtual photon form the orbital momentum state $L = 1$ and the parity is conserved in the process. The weak transition is induced by the effective nonleptonic Lagrangian (3.22)

$$\mathcal{L}_{eff}^{|\Delta c|=1} = -\frac{G_F}{\sqrt{2}} V_{cq_j}^* V_{uq_i} [a_1^c \bar{u}\gamma^\mu(1-\gamma_5)q_i \bar{q}_j\gamma_\mu(1-\gamma_5)c + a_2^c \bar{q}_j\gamma_\mu(1-\gamma_5)q_i \bar{u}\gamma^\mu(1-\gamma_5)c] \quad (5.89)$$

with $a_1^c \simeq 1.26$, $a_2^c \simeq -0.55$ (3.20). The amplitudes (3.23)

$$\mathcal{A}_{LD}(D \rightarrow Pl^+ l^-) = \langle l^+ l^- P | : i\mathcal{L}_{eff}^{|\Delta c|=1} : | P \rangle$$

are calculated by employing the factorization approximation (3.21).

The Feynman diagrams are given in terms of the hadronic degrees of freedom contained in the hybrid model: heavy pseudoscalar (D) and vector (D^*) mesons and light pseudoscalar

(P) and vector (V) mesons. The relevant diagrams for $D \rightarrow Pl^+l^-$ decays in the hybrid model are given in Figs. 5.11 and 5.12. The boxes in the diagrams denote the action of the weak nonleptonic effective Lagrangian (5.89). This Lagrangian contains a product of two left handed quark currents, each denoted by a dot in a box. Let me comment on different contributions:

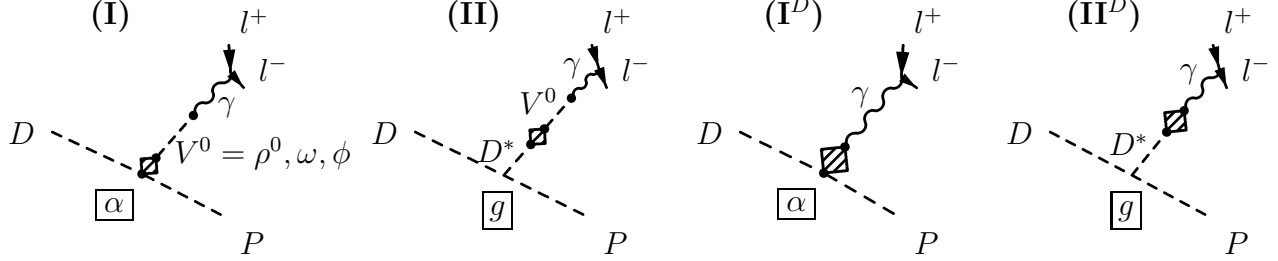


Figure 5.11: Long distance penguin diagrams for $D \rightarrow Pl^+l^-$ decays. The parameters, given in the frames by the vertexes, indicate which terms in the Lagrangian (5.37) and weak current (5.43) are responsible for the couplings. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22). The box contains two dots each denoting a weak current in the Lagrangian (3.22).

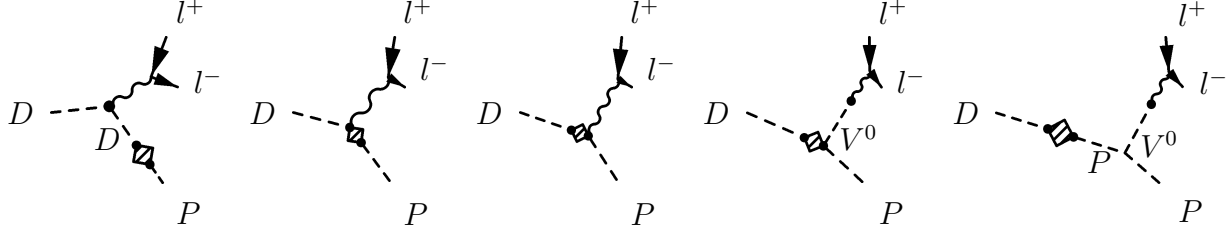
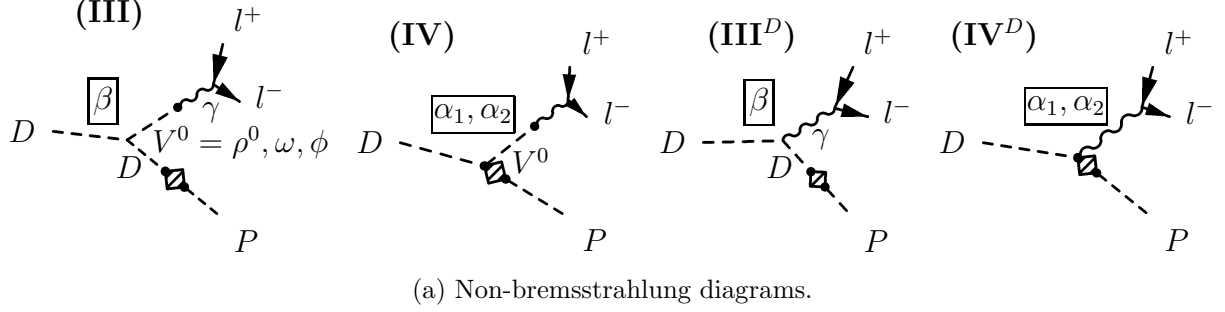
- The **long distance penguin** is sketched in Fig. 1.3 on the quark level. Its amplitude is GIM suppressed

$$\begin{aligned} \mathcal{A}_{LD}^{peng} &= -i \frac{G_F}{\sqrt{2}} \sum_{q=d,s} V_{cq}^* V_{uq} a_2^c \langle P | \bar{q} \gamma_\mu (1 - \gamma_5) q | D \rangle \langle \gamma | \bar{l} \gamma^\mu (1 - \gamma_5) l | 0 \rangle \\ &\simeq -i \frac{G_F}{\sqrt{2}} V_{cs}^* V_{us} a_2^c \langle P | \bar{u} \gamma^\mu (1 - \gamma_5) c | D \rangle \langle \gamma | \bar{s} \gamma_\mu s - \bar{d} \gamma_\mu d | 0 \rangle \end{aligned}$$

and it is presented by the diagrams in Fig. 5.11 in the hybrid model. The matrix elements $\langle P | \bar{u} \gamma^\mu (1 - \gamma_5) c | D \rangle$ can be expressed in terms of the form factors f_1 and f_0 (5.60) and the form factor f_0 does not contribute in the decay to a lepton pair. The form factor f_1 is given by the expression (5.62) in the hybrid model. The virtual photon is created by the weak current $\bar{s}(1 - \gamma_5)s - \bar{d}(1 - \gamma_5)d$ via the intermediate vector mesons ρ^0 , ω and ϕ (diagrams I and II) or directly (diagrams I^D and II^D). The significance of the diagrams, where the photon is directly coupled to the weak current, will be discussed in the connection with the gauge invariance later.

- The **long distance weak annihilation** corresponds to the quark level mechanism shown in Fig. 1.2. It is represented by the diagrams in Fig. 5.12 in the hybrid model. One weak current has the flavour of the initial D meson, while the other has the flavour of the final P meson. The vector mesons do not enter as the intermediate states (except for vector meson V^0 which converts to a photon) since the parity is conserved in $D \rightarrow Pl^+l^-$ decay. The terms proportional to $\epsilon^{\mu\nu\alpha\beta}$ in the Lagrangian do not contribute for the same reason²². The bremsstrahlung diagrams are given in

²²There are only three independent kinematical variables for a $D(p) \rightarrow P\gamma^*(q, \epsilon)$ decay and the their product with the antisymmetric tensor $\epsilon^{\mu\nu\alpha\beta}$ vanishes.



(b) Bremsstrahlung diagrams. The blob in the first diagram indicates that shape of the electromagnetic form factor $F_D(q^2)$ (given by the parameter κ (5.37)) is not determined in the hybrid model. The contribution of the first diagram is fortunately proportional to m_P^2/m_D^2 and therefore negligible.

Figure 5.12: Long distance weak annihilation diagrams for $D \rightarrow Pl^+l^-$ decays in the hybrid model. The bremsstrahlung diagrams are gathered in Fig. (b). The diagrams that do not correspond to bremsstrahlung are gathered in Fig. (a). The parameters, given in the frames by the vertexes, indicate which terms in the Lagrangian (5.37) and weak current (5.43) are responsible for the couplings. The box denotes the action of the weak nonleptonic effective Lagrangian (3.22). The box contains two dots each denoting a weak current in the Lagrangian (3.22).

Fig. 5.12b and are present only when D and P are charged. The diagrams, where the photon is emitted before the weak transition and do not correspond to bremsstrahlung, are gathered in Fig. 5.12a.

First I consider **bremsstrahlung** given by the diagrams in Fig. 5.12b. In view of the general discussion in Section 3.3.3, the bremsstrahlung amplitude for $D \rightarrow P\gamma^*$ diagrams in Fig. 3.4 can be parameterized in terms of the general electromagnetic form factors G_D , G_{Dd} , G_P and G_{Pd} as (3.29)

$$|\mathcal{A}[D(p) \rightarrow P\gamma^*(q, \epsilon)]| = \frac{G_F}{\sqrt{2}} e V_{CKM} V'_{CKM} f_D f_P \times \epsilon_\mu^* \left[\frac{G_D^{\mu\nu}(q^2) m_P^2 - G_P^{\mu\nu}(q^2) m_D^2}{m_D^2 - m_P^2} (p + p')_\nu + G_{Dd}^{\mu\nu}(q^2) p'_\nu + G_{Pd}^{\mu\nu}(q^2) p_\nu \right]. \quad (5.90)$$

In Section 3.3.3 I have shown that this amplitude is manifestly gauge invariant for the case of the flat form factors, the polar form factors and their linear combination (3.27). The bremsstrahlung diagrams in Fig. 5.12b, as given by the hybrid model, indicate

that $G_{Dd}=1$ is flat, G_P has resonant shape and G_{Pd} has a resonant and a flat part²³:

$$\begin{aligned}
G_{\pi^+}^{\mu\nu}(q^2) &= \frac{m_\rho^2 \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\rho^2} \right]}{m_\rho^2 - q^2 - i\Gamma_\rho m_\rho}, \\
G_{\pi^+d}^{\mu\nu}(q^2) &= 2G_{\pi^+}^{\mu\nu}(q^2) - g^{\mu\nu}, \\
G_{K^+}^{\mu\nu}(q^2) &= \frac{m_\rho^2 \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\rho^2} \right]}{2(m_\rho^2 - q^2 - i\Gamma_\rho m_\rho)} + \frac{m_\omega^2 \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\omega^2} \right]}{6(m_\omega^2 - q^2 - i\Gamma_\omega m_\omega)} + \frac{m_\phi^2 \left[g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\phi^2} \right]}{3(m_\phi^2 - q^2 - i\Gamma_\phi m_\phi)}, \\
G_{K^+d}^{\mu\nu}(q^2) &= 2G_{K^+}^{\mu\nu}(q^2) - g^{\mu\nu}.
\end{aligned} \tag{5.91}$$

The shape of the form factor $G_D(q^2)$ depends on the parameter κ (5.37) and it is flat for $\kappa = 0$ and resonant for $\kappa = 1$. The parameter κ could not be determined from the available experimental data and it was left undetermined. The contribution of the form factor G_D to the bremsstrahlung amplitude (5.90) is fortunately proportional to m_P^2 and will be neglected in view of $m_P^2 \ll m_D^2$. In this case, the bremsstrahlung amplitude (5.90) with form factors given in (5.91) vanishes exactly

$$\mathcal{A}_{Bremss.} = 0. \tag{5.92}$$

Now I consider the weak annihilation diagrams, which do not correspond to bremsstrahlung. The diagrams, where the **photon is emitted before the weak transition**, are gathered in Fig. 5.12a. The diagrams III and III^D are induced by the terms proportional to parameter β in the Lagrangian (5.37); their amplitude is proportional to m_P^2 and therefore small. The diagrams IV and IV^D are given by the terms proportional to α_1 and α_2 in the weak current (5.43). The significance of the diagrams III^D and IV^D will be discussed in connection with gauge invariance below. The hybrid model does not render any diagrams where the **photon is emitted after the weak transition**. Such diagrams would involve the weak transition $D \rightarrow R$ followed by the strong decay $R \rightarrow V^0 P$ and electromagnetic decay $V^0 \rightarrow l^+ l^-$. Due to the parity conservation in $D \rightarrow R$ transition, the resonance R should be pseudoscalar or axial vector meson. The hybrid model does not contain axial vector degrees of freedom and it is unfortunately not very powerful in analyzing this particular mechanism. Among the pseudoscalar resonances R , the resonances $R = P$ have already been incorporated to the bremsstrahlung contribution. The resonances $R \neq P$ do not contribute as the strong coupling $R - V^0 - P$ vanishes due to the isospin and G parity assignments of R , P and $V^0 = \rho, \omega, \phi$ states.

Discussion of gauge invariance

First let me review how the gauge invariance was assured in $B_c \rightarrow B_u^* \gamma$, $D \rightarrow V \gamma$ and $D \rightarrow V l^+ l^-$ decays. The parity conserving part of the amplitude was automatically gauge invariant. The parity violating mechanism was incorporated via the nonleptonic decay $P \rightarrow V V^0$ followed by $V^0 \rightarrow \gamma^*$ ($V^0 = \rho, \omega, \phi$). The $V V^0$ intermediate state involved three

²³In order to satisfy the condition $G_i(q^2=0)$ one has to take $\Gamma_{V^0}(q^2=0) = 0$.

helicity amplitudes $++$, $--$, 00 discussed in Section 4.2. The real photon in the final state can not have longitudinal polarization and the helicity state 00 had to be discarded when the decay $P \rightarrow V\gamma$ was considered. This was achieved by relating the form factors A_1 and A_2 (4.7, 4.9) that parameterize the nonleptonic decay $P \rightarrow VV^0$ in the factorization approximation.

In the case of $D \rightarrow P\gamma^* \rightarrow Pl^+l^-$ decay this idea would be implemented by considering the nonleptonic decay $D \rightarrow PV^0$ followed by the electromagnetic transition $V^0 \rightarrow l^+l^-$. As the nonleptonic decay $D \rightarrow PV^0$ involves only one PV^0 helicity state, namely 00 , one can not achieve the gauge invariance by relating different form factors that parameterize the nonleptonic decay $P \rightarrow VV^0$ in the factorization approximation. Instead, I will take advantage of the fact that the hybrid model is manifestly gauge invariant until the $SU(3)$ flavour breaking effects are incorporated in Section 5.1.8. For this discussion, it is particularly important, that the terms of the form $\mathcal{V}_\mu^D - \rho_\mu$ in (5.37, 5.41, 5.43) are invariant under the electromagnetic gauge transformation given in (5.34). The neutral fields from the matrix ρ couple to the photon via vector meson dominance (5.56), while the vector current $\mathcal{V}_\mu^D = ie_0 \mathcal{Q}A_\mu + \frac{1}{2}(\xi^\dagger \partial_\mu \xi + \xi \partial_\mu \xi^\dagger)$ (5.36) contains the photon field A_μ . The term $(\mathcal{V}_\mu^D - \rho_\mu)_{ss}$, for example, effectively incorporates the electromagnetic field through

$$-i\frac{1}{3}e_0 A_\mu - i\frac{1}{3}e_0 \frac{m_\phi^2}{q^2 - m_\phi^2} [g^{\mu\nu} - \frac{q^\mu q^\nu}{m_\phi^2}] A_\nu, \quad (5.93)$$

which is manifestly gauge invariant and equal to zero for the real photon. The terms proportional to $\mathcal{V}_\mu^D - \rho_\mu$ give rise to the photon emission via the resonance and the direct photon emission, so that both contributions cancel for the case of the real photon. The corresponding pairs of diagrams are shown in Figs. 5.11 and 5.12a: diagrams II and II^D are given by the term proportional to β in (5.37); diagrams III and III^D are given by the term proportional to g in (5.37); diagrams I , I^D , IV and IV^D are given by the terms proportional to α_1 and α_2 in the weak current (5.43). The pairs of the diagrams ensure the gauge invariance of the amplitude for $D \rightarrow Pl^+l^-$. In the same way the gauge invariance for the decays $D \rightarrow Vl^+l^-$ and $D \rightarrow V\gamma$ could be ensured without imposing the relations among the form factors A_1 and A_2 (5.80). This mechanism would not significantly change $D \rightarrow Vl^+l^-$ rates compared to the predictions given in Section 5.4. The predictions for $D \rightarrow V\gamma$ rates would be however dramatically decreased: the parity violating part of the $D \rightarrow V\gamma$ amplitude would vanish; the parity conserving part of the long distance penguin amplitude for $D \rightarrow V\gamma$ would vanish as well²⁴. In order to generate nonzero parity violating amplitudes to $D \rightarrow V\gamma$ decays, we made the phenomenologically motivated assumption based on the vector meson dominance mechanism in Section 5.4. The parity violating contribution was incorporated via the nonleptonic decay $D \rightarrow VV^0$ followed by $V^0 \rightarrow \gamma$. At the same time we discarded the contributions where the photon arises from the current \mathcal{V}^D [24, 25, 34].

5.5.2 Short distance contributions

The short distance contribution is present only in the Cabibbo suppressed $D \rightarrow Pl^+l^-$ decays and is induced by the flavour changing transition $c \rightarrow ul^+l^-$. The amplitude (3.6) is the

²⁴In Table 5.12 the only nonzero amplitudes would be $A_{PC}^{III,IV}$ and A_{PC}^{VI} , which have opposite signs in all the decays. They have similar magnitude in some decays and almost cancel.

matrix element of $\mathcal{L}^{c \rightarrow ul^+l^-}$ (2.24)

$$\mathcal{A}^{SD}(D \rightarrow Pl^+l^-) = \langle l^+l^-P | : i\mathcal{L}^{c \rightarrow ul^+l^-} : | D \rangle = -i \frac{G_F}{\sqrt{2}} \frac{e^2}{8\pi^2} c_9^{eff} \langle P | \bar{u}_\alpha \gamma_\mu (1 - \gamma_5) c_\alpha | D \rangle \langle l^+l^- | \bar{l} \gamma^\mu l | 0 \rangle$$

with $c_9^{eff} = 0.24_{-0.06}^{+0.01}$ (2.25) in the standard model. Possible physics beyond the standard model could alter the value of c_9^{eff} as well as the form of the effective Lagrangian $\mathcal{L}^{c \rightarrow ul^+l^-}$. The necessary matrix elements $\langle P | \bar{u} \gamma^\mu (1 - \gamma_5) c | D \rangle$ can be expressed in terms of the form factors f_1 and f_0 (5.60) and the form factor f_0 does not contribute in the decay to a lepton pair. The form factor f_1 in the hybrid model is given by the expression (5.62).

5.5.3 The amplitudes

The sum of the long distance amplitude, given by the diagrams in Figs. 5.11 and 5.12, and the short distance amplitude is

$$\mathcal{A}[D(p) \rightarrow Pl^+(p^+)l^-(p^-)] = i \frac{G_F}{\sqrt{2}} e_0^2 \bar{u}(p_-) \not{p} v(p_+) A(q^2) \quad (5.94)$$

with

$$\begin{aligned} A(q^2) &= A^{SD}(q^2) + A^{LD}(q^2) , \\ A^{LD}(q^2) &= A_{peng.}^{LD}(q^2) + A_{annih.}^{LD}(q^2) , \\ A^{SD}(q^2) &= -\frac{1}{4\pi^2} c_9^{eff} f_1(q^2) , \\ A_{peng.}^{LD}(q^2) &= a_2 V_{cs}^* V_{us} \frac{1}{q^2} f_1(q^2) N_1(q^2) , \\ A_{annih.}^{LD}(q^2) &= f_{Cabb}^{(i)} \frac{1}{q^2} M_1^{(i)}(q^2) f_P \left[f_D \frac{m_P^2}{m_D^2 - m_P^2} \beta - \sqrt{m_D} \left(\alpha_1 - \frac{m_D^2 + m_P^2 - q^2}{2m_D^2} \alpha_2 \right) \right] \frac{\tilde{g}_V}{\sqrt{2}} . \end{aligned}$$

The form factor $f_1(q^2)$ and the coefficient $N_1(q^2)$ are given by

$$\begin{aligned} f_1(q^2) &= K_{DP}^{(i)} \left[-\frac{f_D}{2} + g f_{D^*0} \frac{m_{D^*0} \sqrt{m_{D^*0} m_D}}{q^2 - m_{D^*0}^2} \right] , \\ N_1(q^2) &= \frac{g_\rho^2}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} - \frac{g_\omega^2}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} - \frac{2g_\phi^2}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} \\ &\quad + \frac{g_\rho^2}{m_\rho^2} - \frac{g_\omega^2}{3m_\omega^2} - \frac{2g_\phi^2}{3m_\phi^2} . \end{aligned}$$

The Cabibbo factors $f_{Cabb}^{(i)}$ and the coefficients $M_1(q^2)$ and $K_{DP}^{(i)}$ are given in Table 5.16 for eight $D \rightarrow Pl^+l^-$ decays. The coefficients $M_1^{(i)}$ are given in terms of $M_1^{D^0}$, $M_1^{D^+}$ and $M^{D_s^+}$

$$\begin{aligned} M_1^{D^0} &= \frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} + \frac{g_\rho}{m_\rho^2} + \frac{g_\omega}{3m_\omega^2} , \\ M_1^{D^+} &= -\frac{g_\rho}{q^2 - m_\rho^2 + i\Gamma_\rho m_\rho} + \frac{g_\omega}{3(q^2 - m_\omega^2 + i\Gamma_\omega m_\omega)} - \frac{g_\rho}{m_\rho^2} + \frac{g_\omega}{3m_\omega^2} , \\ M_1^{D_s^+} &= -\frac{2g_\phi}{3(q^2 - m_\phi^2 + i\Gamma_\phi m_\phi)} - \frac{2g_\phi}{3m_\phi^2} . \end{aligned} \quad (5.95)$$

Note that $N_1(0) = M_1(0) = 0$ and there is no pole arising from the photon propagator at $q^2 = 0$. The coefficients $N_1(q^2)$ and $M_1(q^2)$ are related to the coefficients $N(q^2)$ and $M(q^2)$ (5.75) used in $D \rightarrow Vl^+l^-$ decays via $N_1(q^2) = N(q^2) - N(0)$ and $M_1(q^2) = M(q^2) - M(0)$. The difference in two approaches arises from the direct photon emission induced by the field \mathcal{V}^D , which cancels the resonant emission at $q^2=0$ (5.93).

i	$D \rightarrow Pl^+l^-$	$f_{Cabb}^{(i)}$	$M^{(i)}$	$K_{DP}^{(i)}$
1	$D^0 \rightarrow \bar{K}^0 l^+ l^-$	$a_2 \cos^2 \theta_C$	$M_1^{D^0}$	0
2	$D_s^+ \rightarrow \rho^+ l^+ l^-$	$a_1 \cos^2 \theta_C$	$M_1^{D_s^+}$	0
3	$D^0 \rightarrow \pi^0 l^+ l^-$	$-a_2 \sin \theta_C \cos \theta_C$	$-M_1^{D^0}/\sqrt{2}$	$1/(\sqrt{2}f_\pi)$
4	$D^0 \rightarrow \eta l^+ l^-$	$a_2 \sin \theta_C \cos \theta_C$	$M_1^{D^0}(K_\eta^s - K_\eta^d)$	K_η^d/f
5	$D^+ \rightarrow \pi^+ l^+ l^-$	$-a_1 \sin \theta_C \cos \theta_C$	$M_1^{D^+}$	$1/f_\pi$
6	$D_s^+ \rightarrow K^+ l^+ l^-$	$a_1 \sin \theta_C \cos \theta_C$	$M_1^{D_s^+}$	$1/f_K$
7	$D^+ \rightarrow K^+ l^+ l^-$	$-a_1 \sin^2 \theta_C$	$M_1^{D^+}$	0
8	$D^0 \rightarrow K^0 l^+ l^-$	$-a_2 \sin^2 \theta_C$	$M_1^{D^0}$	0

Table 5.16: The Cabibbo factors $f_{Cabb}^{(i)}$, the coefficients $K_{DP}^{(i)}$ and the functions $M_1^{(i)}$ for eight $D \rightarrow Pl^+l^-$ decays.

5.5.4 The results

The allowed kinematical region for the di-lepton mass in the $D \rightarrow Pl^+l^-$ decay is $m_{ll} = [2m_l, m_D - m_P]$. The long distance contribution has resonant shape with poles at the di-lepton masses $m_{ll} = m_{\rho^0}, m_\omega, m_\phi$. There is no pole at zero di-lepton mass since the decay $D \rightarrow P\gamma$ is forbidden. The short distance contribution is rather flat in terms of the di-lepton mass. The spectrums of $D \rightarrow Pe^+e^-$ and $D \rightarrow P\mu^+\mu^-$ decays in terms of the di-lepton mass are practically identical. The difference in their rates is due to the kinematical region $m_{ll} = [2m_e, 2m_\mu]$ and is negligible. The rates for $D \rightarrow Pe^+e^-$ and $D \rightarrow P\mu^+\mu^-$ decays are practically identical and I do not consider them separately. The predicted branching ratios for eight decays are given in Table 5.17 together with the available experimental data [3, 114]. The long distance contributions are given in column 5. The penguin and weak annihilation parts of the long distance contribution are given in columns 3 and 4, respectively. The short distance contributions, as predicted by the standard model, are given in the second column and are smaller than the long distance contributions in all decays.

In Fig. 5.13 I plot the differential branching ratios $dBr/dm_{\mu\mu}^2$ in terms of the di-lepton mass squared $m_{\mu\mu}^2$ for the two Cabibbo allowed decays $D^0 \rightarrow \bar{K}^0 \mu^+ \mu^-$ and $D_s^+ \rightarrow \pi^+ \mu^+ \mu^-$. I plot also two Cabibbo suppressed decays $D^0 \rightarrow \pi^0 \mu^+ \mu^-$ and $D^+ \rightarrow \pi^+ \mu^+ \mu^-$, in which the kinematical upper bound on di-lepton mass $m_{ll}^{max} = m_D - m_P$ is as high as possible. The full line presents the long distance contribution, while the dotted and dashed lines present the penguin and weak annihilation parts of the long distance contribution, respectively. In the Cabibbo allowed decays I show also the long distance contribution for the case of the

$D \rightarrow Pl^+l^-$	Br^{SD} $l = \mu, e$	Br_{peng}^{LD} $l = \mu, e$	$Br_{annih.}^{LD}$ $l = \mu, e$	Br^{LD} $l = \mu, e$	Br^{exp} $l = e$	Br^{exp} $l = \mu$
$D^0 \rightarrow K^0 l^+ l^-$	0	0	$5.0 \cdot 10^{-7}$	$5.0 \cdot 10^{-7}$	$< 1.1 \cdot 10^{-4}$	$< 2.6 \cdot 10^{-4}$
$D_s^+ \rightarrow \pi^+ l^+ l^-$	0	0	$6.2 \cdot 10^{-6}$	$6.2 \cdot 10^{-6}$	$< 2.7 \cdot 10^{-4}$	$< 1.4 \cdot 10^{-4}$
$D^0 \rightarrow \pi^0 l^+ l^-$	$2.6 \cdot 10^{-9}$	$3.9 \cdot 10^{-7}$	$1.2 \cdot 10^{-8}$	$3.9 \cdot 10^{-7}$	$< 4.5 \cdot 10^{-5}$	$< 1.8 \cdot 10^{-4}$
$D^0 \rightarrow \eta l^+ l^-$	$8.6 \cdot 10^{-10}$	$1.2 \cdot 10^{-7}$	$2.1 \cdot 10^{-8}$	$1.4 \cdot 10^{-7}$	$< 1.1 \cdot 10^{-4}$	$< 5.3 \cdot 10^{-4}$
$D^+ \rightarrow \pi^+ l^+ l^-$	$1.3 \cdot 10^{-8}$	$1.9 \cdot 10^{-6}$	$1.4 \cdot 10^{-7}$	$1.7 \cdot 10^{-6}$	$< 5.2 \cdot 10^{-5}$	$< 1.5 \cdot 10^{-5}$
$D_s^+ \rightarrow K^+ l^+ l^-$	$3.7 \cdot 10^{-9}$	$5.6 \cdot 10^{-7}$	$3.2 \cdot 10^{-7}$	$8.3 \cdot 10^{-8}$	$< 1.6 \cdot 10^{-3}$	$< 1.4 \cdot 10^{-4}$
$D^+ \rightarrow K^+ l^+ l^-$	0	0	$8.2 \cdot 10^{-9}$	$8.2 \cdot 10^{-9}$	$< 2.0 \cdot 10^{-4}$	$< 4.4 \cdot 10^{-5}$
$D^0 \rightarrow K^0 l^+ l^-$	0	0	$1.3 \cdot 10^{-9}$	$1.3 \cdot 10^{-9}$		

Table 5.17: The branching ratios for eight $D \rightarrow Pl^+l^-$ decays. The predictions for the short distance contributions in the standard model are given in column 2, while the predictions for the long distance contributions are given in column 5. The experimental upper bounds are given in the last two columns [3, 114]. The penguin and the weak annihilation parts of the long distance contribution are given in the columns 3 and 4, respectively.

electron and positron in the final state. The short distance contribution to $D^0 \rightarrow \pi^0 \mu^+ \mu^-$ and $D^+ \rightarrow \pi^+ \mu^+ \mu^-$ decays is represented by the dot-dashed line. The long distance contribution dies out in the kinematical region above the resonances and the short distance contribution becomes dominant. The decays $D \rightarrow \pi l^+ l^-$ at high di-lepton mass present the unique opportunity to probe the flavour changing neutral transition $c \rightarrow u l^+ l^-$ in the future. As the pion is the lightest hadron state, this interesting kinematical region is not present in other $D \rightarrow X l^+ l^-$ decays.

Let me examine the kinematical region of high di-lepton mass in $D \rightarrow \pi l^+ l^-$ decays more closely. In this region the excited states of the vector mesons ρ^0 , ω and ϕ may become important. By means of the duality their contribution is partly incorporated in the short distance part of the amplitude. I make a very rough estimate of the additional long distance contributions due to the excited states $\rho^0(1450)$, $\omega(1420)$ and $\phi(1680)$. The knowledge of their couplings to photons, as well as to other particles, are poor at present and I assume that they couple with the same couplings as the corresponding ground state vector mesons ρ^0 , ω and ϕ . This assumption probably overestimates their contribution. At the same time I take their measured masses $m_{V'}$ and widths $\Gamma_{V'}$ given in Table 5.18. In this case the coefficients

	$m_{V'} [\text{GeV}]$	$\Gamma_{V'} [\text{GeV}]$
$\rho(1450)$	1.465	0.310
$\omega(1420)$	1.419	0.174
$\phi(1680)$	1.649	0.150

Table 5.18: The masses and widths of the excited vector mesons.

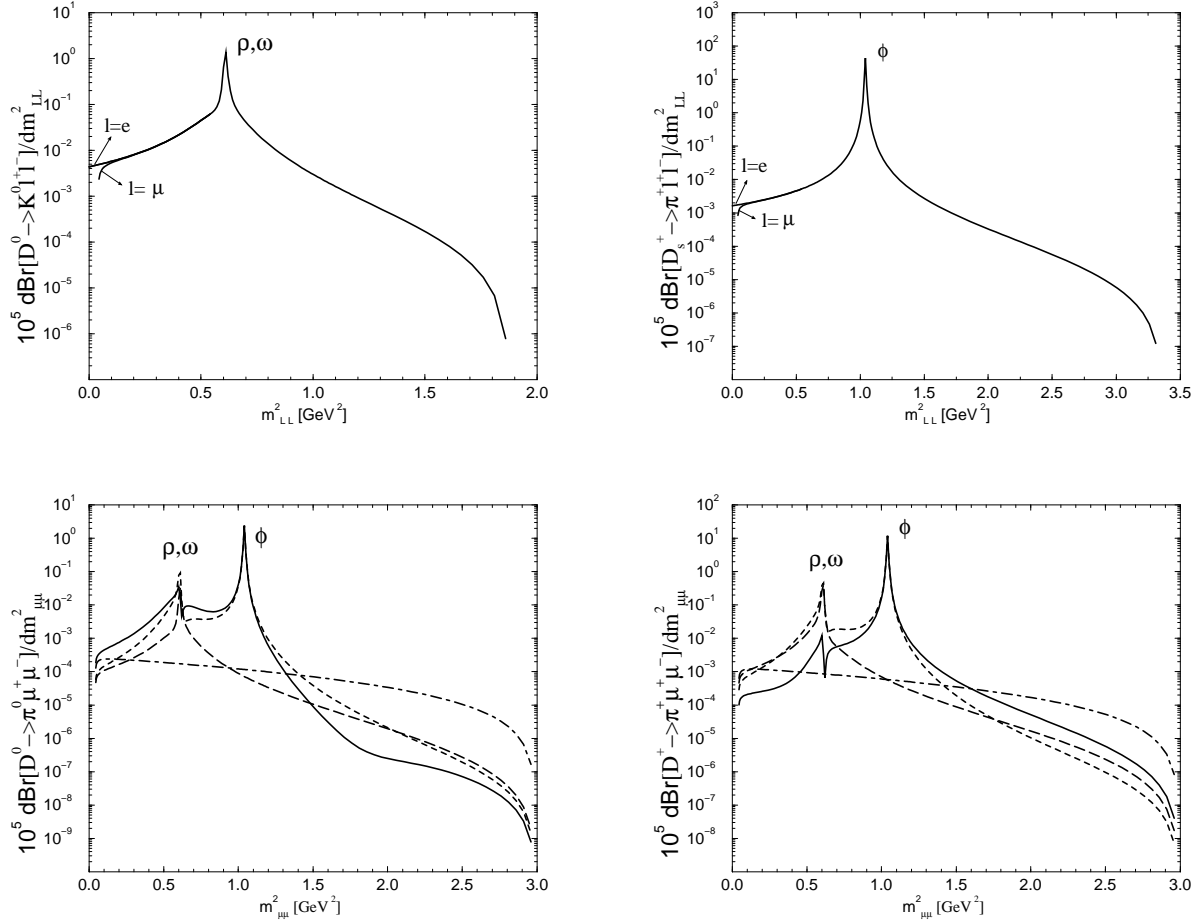


Figure 5.13: The differential branching ratios dBr/dm_{ll}^2 as a function of the invariant dilepton mass m_{ll}^2 for the decays $D^0 \rightarrow \bar{K}^0 l^+ l^-$, $D_s^+ \rightarrow \pi^+ l^+ l^-$, $D^0 \rightarrow \pi^0 l^+ l^-$ and $D^+ \rightarrow \pi^+ l^+ l^-$. The solid lines denote the long distance contribution, while the dot-dashed lines denote the short distance contribution. In the last two figures, the dotted and dashed lines indicate the penguin and the weak annihilation parts of the long distance contribution, respectively.

N_1 and M_1 are replaced in the formulas above by

$$\begin{aligned}
N_1 &\rightarrow N_1 + \frac{g_\rho^2}{q^2 - m_{\rho'}^2 + i\Gamma_{\rho'} m_{\rho'}} - \frac{g_\omega^2}{3(q^2 - m_{\omega'}^2 + i\Gamma_{\omega'} m_{\omega'})} - \frac{2g_\phi^2}{3(q^2 - m_{\phi'}^2 + i\Gamma_{\phi'} m_{\phi'})} \\
&\quad + \frac{g_\rho^2}{m_{\rho'}^2} - \frac{g_\omega^2}{3m_{\omega'}^2} - \frac{2g_\phi^2}{3m_{\phi'}^2}, \\
M_1^{D^0} &\rightarrow M_1^{D^0} + \frac{g_\rho}{q^2 - m_{\rho'}^2 + i\Gamma_{\rho'} m_{\rho'}} + \frac{g_\omega}{3(q^2 - m_{\omega'}^2 + i\Gamma_{\omega'} m_{\omega'})} + \frac{g_\rho}{m_{\rho'}^2} + \frac{g_\omega}{3m_{\omega'}^2}, \\
M_1^{D^+} &\rightarrow M_1^{D^+} - \frac{g_\rho}{q^2 - m_{\rho'}^2 + i\Gamma_{\rho'} m_{\rho'}} + \frac{g_\omega}{3(q^2 - m_{\omega'}^2 + i\Gamma_{\omega'} m_{\omega'})} - \frac{g_\rho}{m_{\rho'}^2} + \frac{g_\omega}{3m_{\omega'}^2}, \\
M_1^{D_s^+} &\rightarrow M_1^{D_s^+} - \frac{2g_\phi}{3(q^2 - m_{\phi'}^2 + i\Gamma_{\phi'} m_{\phi'})} - \frac{2g_\phi}{3m_{\phi'}^2}.
\end{aligned}$$

The differential branching ratios for the charged and neutral $D \rightarrow \pi \mu^+ \mu^-$ decays are given in Fig. 5.14. The dashed line represents the long distance part that incorporates the ground state and the excited vector mesons. The solid line represent the long distance part that incorporates only the ground state vector mesons. The dashed-dotted line represent the short distance contribution in the standard model. The excited vector resonances $\rho^0(1450)$, $\omega(1420)$, $\phi(1680)$ are much more broad than the ground state vector resonances ρ^0 , ω , ϕ and their contribution to the $D \rightarrow \pi l^+ l^-$ rates is much smaller. The short distance contribution is dominant at high di-lepton mass in spite of the long distance channels via the excited vector mesons. The integrated $D \rightarrow \pi l^+ l^-$ rates over the kinematical region of high di-lepton mass are shown in Table 5.19. The short distance part is given in the second column. The long distance part, which does not incorporate the excited vector mesons, is given in the third column. The long distance part, which incorporate the ground state and the excited vector mesons, is given in the last column. The short distance mechanism induced by the flavour changing neutral transition $c \rightarrow u l^+ l^-$ is indeed dominant in this kinematical region.

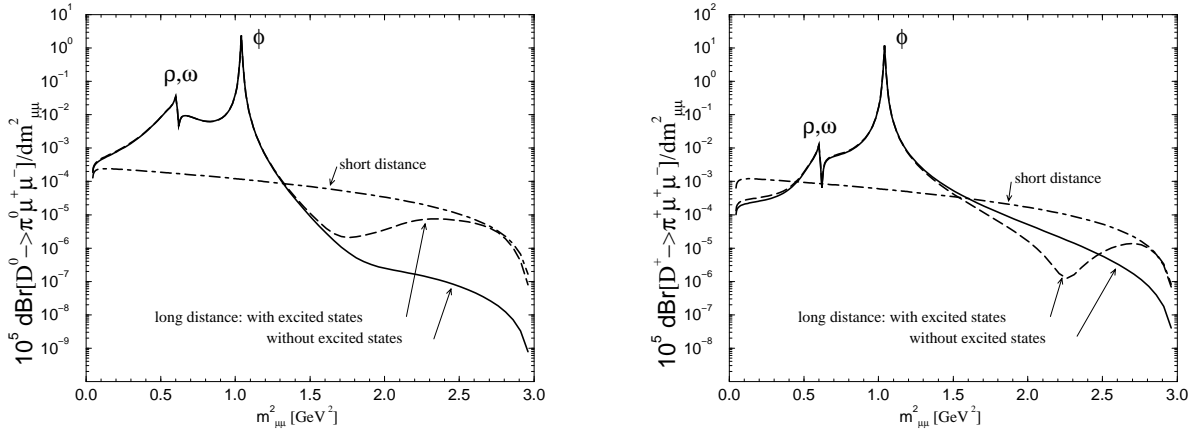


Figure 5.14: The differential branching ratios $dBr/dm_{\mu\mu}^2$ as a function of the invariant di-lepton mass $m_{\mu\mu}^2$ for the decays $D^0 \rightarrow \pi^0 \mu^+ \mu^-$ and $D^+ \rightarrow \pi^+ \mu^+ \mu^-$. The dot-dashed line denotes the short distance contribution due to the $c \rightarrow u l^+ l^-$ transition as predicted by the standard model. The long distance contribution is calculated in two different ways: the dashed line indicates the long distance contribution, which takes into account the excited vector mesons ρ' , ω' and ϕ' ; the solid line indicates the long distance contribution, which does not take into account the excited vector mesons. The short distance mechanism dominates over the long distance mechanism in the region $m_{\mu\mu}^2 > 1.6 \text{ GeV}^2$. The spectrum for the case of electron and positron in the final state is almost identical in the region $m_{ee} > 2m_\mu$.

I conclude by stressing that the $D \rightarrow Pl^+ l^-$ rates are dominated by the long distance contributions in the standard model. The $D^+ \rightarrow \pi^+ l^+ l^-$ and $D_s^+ \rightarrow \pi^+ l^+ l^-$ decays are predicted with the highest rates and may soon be observed (see Table 5.17).

The decay channels $D^0 \rightarrow \pi^0 l^+ l^-$ and $D^+ \rightarrow \pi^+ l^+ l^-$ are of special interest. In the kinematical region of high di-lepton mass they are dominantly induced by the flavour changing

	short distance	long distance without ρ', ω', ϕ'	long distance with ρ', ω', ϕ'
$Br(D^0 \rightarrow \pi^0 l^+ l^-)[m_{ll}^2 > 1.5 \text{ GeV}^2]$	$3.8 \cdot 10^{-10}$	$9.9 \cdot 10^{-12}$	$6.5 \cdot 10^{-11}$
$Br(D^+ \rightarrow \pi^+ l^+ l^-)[m_{ll}^2 > 1.7 \text{ GeV}^2]$	$1.3 \cdot 10^{-9}$	$4.3 \cdot 10^{-10}$	$2.3 \cdot 10^{-10}$

Table 5.19: The $D \rightarrow \pi l^+ l^-$ branching ratios integrated over the kinematical region of high di-lepton mass. The short distance part, as predicted by the standard model, is given in the second column. The long distance part, which does not incorporate the excited vector mesons, is given in the third column. The long distance part, which incorporates the ground state and the excited vector mesons, is given in the last column.

neutral transition $c \rightarrow ul^+ l^-$. The short distance mechanism, as predicted by the standard model, dominates over the long distance mechanism in this kinematical region. These decays present the unique opportunity to probe the flavour changing neutral transition $c \rightarrow ul^+ l^-$, which has enhanced sensitivity to the physics beyond the standard model.

Chapter 6

Conclusion

I have explored the possibility of using the heavy meson decays as probes for the flavour changing neutral transitions $c \rightarrow u\gamma$, $c \rightarrow ul^+l^-$ and $c\bar{u} \rightarrow l^+l^-$, which are very rare in the standard model and present a good test for physics beyond it. I have calculated the standard model predictions for the heavy meson decay channels of interest and explored their sensitivity to several scenarios of physics beyond the standard model: the models with the extended Higgs sector, the minimal and non-minimal supersymmetric standard model, standard model with an extension of the fourth generation and left-right symmetric models. The effects of new physics on the decays $c \rightarrow u\gamma$, $c \rightarrow ul^+l^-$ and $c\bar{u} \rightarrow l^+l^-$ are found to be severely constrained by the present experimental upper bound on Δm_D .

A hadron decay induced by the flavour changing neutral transition at short distances may also be induced by the long distance mechanisms. The long distance contribution can severely overshadow the short distance contribution of interest in a hadron decay.

The baryon decays, discussed in Chapter 3, are found to be dominated by the long distance effects. The only channels, which are not expected to be dominated by the long distance contribution, are $\Xi_{cc}^{++} \rightarrow \Sigma_c^{++}\gamma$ and $\Omega_{ccc}^{++} \rightarrow \Xi_{cc}^{++}\gamma$ decays. These decays could serve for probing the $c \rightarrow u\gamma$ transition, but they are too exotic for the experimental investigation at present.

Among the meson decays, the decay channels of the pseudoscalar heavy meson states are the most appropriate to study the rare weak channels.

- The $B_c \rightarrow B_u^*\gamma$ decay is proposed as the most suitable channel to study the $c \rightarrow u\gamma$ transition. Different contributions to this decay have been predicted using the Isgur-Scora-Grinstein-Wise model. The long distance part of the branching ratio is predicted at $(7.5^{+7.7}_{-4.3}) \cdot 10^{-9}$ and it is small due to the factor $V_{cb}^*V_{ub}$ in the amplitude. The uncertainty arises from the value of parameter C_{MVD} (4.4), which is proportional to the $SU(3)$ flavour breaking parameter. The short distance part of the branching ratio, which arises via the $c \rightarrow u\gamma$ transition, is predicted at $4.7 \cdot 10^{-9}$ in the standard model. The short and long distance contributions give branching ratios of comparable size $\sim 10^{-8}$, which in principle allows to use the $B_c \rightarrow B_u^*\gamma$ decay for probing the $c \rightarrow u\gamma$ transition. The total branching ratio is predicted at $(8.5^{+5.8}_{-2.5}) \cdot 10^{-9}$ and the experimental detection of this decay at the branching ratios well above 10^{-8} would

clearly indicate a signal of physics beyond the standard model. This decay is especially sensitive to the scenarios that could significantly enhance the $c \rightarrow u\gamma$ rate compared to the standard model predictions. Its branching ratio could be enhanced up to $4 \cdot 10^{-6}$ in some versions of the nonminimal supersymmetric model. Such effect would give distinctive experimental signature when the measurements reach the corresponding sensitivity. The effects arising from the fourth generation could enhance the short distance part of the branching ratio up to $3 \cdot 10^{-7}$. The effects of the extended Higgs sector and that of the left-right symmetry are negligible compared to the standard model contributions. Different scenarios could be probed at The Large Hadron Collider, which is expected to produce $2.1 \cdot 10^8$ B_c mesons with $p_T(B_c) > 20$ GeV at the integrated luminosity 100 fb^{-1} .

- Among the charm decays, which can be induced via the transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$, the most interesting are the Cabibbo suppressed decay channels $D \rightarrow V\gamma$, $D \rightarrow Vl^+l^-$ and $D \rightarrow Pl^+l^-$ (V and P denote light vector and pseudoscalar mesons, respectively). I have systematically studied also all the Cabibbo allowed and the Cabibbo doubly suppressed decays of this kind, which arise only via the long distance mechanisms. To study the charm meson decays I adapted the hybrid model, which combines the heavy quark effective theory and chiral perturbation theory, and proposed a mechanism to incorporate the long distance contributions in a manifestly gauge invariant way.

The predictions for the long distance parts of the branching ratios (Br_{LD}) for nine $D \rightarrow V\gamma$ decays are given in the second column of Table 5.13, while the short distance parts of the branching ratios for the Cabibbo suppressed decays are of the order of 10^{-9} (5.88) in the standard model. The predicted long and short distance parts of branching ratios (Br_{LD} and Br_{SD}) for $D \rightarrow Vl^+l^-$ and $D \rightarrow Pl^+l^-$ decays are given Tables 5.14, 5.15 and 5.17. The main uncertainty in the predicted rates arises from the validity of the model and is estimated to be of the order of 50%. The branching ratios for the charm meson decays are found to be dominated by the long distance contributions. They are not sensitive to the flavour changing neutral transitions $c \rightarrow u\gamma$ and $c \rightarrow ul^+l^-$ unless these transitions are significantly enhanced by some mechanism beyond the standard model. Different scenarios of the physics beyond the standard model could alter the short distance contributions, but none of the scenarios, discussed in Chapter 2, can not enhance them above the long distance ones. The non-minimal supersymmetric model could perhaps enhance the short distance parts of the branching ratios for the Cabibbo suppressed $D \rightarrow V\gamma$ decays up to about 10^{-6} . Such enhancement would still be difficult to separate from the long distance contributions in the measured rate, given the present theoretical and experimental uncertainties.

A window for probing the $c \rightarrow ul^+l^-$ transition is, however, found at the kinematical region of high di-lepton masses ($m_{ll} \simeq [1.2 \text{ GeV}, m_D - m_\pi]$) in $D \rightarrow \pi l^+l^-$ decays. The Fig. 5.14 and Table 5.19 indicate that the short distance contribution, as predicted by the standard model, dominates over the long distance contribution in this kinematical region. The $c \rightarrow ul^+l^-$ rate is found to be rather insensitive to the effects of the scenarios of new physics discussed in Chapter 2 due to strong constraints imposed

by the present experimental upper bound on Δm_D . In spite of this fact, it would be interesting to confirm the standard model prediction for the $c \rightarrow ul^+l^-$ rate in this unique channel. A more detailed study of the end-point kinematical region in $D \rightarrow \pi l^+l^-$ decays has to be carried out for this purpose.

The predicted rates in Tables 5.13, 5.14, 5.15 and 5.17 raise our hopes that the decays $D^0 \rightarrow \bar{K}^{*0}\gamma$, $D_s \rightarrow \rho^+\gamma$, $D^+ \rightarrow \rho^+\gamma$, $D_s^+ \rightarrow K^{*+}\gamma$, $D^0 \rightarrow \bar{K}^{*0}l^+l^-$, $D_s^+ \rightarrow \rho^+l^+l^-$, $D_s^+ \rightarrow \pi^+l^+l^-$ and $D^+ \rightarrow \pi^+l^+l^-$ will be observed soon. Let me point out, that there are no existing experimental upper bounds for the Cabibbo allowed channels $D_s^+ \rightarrow \rho^+\gamma$ and $D_s^+ \rightarrow \rho^+l^+l^-$, which are predicted at the highest rates. The experimental observation of the rare charm decay channels would improve our knowledge on the long distance dynamics in the heavy meson decays and would help to disentangle the similar long distance contributions in the decays of beauty mesons.

In addition several interesting results have arisen through this work:

- The long distance penguin contribution is induced by the $SU(3)$ flavour breaking part of the action. This contribution is particularly small in the case of the decays $D \rightarrow V\gamma$ and $B_c \rightarrow B_u^*\gamma$, where a remarkable $SU(3)$ cancellation is carried from the quark level to the hadronic level (4.4, 5.78).
- The bremsstrahlung part of the amplitude for a general decay of the form $P \rightarrow V\gamma$ is found to vanish (3.31). The bremsstrahlung amplitudes for $D \rightarrow Pl^+l^-$ decays, as predicted by the hybrid model, are found to vanish in the limit $m_P^2 \ll m_D^2$. The bremsstrahlung amplitudes for $D \rightarrow Vl^+l^-$ decays vanish in the exact $SU(3)$ flavour limit.
- The charm meson nonleptonic two body decays, in which the annihilation contribution is negligible and in which the final state contains a single isospin, are well understood in terms of the hybrid model. The predicted and the measured rates are summarized in Table 5.9 and agree well given the simplicity of the model and the present experimental uncertainties.

I would like to conclude by prompting the experimental colleagues to look for the interesting decay channels proposed in this work. This investigation may lead to new insights into the fundamental interactions of the elementary particles. At the same time, it will improve our understanding of the strong interactions in the weak decays of the heavy mesons.

Appendix A

Evolution of effective operators with the renormalization scale μ

A.1 Evolution of operators O_1 and O_2

The evolution of the coefficients c_1 and c_2 in the effective Lagrangian

$$\begin{aligned}\mathcal{L} &= -\frac{G_F}{\sqrt{2}}[c_1(\mu)O_1(\mu) + c_2(\mu)O_2(\mu)] \\ O_1 &= \bar{q}_3^\alpha \gamma_\mu (1 - \gamma_5) q_1^\alpha \bar{q}_4^\beta \gamma^\mu (1 - \gamma_5) q_2^\beta \\ O_2 &= \bar{q}_3^\alpha \gamma_\mu (1 - \gamma_5) q_2^\alpha \bar{q}_4^\beta \gamma^\mu (1 - \gamma_5) q_1^\beta\end{aligned}\tag{A.1}$$

with the renormalization scale μ is studied in this section. The matrix elements γ_{ij} defined by (2.7)

$$\mu \frac{d}{d\mu} c_j(\mu) \equiv \gamma_{ij}(\mu) c_i(\mu)\tag{A.2}$$

for $i, j = 1, 2$ are explicitly calculated by neglecting the mixing of $O_1(\mu)$ and $O_2(\mu)$ with other operators in (2.10).

Let me start by the first part of \mathcal{L} (A.1)

$$\mathcal{L}_1 = -\frac{G_F}{\sqrt{2}} c_1 O_1 .\tag{A.3}$$

The quantities without the subscript "B" (for bare) denote the renormalized quantities and the strong one-loop corrections to \mathcal{L}_1 in Figs. A.2b, A.2c and A.2d give infinite results. The bare Lagrangian at the one-loop level is obtained by calculating the necessary counterterms that cancel the divergences of the diagrams in Fig. A.2. In minimal subtraction scheme combined with the dimensional regularization in $d = 4 - \epsilon$ dimensions, the infinite parts of the form $1/\epsilon$ are subtracted [116]. First let me calculate the necessary counterterms for the diagrams in Figs. A.2c and A.2d.

The renormalization of the diagrams in Figs. A.2c and A.2d

The diagrams in Figs. A.2c and A.2d present the strong corrections to the currents $j_{1,\mu} = \bar{q}_3^\alpha \gamma_\mu (1 - \gamma_5) q_1^\alpha$ and $j_2^\mu = \bar{q}_4^\beta \gamma^\mu (1 - \gamma_5) q_2^\beta$, respectively. The diagrams in Fig. A.2c do not have any effect on the current j_2 and we will explicitly show that they do not affect the current j_1 either ¹.

The infinities arising from the **first two diagrams** of Fig. A.2c are cancelled by adding the counter terms $\bar{q}_i(iB\not{p} - Am)q_i$ where

$$-i\Sigma(p) - i(Am - B\not{p}) = \text{finite}$$

and $-i\Sigma(p)$ is the amplitude of the diagram in Fig. A.1

$$-i\Sigma(p) = \mu^\epsilon (-i\frac{g_s}{2}\lambda^a)^2 \int \frac{d^d k}{(2\pi)^d} \frac{-i}{k^2} \gamma^\mu \frac{i(\not{p} + \not{k} + m)}{(p+k)^2 - m^2} \gamma_\mu = -i\frac{4}{3}(4m - \not{p}) \frac{g_s^2}{8\pi^2\epsilon} + \text{finite} ,$$

so

$$A = -\frac{16}{3} \frac{g_s^2}{8\pi^2\epsilon} \quad \text{and} \quad B = -\frac{4}{3} \frac{g_s^2}{8\pi^2\epsilon} . \quad (\text{A.4})$$

The infinity arising from the **last diagram** of Fig. A.2c is cancelled by adding a counterterm $-\frac{G_F}{\sqrt{2}}c_1 DO_1$ with

$$O_1^{\text{loop } c} + DO_1 = \text{finite}$$

and $O_1^{\text{loop } c}$ denotes the effective operator for the last loop diagram in Fig. A.2c

$$\begin{aligned} O_1^{\text{loop } c} &= \mu^\epsilon (-i\frac{g_s}{2}\lambda^a)^2 \bar{q}_3 \int \frac{d^d k}{(2\pi)^d} \frac{-i}{k^2} \gamma^\nu \frac{i(\not{p}_3 + \not{k} + m_3)}{(p_3+k)^2 - m_3^2} \gamma_\mu (1 - \gamma_5) \frac{i(\not{p}_1 + \not{k} + m_1)}{(p_1+k)^2 - m_1^2} q_1 j_2^\mu \\ &= \frac{4}{3} \frac{g_s^2}{8\pi^2\epsilon} O_1 + \text{finite} , \end{aligned}$$

so

$$D = -\frac{4}{3} \frac{g_s^2}{8\pi^2\epsilon} . \quad (\text{A.5})$$

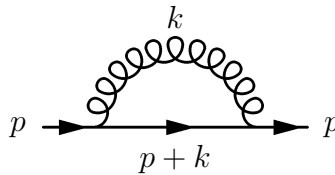


Figure A.1: Renormalization of the quark mass and the wave function due to the strong interactions.

¹This is a consequence of the Ward-Takashi identity.

If the diagrams in Fig. A.2c presented the only strong correction to \mathcal{L}_1 (A.3) at this order, then the corresponding bare Lagrangian \mathcal{L}_1 would be given by²

$$\begin{aligned}\mathcal{L}_1 &= -\frac{G_F}{\sqrt{2}}c_1(\mu)(1+D)\mu^\epsilon \bar{q}_3^\alpha \gamma_\mu (1-\gamma_5) q_1^\alpha j_2^\mu + \sum_{i=1,3} \bar{q}_i [i(1+B)\not{\partial} - (1+A)m] q_i \quad (\text{A.6}) \\ &= -\frac{G_F}{\sqrt{2}}c_1(\mu) \frac{1+D}{1+B} \mu^\epsilon \bar{q}_{B3}^\alpha \gamma_\mu (1-\gamma_5) q_{B1}^\alpha j_{B2}^\mu + \sum_{i=1,3} \bar{q}_{Bi} [i\not{\partial} - m_B] q_{Bi} .\end{aligned}$$

In the last line, the Lagrangian is rewritten in terms of the bare quantities

$$q = \frac{q_B}{\sqrt{1+B}} \quad \text{and} \quad m = \frac{1+B}{1+A} m_B \quad (\text{A.7})$$

and since $B = D$ (A.4, A.5)

$$\mathcal{L}_1 = -\frac{G_F}{\sqrt{2}}c_1(\mu)\mu^\epsilon O_{B1} \xrightarrow{\epsilon \rightarrow 0} -\frac{G_F}{\sqrt{2}}c_1 O_{B1} = -\frac{G_F}{\sqrt{2}}c_1 O_1 , \quad (\text{A.8})$$

the renormalized Lagrangian (A.8) has the same form as the tree level Lagrangian (A.3). The Lagrangian \mathcal{L}_1 (A.3) does not get renormalized by the diagrams in Fig. A.2c. Similar conclusion follows for the diagrams in Fig. A.2d.

The renormalization of the diagrams in Fig A.2b

The divergent parts arising from the diagrams in Fig. A.2b are cancelled by adding the counterterm $-\frac{G_F}{\sqrt{2}}c_1(EO_1 + FO_2)$ with the condition

$$O_1^{loop\ b} + EO_1 + FO_2 = \text{finite} . \quad (\text{A.9})$$

The $O_1^{loop\ b}$ denotes the effective operator for the loop diagrams in Fig. A.2b, which are induced by O_1

$$\begin{aligned}O_1^{loop\ b} &= (-i\frac{g_s}{2})^2 \mu^\epsilon \int \frac{d^d k}{(2\pi)^d} \frac{-i}{k^2} \quad (\text{A.10}) \\ &\times \left[-\bar{q}_3 \gamma^\nu \lambda^a i \frac{\not{k} + \not{p}_3 + m_3}{(k+p_3)^2 - m_3^2} \gamma^\mu (1-\gamma_5) q_1 \bar{q}_4 \lambda_a \gamma_\nu i \frac{\not{k} - \not{p}_4 + m_4}{(k-p_4)^2 - m_4^2} \gamma_\mu (1-\gamma_5) q_2 \right. \\ &\quad - \bar{q}_3 \gamma^\mu \lambda^a i \frac{\not{k} - \not{p}_1 + m_1}{(k-p_1)^2 - m_1^2} \gamma^\nu (1-\gamma_5) q_1 \bar{u}_4 \lambda_a \gamma_\mu i \frac{\not{k} + \not{p}_2 + m_2}{(k+p_2)^2 - m_2^2} \gamma_\nu (1-\gamma_5) u_2 \\ &\quad + \bar{u}_3 \gamma^\mu \lambda^a i \frac{\not{k} - \not{p}_1 + m_1}{(k-p_1)^2 - m_1^2} \gamma^\nu (1-\gamma_5) q_1 \bar{q}_4 \lambda_a \gamma_\nu i \frac{\not{k} - \not{p}_4 + m_4}{(k-p_4)^2 - m_4^2} \gamma_\mu (1-\gamma_5) q_2 \\ &\quad \left. + \bar{q}_3 \gamma^\nu \lambda^a i \frac{\not{k} + \not{p}_3 + m_3}{(k+p_3)^2 - m_3^2} \gamma^\mu (1-\gamma_5) q_1 \bar{q}_4 \lambda_a \gamma_\mu i \frac{\not{k} + \not{p}_2 + m_2}{(k+p_2)^2 - m_2^2} \gamma_\nu (1-\gamma_5) q_2 \right] \\ &= (-i\frac{g}{2})^2 I^{\alpha\beta} \bar{q}_3 [\gamma^\mu \gamma_\alpha \gamma^\nu - \gamma^\nu \gamma_\alpha \gamma^\mu] \lambda^a (1-\gamma_5) q_1 \bar{q}_4 [\gamma_\nu \gamma_\beta \gamma_\mu - \gamma_\mu \gamma_\beta \gamma_\nu] \lambda_a (1-\gamma_5) q_2 + \text{finite}\end{aligned}$$

²The power of μ in the first term is obtained by equating the mass dimensions of the left and right hand side and by taking $[\mathcal{L}] = d$, $[q] = (d-1)/2$, $[G_F] = -2$.

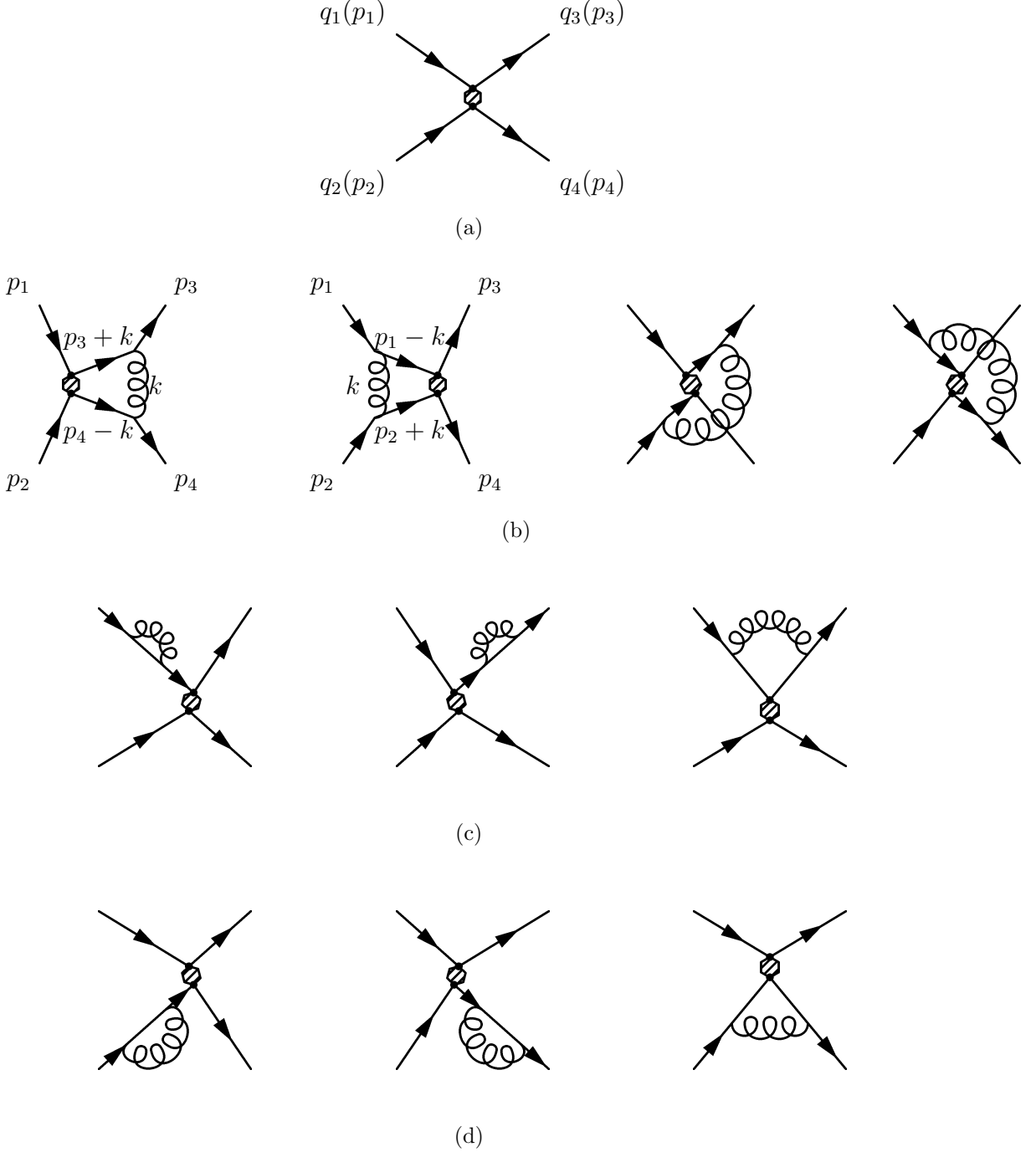


Figure A.2: The strong corrections to the effective operator O_1 (3.7, A.1) at the order of α_s . The operator O_1 (3.7, A.1) is denoted by the hexagon. The two dots in the hexagon denote the action of the weak current given by $\gamma^\mu(1 - \gamma_5)$.

with

$$\begin{aligned}
I^{\alpha\beta} &= i\mu^\epsilon \int \frac{d^d k}{(2\pi)^d} \frac{(k + p_A)^\alpha (k + p_B)^\beta}{[(k + p_A)^2 - m_A^2][(k + p_B)^2 - m_B^2]k^2} \\
&= 2i\mu^\epsilon \int_0^1 dx \int_0^{1-x} dy \frac{d^d k}{(2\pi)^d} \left[\frac{k^\alpha k^\beta}{(k^2 - F^2)^3} + \frac{1}{131} \frac{[p_A - yp_A + (x+y)p_B]^\alpha [p_B - yp_A + (x+y)p_B]^\beta}{(k^2 - F^2)^3} \right] \\
&= \frac{\mu^\epsilon}{2(4\pi)^{2-\epsilon/2}} \int_0^1 dx \int_0^{1-x} dy \{ -g^{\alpha\beta} \Gamma(\epsilon/2) (F^2)^{-\epsilon/2} \} + \text{finite} = -\frac{g^{\alpha\beta}}{4} \frac{1}{8\pi^2\epsilon} + \text{finite} .
\end{aligned} \tag{A.11}$$

Inserting $I^{\alpha\beta}$ to (A.10)

$$O_1^{loop\ b} = -\frac{1}{4} \frac{1}{8\pi^2\epsilon} (-i\frac{g_s}{2})^2 \bar{q}_3 [\gamma^\mu \gamma^\beta \gamma^\nu - \gamma^\nu \gamma^\beta \gamma^\mu] \lambda^a (1 - \gamma_5) q_1 \\ \times \bar{q}_4 [\gamma_\nu \gamma_\beta \gamma_\mu - \gamma_\mu \gamma_\beta \gamma_\nu] \lambda_a (1 - \gamma_5) q_2 + \text{finite}$$

and using $\gamma^\mu \gamma^\beta \gamma^\nu - \gamma^\nu \gamma^\beta \gamma^\mu = 2i\epsilon^{\mu\beta\nu\beta} \gamma_\beta \gamma_5$, $\lambda_{ij}^a \lambda_{kl}^a = -2/3 \delta_{ij} \delta_{kl} + 2\delta_{il} \delta_{jk}$ and the Fierz rearrangement we get

$$O_1^{loop\ b} = \mu^\epsilon (O_1 - 3O_2) \frac{g_s^2}{8\pi^2\epsilon} + \text{finite} .$$

With (A.9)

$$E = -\frac{g_s^2}{8\pi^2\epsilon} \quad \text{and} \quad F = 3 \frac{g_s^2}{8\pi^2\epsilon}$$

the bare Lagrangian is given by

$$\mathcal{L}_1 = -\frac{G_F}{\sqrt{2}} c_1(\mu) \mu^\epsilon [O_1 + EO_1 + FO_2] = -\frac{G_F}{\sqrt{2}} c_1(\mu) \mu^\epsilon [O_{B1} + EO_{B1} + FO_{B2}] .$$

Each of the operators O_1 , O_2 was replaced by the bare operator O_{B1} , O_{B2} since the two currents in the operators do not get renormalized, as we have seen above. The Lagrangian (58) is the one-loop renormalized Lagrangian corresponding to the tree level Lagrangian (A.3).

Analogously, the one-loop renormalization of the tree level Lagrangian $\mathcal{L}_2 = -\frac{G_F}{\sqrt{2}} c_2 O_2$ turns out to be

$$\mathcal{L}_2 = -\frac{G_F}{\sqrt{2}} c_1(\mu) \mu^\epsilon [O_{B2} + EO_{B2} + FO_{B1}] .$$

Finally, the one-loop renormalized Lagrangian corresponding to the tree level Lagrangian (A.1) is given by the sum of (58) and (A.12)

$$\mathcal{L} = -\frac{G_F}{\sqrt{2}} \mu^\epsilon \left([c_1(\mu)(1 + E) + c_2(\mu)F] O_{B1} + [c_2(\mu)(1 + E) + c_1(\mu)F] O_{B2} \right) \quad (\text{A.12}) \\ = -\frac{G_F}{\sqrt{2}} \mu^\epsilon \left(\left[c_1(\mu) \left(1 - \frac{g_s^2}{8\pi^2\epsilon} \right) + 3c_2(\mu) \frac{g_s^2}{8\pi^2\epsilon} \right] O_{B1} + \left[c_2(\mu) \left(1 - \frac{g_s^2}{8\pi^2\epsilon} \right) + 3c_1(\mu) \frac{g_s^2}{8\pi^2\epsilon} \right] O_{B2} \right)$$

and since the bare Lagrangian and the bare operators O_{B1} and O_{B2} are μ independent

$$\mu \frac{d}{d\mu} \left[c_1(\mu) \left(1 - \frac{g_s^2}{8\pi^2\epsilon} \right) + 3c_2(\mu) \frac{g_s^2}{8\pi^2\epsilon} \right] = 0 \quad , \quad \mu \frac{d}{d\mu} \left[c_2(\mu) \left(1 - \frac{g_s^2}{8\pi^2\epsilon} \right) + 3c_1(\mu) \frac{g_s^2}{8\pi^2\epsilon} \right] = 0 . \quad (\text{A.13})$$

The equations must be solved for an arbitrary value of the regulator ϵ , which is eventually sent to zero. The coefficient for each term in the Laurent series $1/\epsilon^n$ of (A.13) must vanish. In order to be able to solve the coupled equations, any quantity a , with a value $a(\epsilon=0)$ in four dimensions, has to be defined in arbitrary demensions $a(\epsilon) = a_0 + a_1\epsilon + \dots$. The matrix γ_{ij} , defined by (A.2), is calculated from the equations (A.13) following the general procedure explained in the equations (18.6.1)-(18.6.8) of [117]

$$\gamma = \frac{g_s^2}{8\pi^2} \begin{pmatrix} -1 & 3 \\ 3 & -1 \end{pmatrix} .$$

A.2 Anomalous dimension γ_{77}

The one-loop strong corrections to the effective Lagrangian (2.6)

$$\mathcal{L} = -\frac{4G_F}{\sqrt{2}}c_7 O_7 \quad , \quad O_7 = \frac{e}{32\pi^2}m_1 \bar{q}_2 \sigma_{\mu\nu} (1 + \gamma_5) q_1 F^{\mu\nu} \quad (\text{A.14})$$

are shown in Fig. 2.1. The bare Lagrangian at the one-loop level is obtained by calculating the necessary counterterms that cancel the divergences arising from the diagrams in Fig. 2.1. In minimal subtraction scheme combined with the dimensional regularization in $d = 4 - \epsilon$ dimensions, the infinite parts of the form $1/\epsilon$ are subtracted.

The renormalization of diagram in Fig. 2.1a

The effective operator $O_7^{loop \ a}$ for the one loop diagram in Fig. 2.1a is

$$\begin{aligned} O_7^{loop \ a} &= \frac{e}{32\pi^2} m_1 \left(-i \frac{g_s}{2} \lambda^a\right)^2 \mu^\epsilon \int \frac{d^d k}{(2\pi)^d} \frac{-i}{k^2} \bar{q}_2 \gamma^\delta \frac{i(\not{p}_2 + \not{k} + m_2)}{(p_2 + k)^2 - m_2^2} \sigma^{\mu\nu} (1 + \gamma_5) \frac{i(\not{p}_1 + \not{k} + m_1)}{(p_1 + k)^2 - m_1^2} \gamma_\delta q_1 F_{\mu\nu} \\ &= \frac{e}{32\pi^2} m_1 \left(-i \frac{g_s}{2} \lambda^a\right)^2 \bar{q}_2 \gamma^\delta \gamma_\alpha \sigma^{\mu\nu} (1 + \gamma_5) \gamma_\beta q_1 F_{\mu\nu} I^{\alpha\beta} + \text{finite} . \end{aligned}$$

The $1/\epsilon$ part of $I^{\alpha\beta}$ (A.11) is proportional to $g^{\alpha\beta}$ and so

$$O_7^{loop \ a} = \text{finite}$$

since $g^{\alpha\beta} \gamma_\alpha \sigma^{\mu\nu} (1 + \gamma_5) \gamma_\beta = \gamma^\beta \sigma^{\mu\nu} \gamma_\beta (1 - \gamma_5) = 0$. The diagram in Fig. 2.1a is finite and no counterterm is needed.

The renormalization of diagrams in Fig. 2.1b

The diagrams in Fig. 2.1b contain the quark self energies loops and are renormalized by adding the counterterms $\bar{q}_i (iB \not{\partial} - Am) q_i$ where A and B were determined in (A.4). The complete bare Lagrangian expressed in terms of the bare quantities via (A.7) is³

$$\begin{aligned} \mathcal{L} &= -\frac{4G_F}{\sqrt{2}} c_7(\mu) \mu^{-\rho_7 \epsilon} O_7 + \sum_{i=1,2} \bar{q}_i [i(1+B)\not{\partial} - (1+A)m] q_i \\ &= -\frac{4G_F}{\sqrt{2}} c_7(\mu) \mu^{-\rho_7 \epsilon} \mu^{-\epsilon/2} \frac{e_B}{32\pi^2} \frac{1+B}{1+A} m_{B1} \frac{\bar{q}_{B2} \sigma_{\mu\nu} (1 + \gamma_5) q_{B1}}{1+B} F_B^{\mu\nu} + \sum_{i=1,2} \bar{q}_{Bi} [i\not{\partial} - m_B] q_{Bi} \\ &= -\frac{4G_F}{\sqrt{2}} c_7(\mu) \mu^{-\rho_7 \epsilon} \mu^{-\epsilon/2} \frac{1}{1+A} O_{B7} + \sum_{i=1,2} \bar{q}_{Bi} [i\not{\partial} - m_B] q_{Bi} \end{aligned}$$

³The coefficient $\rho_7 = -1/2$ is obtained by equating the mass dimensions of the left and right side $[\mathcal{L}] = -2 - \rho_7 \epsilon + 1 + 2[q] + [F_{\mu\nu}]$ with $[\mathcal{L}] = d$, $[q] = (d-1)/2$ and $[F^{\mu\nu}] = d/2$. The result turns out to be independent of ρ_7 . The strong interactions do not renormalize the electric field and the electric charge, but the bare and the renormalized electric charges have different dimensions: $e_B = \mu^{\epsilon/2} e$.

and it is μ independent so

$$\mu \frac{d}{d\mu} \left[c_7(\mu) \mu^{-\rho_7 \epsilon} \mu^{-\epsilon/2} \frac{1}{1+A} \right] = 0 \quad \text{or} \quad \mu \frac{d}{d\mu} \left[c_7(\mu) \mu^{-\rho_7 \epsilon} \mu^{-\epsilon/2} \left(1 + \frac{16}{3} \frac{g_s^2}{8\pi^2 \epsilon} \right) \right] = 0 . \quad (\text{A.15})$$

The only contribution to the running of the Willson coefficient c_7 comes from the renormalization of the mass in the definition of O_7 , which is responsible for the factor $1/(1+A)$ (A.4). The coefficient for each term in the Laurent series $1/\epsilon^n$ of (A.15) must vanish. Using a general procedure given in equations (18.6.1)-(18.6.8) of [117], the coupled equations (A.15) give

$$\gamma_{77} = \frac{16}{3} \frac{g_s^2}{8\pi^2} .$$

Appendix B

General forms of various vertices

Vertex $q_1 - q_2 - \gamma^*$

The amplitude for the vertex $q_1 - q_2 - \gamma^*$, where γ^* denotes a real or a virtual photon, has a general form $\mathcal{A}[q_1 \rightarrow q_2 \gamma^*(q, \epsilon)] = \epsilon^\mu \langle q_2 | J_\mu^{em} | q_1 \rangle$ with a Lorentz decomposition

$$\langle q_2 | J_\mu^{em}(q) | q_1(p) \rangle = \bar{u}_2 [i q^\nu \sigma_{\mu\nu} (A_1 + A_2 \gamma_5) + \gamma_\mu (A_3 + A_4 \gamma_5) + q_\mu (A_5 + A_6 \gamma_5)] u_1 . \quad (\text{B.1})$$

In a theory with the left-handed charged current interaction and in the limit of the massless quark q_2 , the quark q_2 should necessarily be left-handed. This implies $A_1 = A_2$, $A_3 = -A_4$, $A_5 = A_6$ and the electromagnetic gauge condition $\partial^\mu J_\mu^{em} = 0$ implies $-A_3/q^2 = A_5/m_1$. The amplitude can then be generally written as

$$\mathcal{A}[q_1 \rightarrow q_2 \gamma^*(q, \epsilon)] = \epsilon^\mu \bar{u}_2 [A i m_1 q^\nu \sigma_{\mu\nu} (1 + \gamma_5) + B (q^2 \gamma_\mu - q_\mu \not{q}) (1 - \gamma_5)] u_1 \quad (\text{B.2})$$

and for on-shell photons with $q^2 = 0$ and $q\epsilon = 0$

$$\mathcal{A}[q_1 \rightarrow q_2 \gamma(q, \epsilon)] = i A m_1 \bar{u}_2 \sigma_{\mu\nu} (1 + \gamma_5) u_1 \epsilon^\mu q^\nu . \quad (\text{B.3})$$

The coefficients A and B are functions of Lorentz invariant products of the momenta and have to be evaluated for the specific processes. The amplitude is of the second order in the external momenta and has to be evaluated at least to that order.

Vertex $q_1 - q_2 - Z^*$

The vertex $q_1 - q_2 - Z^*$ has a decomposition similar to (B.1) but there is no gauge condition to be imposed. This vertex can be calculated in the zeroth order in external momenta [45] and only the second term in the general expression (B.1) survives. In the limit $m_2 \rightarrow 0$, the vertex has the form

$$\mathcal{A}(q_1 \rightarrow q_2 Z^*) = C \bar{u}_2 \gamma_\mu (1 - \gamma_5) u_1 \epsilon^\mu . \quad (\text{B.4})$$

The $q_1 - q_2 - l^+ - l^-$ box diagram

The W box diagram in Fig. 2.4b couples only left-handed fermions has the amplitude of the general form

$$\mathcal{A}^{box} = D \bar{u}_2 \gamma_\mu (1 - \gamma_5) u_1 \bar{l} \gamma^\mu (1 - \gamma_5) l . \quad (\text{B.5})$$

Appendix C

The two Higgs doublet model

The details of the two Higgs doublet model, presented in Section 2.2.1, are given here. The five physical Higgs bosons of this model are identified first. Then the couplings of the neutral physical Higgs bosons and the up-like quarks are derived for the general two Higgs doublet model given by (2.29).

Among the eight real fields contained in

$$\Phi_1 = \left[\begin{array}{c} \phi_1^+ \\ \frac{v_1 + H_1 + iA_1}{\sqrt{2}} \end{array} \right] \quad \text{and} \quad \Phi_2 = \left[\begin{array}{c} \phi_2^+ \\ \frac{v_2 + H_2 + iA_2}{\sqrt{2}} \end{array} \right],$$

two charged and one neutral field are would-be Goldstone bosons (G^\pm and G^0) of the $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$ breaking. They give the masses to W^\pm and Z gauge bosons. The would-be Goldstone bosons can be identified by noting that they couple linearly to W or Z bosons in the $|D_\mu \Phi_1|^2 + |D_\mu \Phi_2|^2$ part of the Lagrangian. In the notation of [118], this part of the Lagrangian is given by

$$\begin{aligned} |D_\mu \Phi_1|^2 + |D_\mu \Phi_2|^2 &= |(\partial_\mu + i\frac{1}{2}g\vec{\tau}\vec{A}_\mu + i\frac{1}{2}g'B_\mu)\Phi_1|^2 + |(\partial_\mu + i\frac{1}{2}g\vec{\tau}\vec{A}_\mu + i\frac{1}{2}g'B_\mu)\Phi_2|^2 \\ &= \frac{ig}{\sqrt{2}} [W^+(v_1\partial_\mu\phi_1^- + v_2\partial_\mu\phi_2^-) - W^-(v_1\partial_\mu\phi_1^+ + v_2\partial_\mu\phi_2^+) + iZ^\mu(v_1\partial_\mu A_1 + v_2\partial_\mu A_2)/\cos\theta_W] + \dots \end{aligned}$$

and the dots represent the terms that are not the products of one Higgs and one gauge boson field. Defining the angle β by $\tan\beta \equiv v_2/v_1$, the identified would-be Goldstone boson fields are expressed as

$$G^\pm = \cos\beta\phi_1^\pm + \sin\beta\phi_2^\pm \quad \text{and} \quad G^0 = \cos\beta A_1 + \sin\beta A_2.$$

The charged scalar H^\pm and the neutral pseudoscalar A_0 physical fields are the orthogonal combinations

$$H^\pm = \cos\beta\phi_2^\pm - \sin\beta\phi_1^\pm \quad \text{and} \quad A^0 = \cos\beta A_2 - \sin\beta A_1. \quad (\text{C.1})$$

The neutral scalar fields H^0 and h^0 with the well-defined mass are the linear combinations of H_1 and H_2

$$H^0 = \cos\alpha H_1 + \sin\alpha H_2 \quad \text{and} \quad h^0 = \cos\alpha H_2 - \sin\alpha H_1 \quad (\text{C.2})$$

and the mixing angle α depends on the values of the couplings parameterizing the Higgs self interactions [119].

Now I turn to the couplings of the neutral Higgses to the up-like quarks in the general two Higgs doublet model given by Eq. (2.29). For the purpose of $c \rightarrow u$ transitions, one is interested only in the flavour non-diagonal part of the Lagrangian. One can choose to work in the basis, where the weak U' and the mass U eigenstates match and Γ^u (2.30) is a diagonal quark mass matrix. Inserting (C.1) and (C.2) to the Yukawa Lagrangian (2.29) we get

$$\begin{aligned}\mathcal{L}_U &= \frac{1}{\sqrt{2}}\bar{U} [\lambda_1^u v_1 + \lambda_2^u v_2 + (\cos \alpha \lambda_2^u - \sin \alpha \lambda_1^u)h^0 + (\cos \alpha \lambda_1^u + \sin \alpha \lambda_2^u)H^0 \\ &\quad + i(\sin \beta \lambda_1^u - \cos \beta \lambda_2^u)A^0 \gamma_5] U \\ &= \frac{1}{\sqrt{2}}\bar{U} \lambda_2^u [(\cos \alpha + \sin \alpha \tan \beta)h^0 + (\sin \alpha - \cos \alpha \tan \beta)H^0 - i(\cos \beta + \sin \beta \tan \beta)A^0 \gamma_5] U .\end{aligned}\tag{C.3}$$

In the second line λ_1^u was expressed in terms of λ_2^u and Γ^u via (2.30) and the flavour diagonal part was omitted.

The physical Higgs bosons have different couplings to c and u quarks given by (C.3). They also have different masses depending on the couplings parameterizing the Higgs self interactions [119]. Since the values of the parameters are unknown so far, I simplify the discussion in Section 2.2.1 and set all the $c - u - H$ couplings and masses to be equal.

Appendix D

The $D^0 - \bar{D}^0$ mixing

The $D^0 - \bar{D}^0$ mixing is not the subject of the present work and has been extensively studied by other authors [44]. However, the experimental results on Δm_D (the mass difference of D^0 and \bar{D}^0 mass eigenstates) severely constrain the parameter space of new physics scenarios, especially in the sector of the flavour changing transitions among the c and u quarks. The experimental upper limit $\Delta m_D < 1.6 \cdot 10^{-13}$ GeV [3] was used in Section 2.3 in order to get the upper bounds on various parameters related to new physics. These bounds are then used to predict the effects of the new mechanisms to other FCN transitions among c and u quarks. It is therefore appropriate to comment the standard model predictions for Δm_D at this point.

The **short distance part** of Δm_D is due to the W box diagrams in Fig. D.1a. The amplitude is strongly GIM suppressed by $V_{ci}^* V_{ui} V_{cj} V_{uj}^* m_i^2 m_j^2 / m_W^4$ and renders exceedingly small mass difference [44, 62]

$$\Delta m_D^{SD} \simeq 5 \cdot 10^{-18} \text{ GeV} .$$

The short distance box diagrams are not the only ones that contribute to the mass difference. Since the light quarks with rather large CKM factors to the charm can propagate between the D^0 and \bar{D}^0 , one expects relatively important **long distance contributions** to the mixing¹. The intermediate propagating degrees of freedom are light hadrons rather than light quarks in this case. The long distance arises from the propagation of the intermediate hadronic states to which both D^0 and \bar{D}^0 can decay. There will be one, two, three, etc. particle intermediate states. The important contribution comes from the intermediate two particle states $\pi^+ \pi^-$, $K^+ K^-$, $\pi^+ K^-$ and $K^+ \pi^-$ shown in Fig. D.1b. The two weak vertices in Fig. D.1b are induced by the effective nonleptonic weak Lagrangian (3.22). The long distance contributions have been calculated [44] via the dispersive approach giving the mass difference of the order of

$$\Delta m_D^{LD} \simeq 10^{-16} \text{ GeV} .$$

¹The situation is very different in $K^0 - \bar{K}^0$ and $B^0 - \bar{B}^0$ mixing, where the important effect comes from the heavy quark inside the box diagram loop: the charm quark in $K^0 - \bar{K}^0$ and the top quark in $B^0 - \bar{B}^0$. In the case of the kaon mixing, the coupling to light hadron intermediate states is still large and the long distance contributions are of the same order of magnitude as the short distance ones.

The heavy quark effective theory results lead to the mass difference of the order of

$$\Delta m_D^{LD} \simeq 10^{-17} \text{ GeV}.$$

Although the standard model predictions for Δm_D are quite uncertain, it is clear that they are far below the present experimental upper bound $\Delta m_D < 1.6 \cdot 10^{-13} \text{ GeV}$ [3]. As Δm_D is small in the standard model, the possible effects of new physics can be relatively important. Experimentally unexplored window for Δm_D between 10^{-16} and 10^{-13} GeV still offers a unique opportunity to discover new effects in this sector. Different scenarios of physics beyond the standard model and its effects on Δm_D are discussed in Section 2.2.

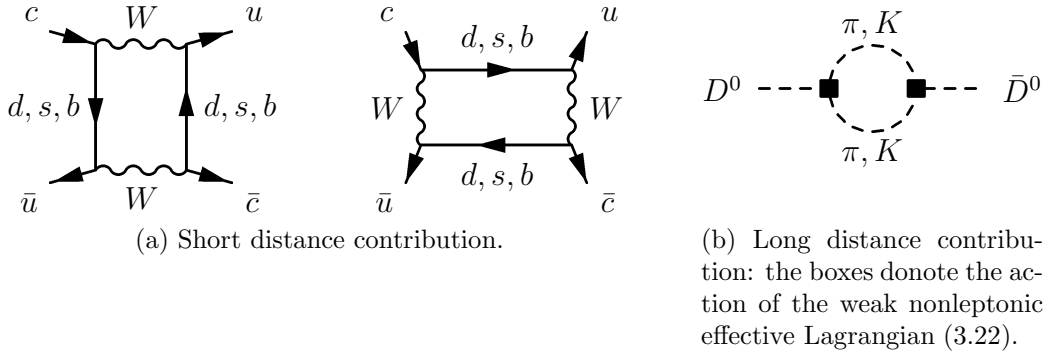


Figure D.1: The short and long distance mechanisms responsible for the $D^0 - \bar{D}^0$ mixing.

Appendix E

Transformation properties of the hadronic fields in the Heavy meson chiral Lagrangian approach

The transformation properties of the hadronic fields in the Heavy meson chiral Lagrangian approach are gathered here.

Lorentz transformation

Under the Lorentz transformation $x \rightarrow \Lambda x$ the fields transform as

$$\begin{aligned} H_a(x) &\rightarrow D(\Lambda)H_a(\Lambda x)D(\Lambda)^{-1} , & \bar{H}_a(x) &\rightarrow D(\Lambda)\bar{H}_a(\Lambda x)D(\Lambda)^{-1} , \\ \xi(x) &\rightarrow \xi(\Lambda x) , & \xi^\dagger(x) &\rightarrow \xi^\dagger(\Lambda x) , \\ \rho^\mu(x) &\rightarrow \Lambda^\mu{}_\nu \rho^\nu(\Lambda x) , \end{aligned}$$

where $D(\Lambda)$ is an element of the 4×4 matrix representation of the Lorentz group.

Parity

Under parity transformation $\mathcal{P}(t, \vec{x}) = (t, -\vec{x})$ the fields transform as

$$\begin{aligned} H_a(x) &\rightarrow \gamma^0 H_a(\mathcal{P}x) \gamma^0 , & \bar{H}_a(x) &\rightarrow \gamma^0 \bar{H}_a(\mathcal{P}x) \gamma^0 , \\ \xi_{ab}(x) &\rightarrow \xi_{ba}^\dagger(\mathcal{P}x) , & \xi_{ab}^\dagger(x) &\rightarrow \xi_{ba}(\mathcal{P}x) , \\ \rho^\mu(x) &\rightarrow \mathcal{P}^\mu{}_\nu \rho^\nu(\mathcal{P}x) \end{aligned}$$

and the fields \mathcal{A}^μ and \mathcal{V}^μ defined in (5.20) transform as

$$\mathcal{A}^\mu(x) \rightarrow -\mathcal{P}^\mu{}_\nu \mathcal{A}^\nu(\mathcal{P}x) , \quad \mathcal{V}^\mu(x) \rightarrow \mathcal{P}^\mu{}_\nu \mathcal{V}^\nu(\mathcal{P}x) .$$

Heavy quark spin transformation

Under the rotation of the heavy quark spin $Q \rightarrow SQ$, where the S is 4×4 matrix representation of the $SU(2)$ spin transformations, the meson fields transform as

$$\begin{aligned} H_a &\rightarrow SH_a, & \bar{H}_a &\rightarrow \bar{H}_a S^\dagger, \\ \xi &\rightarrow \xi, & \xi^\dagger &\rightarrow \xi^\dagger, \\ \rho^\mu &\rightarrow \rho^\mu. \end{aligned}$$

Chiral transformation

Under the global chiral $SU(3)_L \times SU(3)_R$ transformation, the mesonic fields transform as

$$\begin{aligned} H_a &\rightarrow H_b U_{ba}^\dagger(x), & \bar{H}_a &\rightarrow U_{ab}(x) \bar{H}_b, \\ \xi &\rightarrow g_L \xi U^\dagger(x) = U(x) \xi g_R^\dagger, & \xi^\dagger &\rightarrow U(x) \xi^\dagger g_L^\dagger = g_R \xi^\dagger U^\dagger(x), \\ \rho^\mu &\rightarrow U(x) \rho^\mu U^\dagger(x) + U(x) \partial^\mu U^\dagger(x) \end{aligned}$$

with $g_L \in SU(3)_L$ and $g_R \in SU(3)_R$. The transformation $U(x) \in SU(3)_V$ is defined by the equation $g_L \xi U^\dagger(x) = U(x) \xi g_R^\dagger$ in the second line and depends on g_L , g_R and the field $\xi(x)$. In the case of the transformation in $SU(3)_V$ subgroup, $U = g_L = g_R$.

Transformation $[SU(3)_L \times SU(3)_R]_{global} \times [SU(3)_V]_{local}$

The theory based on a direct product of the chiral group $[SU(3)_L \times SU(3)_R]_{global}$ and the hidden group $[SU(3)_V]_{local}$ group is used to incorporate the light vector resonances in Section 5.1.4. The fields transform under the elements of this group as

$$\begin{aligned} H_a &\rightarrow H_b h_{ba}^\dagger(x), & \bar{H}_a &\rightarrow h_{ab}(x) \bar{H}_b, \\ \xi_L &\rightarrow g_L \xi_L h^\dagger(x), & \xi_L^\dagger &\rightarrow h(x) \xi_L^\dagger g_L^\dagger, \\ \xi_R &\rightarrow g_R \xi_R h^\dagger(x), & \xi_R^\dagger &\rightarrow h(x) \xi_R^\dagger g_R^\dagger, \\ \rho^\mu &\rightarrow h(x) \rho^\mu h^\dagger(x) + h(x) \partial^\mu h^\dagger(x), \end{aligned}$$

where $g_L \in SU(3)_L$, $g_R \in SU(3)_R$ and $h(x) \in [SU(3)_V]_{local}$.

Electromagnetic local gauge transformation

Under a local electromagnetic gauge transformation the fields transform as

$$\begin{aligned} \xi &\rightarrow g_0(x) \xi g_0^\dagger(x), & \xi^\dagger &\rightarrow g_0(x) \xi^\dagger g_0^\dagger(x), \\ H_a &\rightarrow e^{ie_0 \mathcal{Q}' \alpha(x)} H_a g_{0ba}^\dagger(x), & \bar{H}_a &\rightarrow g_{0ba}(x) \bar{H}_a e^{-ie_0 \mathcal{Q}' \alpha(x)}, \\ \rho_\mu &\rightarrow g_0(x) \rho_\mu g_0^\dagger(x) + g_0(x) \partial_\mu g_0^\dagger(x), \\ A_\mu &\rightarrow g_0(x) A_\mu g_0^\dagger(x) + g_0(x) \partial_\mu g_0^\dagger(x), \end{aligned}$$

where $g_0 = \exp(ie_0 \mathcal{Q} \alpha(x))$ with $\mathcal{Q} = \text{diag}(2/3, -1/3, -1/3)$ and $e_0 \mathcal{Q}'$ is the charge of the heavy quark.

Appendix F

The effective weak current for the heavy quark and a light antiquark

In this appendix the most general effective current $(J_W^{heavy})_{a,\lambda} = \bar{q}_a \gamma_\lambda (1 - \gamma_5) c$ at the order $(\Delta k/m_H)^0$ and E/Λ_χ is derived following [42, 99]. The current J_W^{heavy} transforms according to the representation $(\bar{3}_L, 1_R)$ under the chiral transformation $SU(3)_L \times SU(3)_R$. It is expressed in terms of the field H_a so that the heavy quark symmetry is manifest. The current J_W^{heavy} should be linear in the heavy meson field H_a as the current $\bar{q}_a \gamma^\mu (1 - \gamma_5) c$ is linear in the field c . At the order $(\Delta k/m_H)^0$ and E/Λ_χ there is no derivative on the heavy meson field H and up to one derivative on the light field ξ . A general current with these properties and the $V - A$ structure is given by

$$(J_W^{heavy})_{a,\lambda} = Tr[\gamma_\lambda (1 - \gamma_5) H(v) M \xi^\dagger]_a , \quad (F.1)$$

where a shorthand notation $H = (H_1, H_2, H_3)$ is used and H_a is defined in (5.6). The matrix M incorporates the light fields at the order E/Λ_χ . The current J_W^{heavy} transforms according to the representation $(\bar{3}_L, 1_R)$, so the matrix M should transform as $M \rightarrow U(x) M U^\dagger(x)$ under the chiral transformation. The matrix M can be generally expanded in the 4×4 space of the Dirac indices as

$$M = A'' + B'' \gamma_5 + C''_\mu \gamma^\mu + D''_\mu \gamma^\mu \gamma_5 + E''_{\mu\nu} \sigma^{\mu\nu}$$

and the coefficients incorporate the light meson fields. Defining

$$A' = A'' + B'' , \quad B'_\mu = C''_\mu + D''_\mu , \quad C'_{\mu\nu} = i E''_{\mu\nu}$$

and

$$j_\alpha = Tr[\gamma_\alpha (1 - \gamma_5) H(v)] , \quad j = Tr[(1 - \gamma_5) H(v)] ,$$

the current $(J_W^{heavy})_\lambda$ (2.1) can be explicitly written as

$$(J_W^{heavy})_\lambda = j_\lambda (A' - v^\mu B'_\mu) \xi^\dagger + j^\mu (B'_\mu v_\lambda + C'_{\mu\lambda} - C'_{\lambda\mu}) \xi^\dagger - i \epsilon_\lambda^{\alpha\mu\nu} j_\alpha (C'_{\mu\nu} + B'_\mu v_\nu) \xi^\dagger + j B'_\lambda u^\dagger .$$

Renaming the coefficients

$$A = A' - v^\mu B'_\mu , \quad B_{\mu\nu} = C'_{\mu\nu} + B'_\mu v_\nu$$

we get

$$(J_W^{heavy})_\lambda = j^\mu (Ag_{\mu\lambda} + B_{\mu\lambda} - B_{\lambda\mu} + i\epsilon_{\mu\lambda\alpha\beta} B^{\alpha\beta}) \xi^\dagger .$$

The coefficients have to transform as $A \rightarrow UAU^\dagger$ and $B_{\mu\nu} \rightarrow UB_{\mu\nu}U^\dagger$ under the chiral transformation and have to be of the order of $(E/\Lambda_\chi)^0$ or E/Λ_χ . They can be expressed in terms of the operators

$$O_\mu^{(1)} = \rho_\mu - \mathcal{V}_\mu , \quad O_\mu^{(2)} = \mathcal{A}_\mu , \quad O_\mu^{(3)} = \partial_\mu + \mathcal{V}_\mu , \quad (\text{F.2})$$

which are of the order of E/Λ_χ and transform as $O_\mu^{(i)} \rightarrow UO_\mu^{(i)}U^\dagger$. Due to the relation $O_\mu^{(3)}\xi^\dagger = -O_\mu^{(2)}\xi^\dagger$, the operator $O^{(3)}$ does not lead to an independent term and can be omitted, so

$$A = A_0 + v^\mu (A_1 O_\mu^{(1)} + A_2 O_\mu^{(2)}) , \quad B_{\mu\lambda} = v_\mu (B_1 O_\lambda^{(1)} + B_2 O_\lambda^{(2)}) .$$

In the end we have five parameters A_0, A_1, A_2, B_a and B_2 . Expressing them in terms of a more convenient set [42, 99]

$$A_0 = \frac{1}{2}i\alpha , \quad A_1 = \alpha_1 - \alpha_2 , \quad A_2 = \alpha_4 - \alpha_3 , \quad B_1 = \alpha_1 , \quad B_2 = -\alpha_3$$

the final expression for the effective weak current is

$$\begin{aligned} (J_W^{heavy})_\lambda = & \frac{1}{2}i\alpha Tr[\gamma_\lambda(1 - \gamma_5)H]\xi^\dagger \\ & - \alpha_1 Tr[(1 - \gamma_5)H](\rho - \mathcal{V})_\lambda \xi^\dagger - \alpha_2 Tr[\gamma_\lambda(1 - \gamma_5)H]v^\alpha(\rho - \mathcal{V})_\alpha \xi^\dagger \\ & + \alpha_3 Tr[(1 - \gamma_5)H]\mathcal{A}_\lambda \xi^\dagger + \alpha_4 Tr[\gamma_\lambda(1 - \gamma_5)H]v^\alpha \mathcal{A}_\alpha \xi^\dagger \\ & + Tr[\gamma^\delta(1 - \gamma_5)H](g_{\delta\lambda}v_\alpha - g_{\delta\alpha}v_\lambda - ig_{\delta\mu}\epsilon^\mu_{\lambda\alpha\beta}v^\beta)\{\alpha_1(\rho - \mathcal{V})_\alpha - \alpha_3\mathcal{A}_\alpha\}\xi^\dagger . \end{aligned} \quad (\text{F.3})$$

List of Publications

Nonleptonic two-body charmed meson decays in an effective model for their semileptonic decays, B. Bajc, S. Fajfer, R. J. Oakes and S. Prelovšek, Phys. Rev. D 56 (1997) 7207.

Resonant and nonresonant contributions to the weak $D \rightarrow Vl^+l^-$ decays, S. Fajfer, S. Prelovšek and P. Singer, Phys. Rev. D 58 (1998) 094038.

Long distance contributions in $D \rightarrow V\gamma$ decays, S. Fajfer, S. Prelovšek and P. Singer, Eur. Phys. J. C 6 (1999) 471.

FCNC transitions $c \rightarrow u\gamma$ and $s \rightarrow d\gamma$ in $B_c \rightarrow B_u^\gamma$ and $B_s \rightarrow B_d^*\gamma$ decays*, S. Fajfer, S. Prelovšek and P. Singer, Phys. Rev. D 59 (1999) 114003.

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Can FCNC transition $c \rightarrow ul^+l^-$ be seen in $D \rightarrow Vl^+l^-$ decays?, S. Fajfer, S. Prelovšek and P. Singer, Nucl. Phys. B (Proc. Suppl.) 75B (1999) 141.

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Probing $c \rightarrow u\gamma$ in $B_c \rightarrow B_u^\gamma$ decay*, S. Prelovšek, S. Fajfer and P. Singer, published in proceedings of "Heavy Flavours 8", Southampton (1999).

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